## ORBITS IN THE LEECH LATTICE

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ABSTRACT. We provide an algorithm for determining whether two vectors in the Leech lattice are equivalent under its isometry group, the Conway group  $Co_0$  of order  $\sim 8 \times 10^{18}$ . Our methods rely on and develop the work of R. T. Curtis, and we describe our intended applications to the symmetry groups of Lorentzian lattices and the enumeration of lattices of dimension  $\approx 24$  with good properties such as having small determinant. Our algorithm reduces the test of equivalence to  $\leq 4$  tests under the subgroup  $2^{12}:M_{24}$ , and a test under this subgroup to  $\leq 12$  tests under  $M_{24}$ . We also give algorithms for testing equivalence under these two subgroups. Finally, we analyze the performance of the algorithm.

#### 1. Introduction

The Leech lattice  $\Lambda$  is a lattice in 24-dimensional Euclidean space with many remarkable properties, for us the most important of which is that its isometry group (modulo  $\{\pm I\}$ ) is one of the sporadic finite simple groups. The isometry group is called the Conway group  $Co_0$ , and our purpose is to present an algorithm for a computer to determine whether two given vectors of  $\Lambda$  are equivalent under  $Co_0$ . The group is fairly large, or order  $> 8 \times 10^{18}$ , so the obvious try-every-isometry algorithm is useless. Instead, we use the geometry of  $\Lambda$  to build a faster algorithm; along the way we build algorithms for the problem of equivalence of vectors in  $\mathbb{R}^{24}$  under the subgroups  $2^{12}:M_{24}$  and  $M_{24}$ , where  $M_{24}$  is the Mathieu group permuting the 24 coordinates. One may implement and use the algorithm without any deep familiarity with  $Co_0$  and  $M_{24}$ , although more background is needed for the proofs of correctness and the bounds on performance.

The motivation for the algorithm is the problem of extending results from [Vinberg 1972], [Vinberg and Kaplinskaja 1978] and [Borcherds 1987] on the isometry groups of the unimodular Lorentzian lattices  $I_{n,1}$  to the case n=24. The idea (following [Conway and Sloane 1982] and [Borcherds 1987]) is that Aut  $I_{n,1}$  is best understood when embedded

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in the isometry group of the even unimodular Lorentzian lattice  $II_{25.1}$ . This larger group has a particularly simple structure, discovered in [Conway 1983]: its reflection subgroup has a fundamental domain  $\Delta$ with one facet for each element of  $\Lambda$ , and the group  $Co_{\infty}$  of affine isometries is the subgroup of Aut  $II_{25,1}$  preserving  $\Delta$ . This means that the equivalence problem of two points in hyperbolic 25-space can be determined by applying reflections to bring them into  $\Delta$ , and then testing their equivalence under  $Co_{\infty}$ . Since  $Co_{\infty} = \Lambda : Co_0$ , this essentially reduces to equivalence-testing under  $Co_0$ .

[Borcherds 1988] and [Borcherds 1984] used these techniques involving  $II_{25.1}$  to enumerate the unimodular lattices in dimensions 24 and 25, and the bimodular lattices of dimension 25. Similar calculations with  $Co_{\infty}$  were used by [Conway et. al. 1982] to enumerate the deep holes of  $\Lambda$  (see [Borcherds 1985] for an easier approach), and by [Borcherds et. al. 1988] to enumerate the shallow holes. All of these enumerations required extremely lengthy hand computation and detailed familiarity with  $\Lambda$ and  $Co_0$ . There is no reason to doubt these enumerations, but our algorithm could be used to verify them. Also, it might be useful for working with the fake monster lie algebra (see [Borcherds 1990]), whose root lattice is  $II_{25,1}$ .

The algorithm for  $Co_0$  appears in section 3 and relies essentially on a theorem of [Curtis 1973]: every isometry between certain sublattices of  $\Lambda$ , called S-lattices, extends to an isometry of  $\Lambda$ . Background on  $\Lambda$ ,  $M_{24}$  and these S-lattices appears in section 2. Our algorithm proceeds by constructing a tree of data, so the natural worry is that branching in the tree could require an exponential amount of computation. In section 4 we prove that this is not a problem: regardless of the height of the tree its width is bounded by 16. The algorithm reduces a test of equivalence of two vectors either to an application of Curtis' theorem, or to a few tests (at most 4) of equivalence under  $2^{12}:M_{24}$ , which is much easier to deal with because it consists of signed permutations. In section 5 we show how to reduce a test of equivalence under  $2^{12}:M_{24}$  to at most 12 tests under  $M_{24}$ , and in section 6 we provide an algorithm for testing equivalence under  $M_{24}$ . Any other algorithms for  $2^{12}:M_{24}$ and  $M_{24}$  would work just as well for testing equivalence under  $Co_0$ . Section 7 contains a few remarks.

This paper is a development of part of my dissertation [Allcock 1996], and I is grateful to my "unofficial" thesis advisor R. Borcherds for suggesting the problem. The paper has been rewritten from scratch, and the results of section 4 are completely new. The algorithm for  $M_{24}$ is also new; the original one involved fewer special cases but was much

more intricate. The original preprint received limited circulation under the title "Recognizing Equivalence of Vectors in the Leech Lattice".

#### 2. Notation and Background

We use the ATLAS notation for groups [Conway et. al. 1985], so we say that a group has structure G.H if it has a normal subgroup G, the quotient by which is H. If we write G:H then the extension splits, and if we write G:H then it does not. We write  $p^n$  for the direct product of n copies of the cyclic group of order p.

To describe  $M_{24}$  we follow [Conway 1988]. Let  $\Omega$  be a fixed set of size 24, and consider  $2^{\Omega}$  as a vector space over the Galois field  $\mathbb{F}_2$ , addition being given by symmetric difference. We often refer to a size nset as an n-ad, or a monad, duad, triad, tetrad, etc. The Golay code  $\mathcal{C}$ is a subspace of  $2^{\Omega}$  of dimension 12 and weight(=set-size) distribution  $0^{1}8^{759}12^{2576}16^{759}24^{1}$ . Elements of  $\mathcal{C}$  are called codewords or  $\mathcal{C}$ -sets. The octads and 16-ads of  $\mathcal{C}$  are called *special* and the dodecads are called *umbral*; every octad, dodecad and 16-ad appearing in the paper is special (resp. umbral) unless otherwise noted, so we will drop this qualifier except for emphasis. Every pentad of  $\Omega$  lies in a unique octad. A partition of  $\Omega$  into 3 special octads is called a trio (three octads). Hand-calculations involving  $\mathcal{C}$  are best done using Curtis' Miracle Octad Generator (MOG), which arranges the 24 points of  $\Omega$  into a  $4 \times 6$ array, which falls into three  $4 \times 2$  bricks. Each brick is an octad and the set of all three is called the standard trio. We refer to the leftmost MOG column as the standard tetrad. See [Conway 1988] for a wealth of information about the MOG array (this MOG differs by a reflection from Curtis' original array [Curtis 1976]).

The Mathieu group  $M_{24}$  is the group of permutations of  $\Omega$  that preserve  $\mathcal{C}$ ; it is simple of order 244 823 040 and acts 5-transitively on  $\Omega$ . Therefore the stabilizers  $M_{23}$ ,  $M_{22}$ ,  $M_{21}$ ,  $M_{20}$  and  $M_{19}$  of a monad, duad, triad, tetrad and pentad are well-defined up to conjugacy.  $M_{24}$  is also transitive on octads and dodecads; the stabilizer of a dodecad is  $M_{12}$ , another of Mathieu's sporadic simple groups.  $M_{24}$  is also transitive on trios.

The Golay cocode  $\mathcal{C}^*$  is  $2^{\Omega}/\mathcal{C}$ , also of dimension 12. Any element of  $\mathcal{C}^*$  has either a unique smallest representative in  $2^{\Omega}$  (in which case this subset of  $\Omega$  has size  $\leq 3$  and is usually identified with the element of  $\mathcal{C}^*$ ) or else has 6 smallest representatives (in which case they form a sextet, so named because the six representatives are mutually disjoint tetrads). Every tetrad lies in a unique sextet. If the image of a subset X of  $\Omega$  in  $\mathcal{C}^*$  is a sextet then we say that X represents that sextet.

We call a subset of  $\Omega$  small if it has size  $\leq 4$  and large otherwise. If  $X \subseteq \Omega$  does not represent a sextet then the unique small set to which X is congruent modulo  $\mathcal{C}^*$  is called the small representative of X. By transitivity on tetrads,  $M_{24}$  is transitive on sextets. We define the standard sextet to be the sextet containing the standard tetrad; this consists of the 6 MOG columns. The subgroup of  $M_{24}$  preserving the standard sextet is called the sextet group and has structure  $2^6:3\cdot S_6$  and order 138 240.

A basic tool in our algorithm for  $M_{24}$  is reducing a set  $X \subseteq \Omega$  modulo  $\mathcal{C}$ . By this we mean finding a small set to which X is congruent modulo  $\mathcal{C}$ . When this has size  $\leq 3$  then it is uniquely determined and we denote it by  $\bar{X}$ , and when it has size 4 then X represents a sextet, one of whose tetrads is the small set. Computing a small representative is just a rephrasing of the problem of finding a codeword nearest to X, for which there are several algorithms in the literature, e.g., [Conway and Sloane 1986] and [Vardy and Be'ery 1991].

We equip  $\mathbb{R}^{24} = \mathbb{R}^{\Omega}$  with the inner product  $x \cdot y = \frac{1}{8} \sum_{i \in \Omega} x_i y_i$ , and by the norm of  $x \in \mathbb{R}^{24}$  we mean  $x^2 = x \cdot x$ . The Leech lattice  $\Lambda$  is the set of vectors x with integral coordinates  $x_i$  satisfying

- (i) The coordinates are all congruent modulo 2; write m for their common congruence class.
- (ii) The set of i for which  $x_i$  takes any given value modulo 4 is a C-set.
- (iii) The sum of the  $x_i$  is congruent to 4m modulo 8.

One can check that  $\Lambda$  is an even unimodular lattice that contains vectors of all even norms except 2. The type of a lattice vector is half its norm (this isn't important but it is part of the vocabulary of  $\Lambda$ ).  $M_{24}$  acts on  $\Lambda$  by permuting coordinates, and  $\mathcal{C}$  acts by negation of coordinates on  $\mathcal{C}$ -sets. Together they generate a group  $2^{12}:M_{24}$ . The full group of isometries of  $\Lambda$  is called  $Co_0$  in honor of Conway, who found additional symmetries (see below); it has order  $8\,315\,553\,613\,086\,720\,000$  and modulo its center  $\{\pm I\}$  it is a simple group. It acts transitively on lattice vectors of each of the types 2, 3 and 4 (and 5 and 7 too, though we will not need this). A sublattice L of  $\Lambda$  is called primitive if  $L = (L \otimes \mathbb{Q}) \cap \Lambda$ .

A beautiful property of  $\Lambda$  is the simple structure of its residue classes mod 2. Each class has a representative of type 0, 2, 3 or 4; such vectors are called short. The only congruences among short vectors are that each of type 2 or 3 is congruent to its negative, and that vectors of type 4 fall into sets of 48, called frames. Each frame consists of 24

mutually orthogonal pairs of antipodal vectors, for example the standard frame consists of the vectors  $(0, \ldots, 0, \pm 8, 0 \ldots, 0)$ , where the  $\pm 8$  may occur in any position.  $Co_0$  acts transitively on frames, and the stabilizer of the standard frame is the group  $2^{12}:M_{24}$  discussed above. We also refer to the element of  $\Lambda/2\Lambda$  represented by the members of a frame as a frame.

If  $x \in \Lambda$  then we write  $\bar{x}$  for the image of x in  $\Lambda/2\Lambda$ , and when  $\bar{x}$  is not a frame we write  $\hat{x}$  for a short representative for  $\bar{x}$ , which is well-defined up to sign. If L is a sublattice of  $\Lambda$  then we write  $\bar{L}$  for its image in  $\Lambda/2\Lambda$ .

A basic tool in our  $Co_0$  algorithm is reducing a vector  $x \in \Lambda$  modulo 2, by which we mean finding a short vector s to which x is congruent modulo  $2\Lambda$ . This can be accomplished using an algorithm for decoding  $\Lambda$ , which means to find a lattice point closest to any given element of  $\mathbb{R}^{24}$ . Such algorithms appear in [Conway and Sloane 1986] and [Vardy and Be'ery 1993]. To find s, decode s/2 to obtain s s s [Conway et. al. 1982] (see also [Borcherds 1985]), every point of s s s s s then we know that s is actually well-defined up to sign, and we write s for s I would like to know if there is a faster algorithm for reducing an element of s mod 2; the problem seems so much more specialized than the general decoding problem that perhaps a more specialized algorithm could be faster.

Curtis introduced the concept of an S-lattice in [Curtis 1973]; the idea is to consider sublattices L of  $\Lambda$  none of whose elements represent frames, and for which there is no obvious enlargement. Precisely, L is an S-lattice if no element of L represents a frame, and for each  $x \in L$ , L contains  $\hat{x}$  and  $(x-\hat{x})/2$ . The type of an S-lattice is the formal symbol  $2^a 3^b$  where a (resp. b) is the number of antipodal pairs of type 2 (resp. 3) vectors in L. One has  $a + b + 1 = 2^{\dim L}$ , and Curtis completely classified the S-lattices, finding 11 orbits under  $Co_0$ . (He used a slightly different definition of S-lattice, but his arguments work just as well for this definition, which is from [Conway et. al. 1985].) While we do not need the classification, we remark that the largest of the S-lattices, of type  $2^{27}3^{36}$ , plays a major role in the performance analysis in section 4. Also, two S-lattices of the same type are  $Co_0$ equivalent, so referring to an S-lattice by its type is unambiguous (up to  $Co_0$ ). Finally, the following theorem is implicit in [Curtis 1973] and stated explicitly in [Conway et. al. 1985]. It is essential for the validity of our  $Co_0$  algorithm.

**Theorem 2.1.** Any isometry between two S-lattices extends to an isometry of  $\Lambda$ .

Our final prerequisite is a small tool used in the  $Co_0$  algorithm: we will need to be able to find an element of  $Co_0$  carrying any given frame to the standard one. It is enough to carry any given type 4 vector into the standard frame. We need the extra automorphism  $\eta$  of  $\Lambda$  discovered in [Conway 1969]. We write  $e_i$  for the vector  $(0, \ldots, 0, 1, 0, \ldots, 0)$  with the 1 in the *i*th place, for each *i* we write T(i) for the MOG column containing i, and  $e_{T(i)}$  for the vector with coordinates equal to 1 on T(i) and 0 elsewhere. The isometry  $\eta$  is defined by first negating the leftmost MOG column (the standard tetrad) and then sending each  $e_i$  to  $e_i - \frac{1}{2}e_{T(i)}$ .

**Algorithm 2.2.** Given  $v \in \Lambda$  of type 4, this algorithm carries v to a member of the standard frame.

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Step 1. 2222
                              2\,\bar{2}\,\bar{2}\,\bar{2}
                                             \bar{4}\,0\,0\,0
Step 2. 4222
                              4\,ar{2}\,ar{2}\,ar{2}
                                             \bar{5}\,\bar{1}\,\bar{1}\,\bar{1}
                              3\bar{3}\bar{3}\bar{3}
Step 3. 3333
                                             \bar{6}000
Step 4. 5331
                              5\bar{3}\bar{3}\bar{1}
                                             \bar{6}220
Step 5. 6222
                              62\bar{2}\bar{2}
                                             4044
                              4\,\bar{4}\,\bar{4}\,\bar{4}
                                            \bar{8}000
Step 6. 4444
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By a step "AAAA BBBB CCCC" we mean the following operation. Search for a tetrad on which the absolute values of the coordinates of w are the numbers AAAA; if no such tetrad is found, then proceed to the next step. If one is found, then find  $\sigma \in 2^{12}:M_{24}$  such that the coordinates of  $\sigma(w)$  on the standard tetrad are exactly the numbers BBBB, replace w by  $\eta \circ \sigma(w)$ , whose coordinates on the standard tetrad are then the numbers CCCC, and then proceed to the next step. We have written  $\overline{m}$  for -m.

The proof is a straightforward examination of the list of type 4 vectors in  $\Lambda$  (e.g. [Conway and Sloane 1988, p. 133]). In order to use the algorithm one must be able to find an element of  $M_{24}$  carrying any given tetrad to the standard one, and be able to find an element of  $\mathcal{C}$  that changes the signs on the standard tetrad in any desired way. Both tasks are accomplished with a few precomputed elements of  $M_{24}$  and  $\mathcal{C}$ .

## 3. Orbits under $Co_0$

The idea of our algorithm for detecting equivalence of  $v, w \in \Lambda$  under  $Co_0$  is simple, and best motivated by considering an example of how the algorithm might work. Suppose for simplicity that neither v nor

w lies in  $2\Lambda$ . If one represents a frame and the other does not then they are clearly inequivalent. If both represent frames (say  $\phi$ ,  $\psi$ ) then we find  $g, h \in Co_0$  carrying  $\phi$  and  $\psi$  to the standard frame. It is easy to see that v and w are  $Co_0$ -equivalent if and only if g(v) and h(w)are equivalent under the stabilizer  $2^{12}:M_{24}$  of the standard frame. This is a reduction to a much smaller problem, since the subgroup acts by signed permutations. If neither represents a frame then  $\hat{v}$  and  $\hat{w}$  are well-defined up to sign; we suppose for this example that  $\hat{v} \cdot v \neq 0$  and  $\hat{w} \cdot w \neq 0$ , which is usually the case. We choose  $\hat{v}$  and  $\hat{w}$  so that  $\hat{v} \cdot v$  and  $\hat{w} \cdot w$  are positive. Then v and w are  $Co_0$ -equivalent if and only if the lattice spanned by v and  $\hat{v}$  is  $Co_0$ -equivalent to that spanned by w and  $\hat{w}$ , by an isometry carrying v to w and  $\hat{v}$  to  $\hat{w}$ . We can enlarge these two lattices by adjoining the lattice vectors  $(v + \hat{v})/2$  and  $(w + \hat{w})/2$ , and then reducing these new vectors modulo 2. If we get frames then we can reduce the problem to  $2^{12}:M_{24}$  as before, and otherwise we can enlarge our lattices by adjoining the short representatives of  $(v+\hat{v})/2$ and  $(w+\hat{w})/2$ . We can repeat the process until either we find frames or the lattices stop growing. In the former case we reduce to  $2^{12}:M_{24}$ , and in the latter case we will use a theorem of Curtis (theorem 2.1) to determine equivalence or inequivalence.

The main point of the example is that one should keep track of sublattices of  $\Lambda$ , not just vectors. It also illustrates various exceptional cases we must deal with, such as what to do when reducing a vector mod 2 yields the 0 class, or an orthogonal short vector.

The basic object in our construction is what we call a marked lattice, which is a nonempty ordered list of linearly independent elements of  $\Lambda$ . The language reflects the idea that a marked lattice is a sublattice of  $\Lambda$  equipped with a distinguished basis. Our algorithm determines whether two given marked lattices are equivalent under  $Co_0$ ; this solves the equivalence problem for nonzero  $v, v' \in \Lambda$  because we can apply the algorithm to the one-dimensional marked lattices they span. Given a marked lattice, we will iteratively construct new marked lattices from it until this process halts, and then study the last ones constructed in order to obtain information about L. This information is enough to determine the  $Co_0$ -equivalence or -inequivalence of marked lattices.

We begin with the iterative step. Given a marked lattice L with basis  $e_1, \ldots, e_n$ , we define  $\ell_y = \sum y_i e_i$  for all  $y \in \{0, 1\}^n$ . These are a set of representatives for L/2L, and we regard them as ordered under the lexicographic order of  $\{0, 1\}^n$ . Recall that for  $v \in \Lambda$  we write  $\bar{v}$  for the image of v in  $\Lambda/2\Lambda$  and when  $\bar{v}$  is not a frame we write  $\hat{v}$  for a short representative of v, which is well-defined up to sign.

- **Algorithm 3.1.** Given a marked lattice L, this algorithm either (i) produces a frame  $\phi$ , (ii) produces a marked lattice M, (iii) produces a set  $\{M_{\pm}\}$  of two marked lattices, or (iv) terminates without producing anything.
- Step 1. (Applies if there exists y with  $\bar{\ell}_y$  a frame.) Write y for the first such, set  $\phi$  equal to the frame  $\bar{\ell}_y$ , and quit, yielding case (i).
- Step 2. (Applies if there exists  $y \neq (0, ..., 0)$  with  $\bar{\ell}_y = 0$ .) Write y for the first such, define i by the condition that the first nonzero entry of  $y \in \{0,1\}^n$  is the ith, define M as the marked lattice with basis  $e_1, ..., e_{i-1}, \ell_y/2, e_{i+1}, ..., e_n$ , and quit, resulting in case (ii).
- Step 3. (Applies if there exists y with  $\hat{\ell}_y$  in neither  $L \otimes \mathbb{Q}$  nor  $L^{\perp}$ .)

  Write y for the first such, define s to be whichever of  $\pm \hat{\ell}_y$  has positive inner product with the first of  $e_1, \ldots, e_n$  with which it has nonzero inner product, define M to be the marked lattice with basis  $e_1, \ldots, e_n$ , s, and quit, resulting in case (ii).
- Step 4. (Applies if there exists  $y \neq (0, ..., 0)$  with  $\hat{\ell}_y \perp L$ .) Write y for the first such, define  $M_{\pm}$  as the marked lattices with bases  $e_1, ..., e_n, \pm \hat{\ell}_y$ , and quit, resulting in case (iii).
- Step 5. (Applies in all other cases.) Quit without producing anything, resulting in case (iv).

There is nothing to prove except that our constructions make sense. In step 2,  $\ell_y/2$  lies in  $\Lambda$  because of the hypothesis  $\bar{\ell}_y = 0$ , and that  $e_1, \ldots, e_{i-1}, \ell_y/2, e_{i+1}, \ldots, e_n$  are linearly independent because their integral span contains  $e_i$ . In steps 3 and 4,  $\hat{\ell}_y$  is determined up to sign—if  $\bar{\ell}_y$  were zero or a frame then the algorithm would have stopped at step 2 or 1. In step 3, one of  $\pm \hat{\ell}_y$  is distinguished, but in step 4 neither is—the two marked lattices  $M_{\pm}$  must be treated equally and only the set of both of them together is natural. (They are two different markings of the same underlying lattice.) Finally, step 5 does not really quit without producing anything, because it produces a proof that  $(L \otimes \mathbb{Q}) \cap \Lambda$  is an  $\delta$ -lattice; see lemma 3.4 below.

The output of the algorithm is natural in the sense that if L and L' are marked lattices and  $g \in Co_0$  carries L to L', then L produces a frame  $\phi$  if and only if L' produces a frame  $\phi'$ , in which case  $g(\phi) = \phi'$ , and similarly for the other cases. To see this, observe that every criterion and construction in the algorithm uses only the geometry of  $\Lambda$  and the given ordering of the basis  $e_1, \ldots, e_n$ .

A marked lattice which occurs in the output of the algorithm applied to L is called a child of L. A descendent of L is defined to be L itself,

or a child of L, or a child of a child of L, and so on. We are abusing the usual meaning of the word by regarding L as a descendent of itself. We write  $\Omega_L$  for the set of childless descendents of L. Since the construction of children is natural, we see by induction that  $\Omega_L$  is also natural, in the sense that any  $g \in Co_0$  carries  $\Omega_L$  to  $\Omega_{g(L)}$ .

The following lemma assures us that  $\Omega_L$  is finite and nonempty, and that it can be computed by repeatedly applying algorithm 3.1 to find the 'family tree' of L. It may appear that this computation of  $\Omega_L$  involves an exponential explosion, on account of the opportunity for step 4 to introduce branching into the tree. In fact this does not happen: in section 4 we prove that  $|\Omega_L| \leq 4$  except when L lies in an S-lattice, when  $|\Omega_L|$  is still bounded by 16.

## **Lemma 3.2.** A child of a marked lattice L strictly contains L.

Proof. A child of L constructed by one of steps 3 or 4 is obtained by adjoining a new linearly independent vector to the basis for L, so the assertion is obvious. A child M of L constructed by step 2 is obtained by replacing  $e_i$  by  $\ell_y/2$  in the basis  $(e_1, \ldots, e_n)$  for L. Since  $\ell_y = e_i + \sum_{j\neq i} y_j e_j$ , we have  $e_i = 2 \cdot (\ell_y/2) - \sum_{j\neq i} y_j e_j$ , so that M contains L. Also,  $\ell_y/2$  lies in M but not in L: if it lay in L then  $\ell_y$  would represent the zero element of L/2L, contrary to the assumption  $y \neq (0, \ldots, 0)$ .

Next we consider a childless descendent M of L. If algorithm 3.1 applied to M stops at step 1, with output the frame  $\phi$ , then we say that M yields, or produces, or determines,  $\phi$ . Our next result, lemma 3.4, asserts that if M determines no frame then M lies in an S-lattice.

**Sublemma 3.3.** If the short representatives of the elements of a d-dimensional frame-free subspace of  $\Lambda/2\Lambda$  lies in a d-dimensional subspace of  $\mathbb{R}^{24}$ , then their integral span is an S-lattice and is primitive in  $\Lambda$ 

Proof. Write  $M_0$  for the integral span of the short representatives and note that  $\overline{(M_0 \otimes \mathbb{Q}) \cap \Lambda} = \overline{M_0}$  since one contains the other and they have the same cardinality. Obviously  $0 \in M_0$ . If  $v \in (M_0 \otimes \mathbb{Q}) \cap \Lambda$  is nonzero then  $\hat{v}$  lies in  $M_0$ . Also,  $(v - \hat{v})/2 \in (M_0 \otimes \mathbb{Q}) \cap \Lambda$  has smaller norm than v if we choose  $\hat{v}$  such that  $v \cdot \hat{v} \geq 0$ . By induction on norm,  $(v - \hat{v})/2$  lies in  $M_0$ , hence  $v = \hat{v} + 2 \cdot (v - \hat{v})/2$  does too. This proves that  $M_0$  is primitive, and it follows that it is an S-lattice.

**Lemma 3.4.** Suppose M is a childless marked lattice. If it determines a frame then M does not lie in an S-lattice. Otherwise, the short representatives for  $\overline{M} \subseteq \Lambda/2\Lambda$  span an S-lattice which contains M, has

the same rational span as M, and is the smallest S-lattice containing M.

*Proof.* We write  $m_y$  for the standard representatives of M/2M, just as we wrote  $\ell_y$  for those of L/2L. If the algorithm applied to M yields a frame then some  $m_y$  represents a frame. No member of any S-lattice can do this, so M cannot lie in an S-lattice.

Now suppose M is a childless marked lattice with no output from the algorithm. Since the algorithm did not halt at step 1, the  $\hat{m}_y$  are well-defined up to sign. Since it did not halt at step 2, the map  $M/2M \to \Lambda/2\Lambda$  is injective, so that M and  $\bar{M}$  have the same dimension. Since it did not halt at step 3 or 4, all the  $\hat{m}_y$  lie in the rational span of M; we write  $M_0$  for the lattice they generate. By sublemma 3.3,  $M_0$  is an S-lattice and is primitive, so it contains M. Finally, any S-lattice containing M must contain the short representatives for all elements of M and therefore must contain  $M_0$ .

Next we claim that either all the marked lattices  $M \in \Omega_L$  yield frames (in which case we say that L ultimately determines frames) or none of them do. By considering the vectors adjoined to L to obtain its children, one sees that any S-lattice containing L also contains the children. By induction, any S-lattice containing L contains every descendent of L. By the lemma, if any  $M \in \Omega_L$  determines a frame then M does not lie in any S-lattice. Therefore L doesn't lie in an S-lattice, so no member of  $\Omega_L$  lies in an S-lattice, so every member of  $\Omega_L$  determines a frame.

If one marked lattice ultimately determines frames and another does not then they are not  $Co_0$ -equivalent. We next give necessary and sufficient conditions for the equivalence of two marked lattices that both ultimately determine frames. (This is the generic case.) Then we will treat the case where neither does. If  $L = (e_1, \ldots, e_n)$  is a marked lattice that ultimately determines frames, let  $\{\phi_1, \ldots, \phi_k\}$  be the set of the frames determined by the childless descendents of L. For each  $i = 1, \ldots, k$ , let  $g_i$  be an element of  $Co_0$  carrying  $\phi_i$  to the standard frame; these can be found with algorithm 2.2. Then let  $e_{i,j} = g_i(e_j)$  for  $j = 1, \ldots, n$ . We use a similar notation for a second marked lattice L' of the same dimension n.

**Theorem 3.5.** With the notation above, L and L' are  $Co_0$ -equivalent if and only if there exist  $g \in 2^{12}:M_{24}$  and  $i \in \{1,\ldots,k\}$  such that  $g(e'_{1,j}) = e_{i,j}$  for all  $j = 1,\ldots,n$ .

*Proof.* The 'if' direction is trivial. For the other direction, suppose  $h \in Co_0$  carries L' to L; then it carries the frames ultimately determined by

L' to those ultimately determined by L. In particular,  $h(\phi'_1) = \phi_i$  for some  $i = 1, \ldots, k$ . Then  $g = g_i \circ h \circ (g'_1)^{-1}$  carries  $g'_1(L') = (e'_{1,1}, \ldots, e'_{1,n})$  to  $g_i(L) = (e_{i,1}, \ldots, e_{i,n})$ . It also carries the standard frame to itself and therefore lies in  $2^{12}: M_{24}$ .

This theorem reduces a test of equivalence under  $Co_0$  to  $k \leq |\Omega_L|$  tests of equivalence under  $2^{12}:M_{24}$ , so the main worry from a performance perspective is that  $\Omega_L$  might be large. This turns out to not be a problem: in section 4 we show that  $|\Omega_L| \leq 4$  when L ultimately determines frames.

Now suppose  $L = (e_1, \ldots, e_n)$  is a marked lattice that does not ultimately determine frames; in this case L lies in an S-lattice. Suppose  $M = (f_1, \ldots, f_d)$  is a childless descendent, with mod 2 classes  $\bar{m}_y$ ,  $y \in \{0, 1\}^d$ . For each  $y \neq (0, \ldots, 0)$ , let  $\hat{m}_y$  be the unique short representative of  $\bar{m}_y$  that has positive inner product with the first  $f_i$  with which it has nonzero inner product. (Existence of such an  $f_i$  follows from the condition  $\hat{m}_y \in M \otimes \mathbb{Q}$ ; if this failed then step 3 or 4 of algorithm 3.1 would have produced at least one child of M.) Define

$$A_{M,y,z} = \hat{m}_y \cdot \hat{m}_z \qquad \text{for all } y, z \in \{0,1\}^d$$
  
$$B_{M,y,i} = \hat{m}_y \cdot e_i \qquad \text{for all } y \in \{0,1\}^d \text{ and } i \in \{1,\dots,n\} .$$

As M varies over  $\Omega_L$ , we obtain a family of arrays of integers.

The next theorem shows that a straightforward comparison of these arrays determines whether L is  $Co_0$ -equivalent to another marked lattice L' that lies in some S-lattice. From a performance perspective the main worry is that the list of A's might be immense.In fact it can be immodestly large but not huge: because M is an S-lattice we have  $d \leq 6$ .

**Theorem 3.6.** Suppose L and L' are marked lattices of dimension n that lie in S-lattices, M' is a fixed childless descendent of L', of dimension say d', and that notation is otherwise as above. Then L and L' are  $Co_0$ -equivalent if and only if there is a childless descendent M of L, of dimension d = d', such that

$$A_{M,y,z} = A'_{M',y,z}$$
 for all  $y, z \in \{0, 1\}^d$   
and  $B_{M,y,i} = B'_{M',y,i}$  for all  $y \in \{0, 1\}^d$  and  $i \in \{1, ..., n\}$ .

(We have written A' and B' for the above constructions using L' in place of L.)

*Proof.* If  $g \in Co_0$  carries L' to L then it carries M' to some childless descendent M of L, and the naturality of our constructions imply the stated equalities. On the other hand, suppose these equalities hold,

for some childless descendent M of L. The first batch of equalities imply that the map  $\hat{m}'_y \to \hat{m}_y$  defines an isometry from the integer span  $M'_0$  of the  $\hat{m}'_y$  to the integer span  $M_0$  of the  $\hat{m}_y$ . Since  $M'_0$  and  $M_0$  are S-lattices, Curtis's theorem (theorem 2.1) implies that this isometry between  $M'_0$  and  $M_0$  extends to an isometry T of  $\Lambda$ . The second batch of equalities imply that T carries  $e'_1, \ldots, e'_n \in M'_0$  to  $e_1, \ldots, e_n \in M_0$ .  $\square$ 

#### 4. Orbits under $Co_0$ : Performance

Most of this section is devoted to proving the following upper bound on the amount of branching in the family tree of a marked lattice. This is very important because it rules out an exponential explosion in the computation.

**Theorem 4.1.** A marked lattice that ultimately determines frames has at most 4 childless descendents. A marked lattice that lies in an S-lattice has at most 16 childless descendents.

The fact that we have a stronger bound in the first case is good because this is the generic case. More precisely, is it easy to estimate the number of lattice vectors of norm  $\leq N$  that lie in S-lattices. The dominant term comes from the S-lattices of type  $2^{27}3^{36}$ . Each is a scaled copy of the dual of the  $E_6$  root lattice and has determinant  $3^5$ . Therefore it contains  $\sim V(6, \sqrt{N})/3^{5/2}$  vectors of norm  $\leq N$ , where V(d,r) is the volume of a radius-r ball in  $\mathbb{R}^d$ . Similarly,  $\Lambda$  contains  $\sim V(24, \sqrt{N})/1$  vectors of norm  $\leq N$ . The stabilizer G of one of these S-lattices is  $2.(3^5.2).U_4(2)$  by [Curtis 1976, p. 573], and they are  $|Co_0|/|G|$  in number. Putting these data together shows that a random lattice vector of norm  $\leq N$  lies in an S-lattice with probability

$$\sim \frac{|Co_0|}{|G|} \cdot \frac{V(6,\sqrt{N})}{3^{5/2}} / V(24,\sqrt{N}) = \frac{2^{23}3^25^57^311^213 \cdot 23}{\pi^9 \sqrt{3}} N^{-9}$$
$$\approx 5.67 \times 10^{13} \cdot N^{-9} .$$

For small N this is a poor estimate because of the S-lattices' intersections; indeed it doesn't drop below 1 until N=34. However it is easy to treat vectors of small norm directly, with the following results; we denote orbits of type  $\leq 11$  (i.e.  $N \leq 22$ ) using the notation of [Conway 1969]. A vector of type 2 or 3 spans an S-lattice, and one of type 4,  $6_{32}$ ,  $8_{42}$  or  $10_{52}$  represents a frame. One of type  $8_{22}$  is twice a type 2 vector. A vector of type 5,  $6_{22}$ , 7,  $8_{32}$ ,  $9_{32}$  or  $10_{33}$  has one childless descendent, which appears after 2 generations and is an S-lattice of type  $2^23^1$ ,  $2^33^0$ ,  $2^13^2$ ,  $2^23^1$ ,  $2^03^3$  or  $2^13^2$  respectively. A vector of type  $9_{42}$ ,  $10_{42}$  or  $11_{43}$  has one childless descendent; it appears after two

generations and determines a frame. The most complicated case is a vector of type  $11_{52}$ , which has two childless descendents, both S-lattices of type  $2^53^2$ . Considering the sizes of all these orbits shows that a random vector of norm  $\leq 22$  lies in an S-lattice with probability  $\approx .092$ .

We will also obtain the following bound on the number of generations in the family tree:

**Theorem 4.2.** Suppose  $v \in \Lambda - \{0\}$  and  $L_0 \subseteq L_1 \subseteq ... \subseteq L_n$  are marked lattices,  $L_0$  being the span of v and  $L_i$  a child of  $L_{i-1}$  for i = 1, ..., n. Then

$$n \le \log_4(6^6v^2) = \log_4 v^2 + 7.75...$$

To prove theorems 4.1 and 4.2 we will need the following definitions and notation.  $\Lambda/2\Lambda$  is equipped with the quadratic form Q obtained by reducing vectors' types modulo 2. We will consider the restriction of Q to subspaces of  $\Lambda/2\Lambda$ , so we need notation for quadratic forms over  $\mathbb{F}_2$ . We write S ('split') and A ('anisotropic') for the 2-variable quadratic forms xy and  $x^2 + xy + y^2$ , and  $\square$  ('square') and 0 ('zero') for the 1-variable forms  $x^2$  and 0. Any  $\mathbb{F}_2$  quadratic form is a direct sum of copies of these forms, and there are isomorphisms  $S \oplus S \cong A \oplus A$ ,  $S \oplus \square \cong A \oplus \square$  and  $\square \oplus \square \cong \square \oplus 0$ . Any  $\mathbb{F}_2$  quadratic form q has associated bilinear form b(x,y) = q(x+y) - q(x) - q(y). We write B for the bilinear form on  $\Lambda/2\Lambda$ , which is given by reducing lattice vectors' inner products modulo 2. We call a subspace isotropic if Qvanishes identically on it; note that this is a stronger condition than the vanishing of B. Vectors of  $\Lambda$  of types 2 and 3 we call 2-vectors and 3-vectors, and their images in  $\Lambda/2\Lambda$  we call 2-classes and 3-classes. If L is a sublattice of  $\Lambda$  then we call it reduced if  $L/2L \to \Lambda/2\Lambda$  is injective; its reduction is defined as  $(L \otimes \mathbb{Z}[\frac{1}{2}]) \cap \Lambda$  and is reduced. Now suppose L is reduced and  $\bar{L}$  frame-free. We write  $L_0$  for the integer span of  $\{\hat{v}|\bar{v}\in\bar{L} \text{ and } \hat{v}\in L\otimes\mathbb{Q}\}$ . Almost all of our arguments refer to  $L_0$  rather than L itself. If  $\bar{v} \in L - \{0\}$  has  $\hat{v} \perp L$  then we call  $\bar{v}$ an ambiguous class and  $\hat{v}$  an ambiguous vector. The point of these definitions is that algorithm 3.1 produces a child of L if some  $\bar{v} \in L$ has no representative in  $L_0$ , and it produces two children when every such class is ambiguous. The ambiguity is whether to extend L to a larger marked lattice by adjoining  $\hat{v}$  or  $-\hat{v}$ .

**Lemma 4.3.** Every 7-dimensional subspace of  $\Lambda/2\Lambda$  contains a frame, as does every 3-dimensional isotropic subspace.

*Proof.* The first claim follows from the second, since any  $\mathbb{F}_2$ -quadratic form of dimension  $\geq 7$  contains a 3-dimensional isotropic subspace.

To prove the second claim, choose three linearly independent short vectors  $e_1$ ,  $e_2$  and  $e_3$  representing elements of the subspace and write L for their integral span. If any  $e_i$  has type 4 then we're done. Otherwise they all have type 2 (norm 4) and their pairwise inner products are in  $\{0, \pm 2\}$ . It is well-known that any family of norm 2 vectors having inner products in  $\{0, \pm 1\}$  spans a direct sum of  $A_n$ ,  $D_n$  and  $E_n$  root lattices, so L is a scaled version of  $A_1^3$ ,  $A_2 \oplus A_1$  or  $A_3$ . Each of these root systems contains a pair of orthogonal roots, so L contains a norm 8 (type 4) vector and  $\bar{L}$  contains a frame.

Proof of theorem 4.2: If a child of a marked lattice has the same dimension, its determinant is that of its parent, divided by 4. If it has larger dimension, its determinant is at most that of its parent, multiplied by 6. By lemma 4.3 the latter can occur at most 6 times. The theorem follows because  $L_0$  has determinant  $v^2$  and all the determinants are integers.

The next three lemmas deal with special arrangements of vectors and are difficult to motivate without first looking at the proof of lemmas 4.8. We recommend jumping straight to lemma 4.7.

**Lemma 4.4.** Consider a 2-dimensional subspace V of  $\Lambda/2\Lambda$  with one class  $\tau$  of type 2 and two classes  $\theta_1$  and  $\theta_2$  of type 3. Then either every pair among  $\hat{\tau}$ ,  $\hat{\theta}_1$  and  $\hat{\theta}_2$  is orthogonal or no pair is.

Proof. By computing  $B|_V$  from  $Q|_V$  we see that all inner products among the three vectors are even. If  $\hat{\tau}$  makes nonzero inner product with one of the  $\hat{\theta}$ 's, say  $\hat{\theta}_1$ , then without loss of generality we may take  $\hat{\tau} \cdot \hat{\theta}_1 = 2$  and  $\hat{\theta}_2 = \hat{\theta}_1 - \hat{\tau}$ . Direct computation shows that no two are orthogonal. We have  $\hat{\theta}_1 \cdot \hat{\theta}_2 \neq \pm 2$  because otherwise  $\tau = \theta_1 - \theta_2$  would be a frame, not a 2-class. If  $\hat{\theta}_1 \cdot \hat{\theta}_2 \neq 0$  then without loss of generality we have  $\hat{\theta}_1 \cdot \hat{\theta}_2 = 4$  and  $\hat{\tau} = \hat{\theta}_1 - \hat{\theta}_2$ , and again direct computation shows that no two are orthogonal.

**Lemma 4.5.** Suppose W is a 3-dimensional frame-free subspace of  $\Lambda/2\Lambda$  with  $Q|_W \cong \square \oplus 0 \oplus 0$ . Then the 2-vectors representing the 2-classes of W span an S-lattice S of type  $2^33^0$ . Writing  $\theta_1, \ldots, \theta_4$  for the 3-classes of V, if two of the  $\hat{\theta}_i$ 's are orthogonal to S then all of them are. In this case,  $\hat{\theta}_i \perp \hat{\theta}_j$  for all  $i \neq j$ , and there is an S-lattice of type  $2^{27}3^{36}$  containing S and all the  $\hat{\theta}_i$ .

*Proof.* The span S of the 2-vectors is clearly an S-lattice of the specified type, since they have nonzero even inner products with each other. It will be convenient to name the 2-classes  $\tau_1$ ,  $\tau_{\omega}$  and  $\tau_{\bar{\omega}}$ , the subscripts

denoting the nonzero elements of the finite field  $\mathbb{F}_4$ ; we may take  $\hat{\tau}_1 + \hat{\tau}_{\omega} + \hat{\tau}_{\bar{\omega}} = 0$ . By considering  $Q|_W$  one sees that all inner products of representatives for elements of W are even. If some  $\hat{\theta}_i$ , say  $\hat{\theta}_1$ , is not in  $S^{\perp}$  then without loss of generality we may suppose  $\hat{\theta}_1 \cdot \hat{\tau}_1 = 0$ ,  $\hat{\theta}_1 \cdot \hat{\tau}_{\omega} = 2$  and  $\hat{\theta}_1 \cdot \hat{\tau}_{\bar{\omega}} = -2$ . Then  $\hat{\theta}_1 + \hat{\tau}_{\bar{\omega}}$  and  $\hat{\theta}_1 - \hat{\tau}_{\omega}$  are also 3-vectors, are also not in  $S^{\perp}$ , and represent two of the remaining 3-classes of W. We have proven that if any of the  $\hat{\theta}_i$  is non-orthogonal to S then at least 3 of them are, which is a restatement of our second claim.

Now suppose all the  $\hat{\theta}_i$  lie in  $S^{\perp}$ ; we use new subscripts, writing  $\theta_0$ ,  $\theta_1$ ,  $\theta_{\omega}$  and  $\theta_{\bar{\omega}}$  for the 3-classes of W. We may so this so that the addition table for W is  $\tau_a + \tau_b = \tau_{a+b}$ ,  $\tau_a + \theta_b = \theta_{a+b}$  and  $\theta_a + \theta_b = \tau_{a+b}$ . (For purposes of this notation we take  $\tau_0 = 0 \in W$ .) The claim  $\hat{\theta}_i \cdot \hat{\theta}_j = 0$  for  $i \neq j$  follows from lemma 4.4 because  $\hat{\theta}_i$  is orthogonal to  $\widehat{\theta}_i + \widehat{\theta}_j = \hat{\tau}_{i+j}$ . All that remains is to construct the desired S-lattice. For this we note that besides the  $\hat{\tau}_a$  and  $\hat{\theta}_b$ ,  $\Lambda$  also contains the sixteen 3-vectors  $(\pm \hat{\theta}_0 \pm \hat{\theta}_1 \pm \hat{\theta}_\omega \pm \hat{\theta}_{\bar{\omega}})/2$ , since  $\theta_0 + \theta_1 + \theta_\omega + \theta_{\bar{\omega}} = 0 \in W$ . Similarly, it contains the forty-eight 2-vectors  $(\pm \hat{\tau}_a \pm \hat{\theta}_b \pm \hat{\theta}_{a+b})/2$  with  $a, b \in \mathbb{F}_4$  and  $a \neq 0$ , the twenty-four 3-vectors  $(\pm (\hat{\tau}_a + \hat{\tau}_b) \pm \hat{\theta}_a \pm \hat{\theta}_b)/2$  with  $a, b \in \mathbb{F}_4 - \{0\}$ , and the twenty-four 3-vectors  $(\pm (\hat{\tau}_a + \hat{\tau}_b) \pm \hat{\theta}_a \pm \hat{\theta}_b)/2$  with  $a, b \in \mathbb{F}_4 - \{0\}$ , and the twenty-four 3-vectors  $(\pm (\hat{\tau}_a + \hat{\tau}_b) \pm \hat{\theta}_0 \pm \hat{\theta}_c)/2$  where a, b and c are the nonzero elements of  $\mathbb{F}_4$  in any order. We have exhibited a 6-dimensional lattice with fifty-four 2-vectors and seventy-two 3-vectors, which is an S-lattice by sublemma 3.3.

**Lemma 4.6.** Co<sub>0</sub> acts transitively on ordered triples (u, v, w) of vectors in  $\Lambda$  satisfying  $u^2 = v^2 = 4$ ,  $w^2 = 6$ ,  $u \cdot v = u \cdot w = v \cdot w = 2$ . The lattice K spanned by three such vectors is primitive in  $\Lambda$  and has one ambiguous class  $\bar{x}$ . The pointwise stabilizer of K exchanges the two short representatives  $\pm \hat{x}$ , the lattice obtained adjoining them to K is not reduced, and its reduction represents a frame.

*Proof.* By [Curtis 1973, p. 562] we may take  $u = (4, -4, 0^{22})$  and  $w = (5, 1^{23})$ . The simultaneous stabilizer of these vectors is the Higman-Sims group HS of order  $44\,352\,000$ , and one can enumerate the 2-vectors having inner product 2 with each of u and w. There are 1100 of them, one of which is  $v = (4, 0, -4, 0^{21})$ . We will prove transitivity on the 1100 by showing that the stabilizer G of v in HS has order |HS|/1100. (It obviously has at least this order.)

 $(3^3, -1^{21})$  is orthogonal to K. No other class of  $\bar{K}$  is ambiguous because we have seen that K contains u, v, u - v, w, w - u and w - v.

The stabilizer  $G_{\hat{x}}$  of  $\hat{x}$  fixes  $(2^{24})$ , which represents the standard frame. Therefore  $G_{\hat{x}} \subseteq 2^{12}:M_{24}$ , and it is easy to see that  $G_{\hat{x}} = M_{21}$ , of order 20 160. Since  $|M_{21}| = |HS|/2200$ , and every element of G fixes or negates  $\hat{x}$ , we have  $|G| \leq |HS|/1100$ . This gives |G| = |HS|/1100, proving the claimed transitivity. We also see  $[G:G_{\hat{x}}]=2$ , so some element of G negates  $\hat{x}$ . The 4-dimensional lattice spanned by K and  $\hat{x}$  is not reduced since we have already seen that its reduction contains  $(2^{24})$ , which represents the standard frame.

To prove the primitivity, compute the determinant of K, which is 56. Since the only square dividing 56 is 4, any 3-dimensional enlargement of K in  $\Lambda$  contains K of index 2. No such enlargement exists because K is reduced.

**Lemma 4.7.** Suppose L is a marked lattice with two children. Then

- (a)  $\bar{L}$  is frame-free.
- (b) L is reduced.
- (c) For all  $\ell \in L$ ,  $\hat{\ell}$  either lies in  $L_0$  or is ambiguous.
- (d)  $\bar{L}$  contains an ambiguous class.
- (e)  $\bar{L}$  contains no isotropic 3-space.
- (f) The only elements of  $\bar{L}$  that can be ambiguous are those in  $\ker(B|_{\bar{L}})$ .
- (g) If  $L_0$  contains a 2-vector, then it contains the short representatives of all the 2-classes of  $\bar{L}$ .
- (h) If  $L_0$  contains no 2-vectors, then any two independent 3-vectors in  $L_0$  have inner product 0 or  $\pm 3$ , and  $L_0$  is a scaled copy of a direct sum of  $A_n$ ,  $D_n$  and  $E_n$  root lattices.

Proof. (a), (b) and (c) hold because algorithm 3.1 applied to L does not stop at any of its first three steps. We have (d) because step 4 of that algorithm does apply to L. Because of lemma 4.3, (a) implies (e). If  $\bar{\ell} \in \bar{L}$  does not lie in  $\ker(B|_{\bar{L}})$  then  $\hat{\ell}$  has odd inner product with some element of L, so (c) implies  $\hat{\ell} \in L_0$ . This proves (f). If  $\hat{v}$  is a 2-vector of  $L_0$  and  $\bar{w} \in \bar{L}$  is an ambiguous 2-class, then  $\hat{w} \perp \hat{v}$ , so that  $\hat{w} + \hat{v}$  has type 4 and  $\bar{L}$  contains a frame, contradicting (a). This proves (g).

Now we prove the first part of (h). If  $v, w \in L_0$  are independent 3-vectors then their inner product is  $0, \pm 1, \pm 2, \pm 3$  or  $\pm 4$ , so it suffices to rule out the cases  $v \cdot w = 1, 2$  or 4. In the last case v - w is a 2-vector of  $L_0$ , contrary to hypothesis. In the case  $v \cdot w = 2, v - w$  has type 4, contrary to (a). Now we show that  $v \cdot w = 1$  leads to a contradiction; since  $Co_0$  acts transitively on pairs of 3-vectors with inner product 1

the following claims can be verified by checking a single example. The sum v+w has type 5, and the two possibilities for  $\widehat{v+w}$  are  $\pm u$ , where u has type 3 and makes inner product 1 with each of v and w. Therefore  $u \in L_0$  by (f). Now,  $u+v+w \in 2\Lambda$ , and we write x for (u+v+w)/2, which lies in  $L_0$  by (b). Then x-u, x-v and x-w are 2-vectors of  $L_0$ , contrary to hypothesis. The second part of (h) follows from the first by mimicking the argument for lemma 4.3.

**Lemma 4.8.** Suppose L is a marked lattice of dimension d that has two children. Then one of the following holds:

- (i)  $d \leq 2$ ; or
- (ii) L lies in an S-lattice; or
- (iii)  $L_0$  is a copy of the 3-dimensional lattice K of lemma 4.6 and contains L; or
- (iv) d = 3 and dim  $L_0 \leq 1$ .

*Proof.* It suffices to assume  $d \geq 3$  and show that one of (ii), (iii) and (iv) applies. We will refer to the assertions of lemma 4.7 simply by their letter. By (b), dim  $\bar{L} = d$ . By (e),  $\bar{L}$  contains no isotropic 3-space. By (d) and (f),  $\ker(B|_{\bar{L}}) \neq 0$ . One can enumerate the  $\mathbb{F}_2$  quadratic forms satisfying these conditions, with the result that one of following holds:

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d=5 and Q|_{\bar{L}}\cong S\oplus A\oplus 0 or S\oplus S\oplus \square;
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$$d=4$$
 and  $Q|_{\bar{L}}\cong S\oplus\square\oplus 0$  or  $A\oplus 0\oplus 0$ ; or

$$d=3 \text{ and } Q|_{\bar{L}} \cong S \oplus \square, \ S \oplus 0, \ A \oplus 0 \text{ or } \square \oplus 0 \oplus 0.$$

In cases  $S \oplus A \oplus 0$  and  $S \oplus 0$ ,  $L_0$  contains a 2-vector by (f); since the nonzero element of  $\ker(B|_{\bar{L}})$  is a 2-class, its representative lies in  $L_0$  by (g). But then there are no ambiguous classes, contrary to (d). We now treat the remaining cases one by one, splitting the last case into two parts. To prove that L lies in an S-lattice it suffices to prove that  $L_0$  does and that  $\dim L_0 = \dim L$ , because then L lies in the rational span of an S-lattice. Since S-lattices are primitive (their images in  $\Lambda/2\Lambda$  satisfy the hypotheses of sublemma 3.3), L lies in the S-lattice itself. In all cases except  $\Box \oplus 0 \oplus 0$  the equality  $\dim L_0 = \dim L$  is automatic because the elements of  $\bar{L} - \ker(B|_{\bar{L}})$  span  $\bar{L}$ .

Case  $S \oplus S \oplus \square$ : We will show that  $L_0$  lies in an S-lattice of type  $2^{27}3^{36}$ . Only the 3-class in  $\ker(B|_{\bar{L}})$  is ambiguous, so  $L_0$  contains 2-vectors representing the fifteen 2-classes of  $\bar{L}$ . These classes may be identified with the 15 duads from  $\{1,\ldots,6\}$ , in such a way that classes have even inner product if and only if the corresponding duads are disjoint. In this notation, if i, j, k, l, m and n are  $1,\ldots,6$  in any order, then ij, kl and mn lie in a 2-dimensional isotropic subspace. The isotropic subspace containing 12, 34 and 56 lifts to an S-lattice of type  $2^33^0$ ; we choose lifts 12, 34 and 56 summing to 0. Every other

duad is disjoint from one of these three and meets the other two, and we choose the short representative ij of ij which makes inner product -2with whichever one of  $\hat{12}$ ,  $\hat{34}$  and  $\hat{56}$  it has even inner product with. We have now chosen representatives for all the 2-classes of  $\bar{L}$ , and we claim that any two with even inner product have inner product -2. If  $\widehat{13} \cdot \widehat{24} = 2$  then  $\widehat{13} - \widehat{24}$  and  $\widehat{56}$  would be orthogonal 2-vectors and  $\overline{L}$ would contain a frame. If  $\hat{13} \cdot \hat{45} = 2$  then  $\hat{13} - \hat{45}$  and  $\hat{56}$  would be orthogonal 2-vectors and  $\bar{L}$  would contain a frame. Up to symmetry of our notation, these are the only cases to check, so the claim is proven. Next we claim that any pair of our vectors with odd inner product have inner product 1. If  $i, j, k, \ell, m$  and n are  $1, \ldots, 6$  in any order then  $\widehat{ij}+\widehat{k\ell}+\widehat{mn}=0, \, \widehat{ik}\cdot\widehat{mn}=-2 \text{ and } \widehat{ik}\cdot\widehat{ij} \text{ and } \widehat{ik}\cdot\widehat{kl} \text{ lie in } \{\pm 1\}.$  Therefore  $\hat{ik}\cdot\hat{ij}=1$  for any distinct i, j and k, proving the claim. We have proven that the configuration of the 2-vectors is uniquely determined. That is, we may choose coordinates on  $L_0 \otimes \mathbb{R}$  such that  $\widehat{12}$  is the vector  $\frac{1}{\sqrt{3}}(2,2,-1,-1,-1,-1)$  and similarly for the other  $\hat{ij}$ 's, with the 2's appearing in the ith and jth positions. (We refer to the standard metric on  $\mathbb{R}^6$  and note that all our vectors have coordinate sum zero.) Therefore  $L_0$  contains the vectors  $\alpha_i = \frac{1}{\sqrt{3}}(1,\ldots,1,-5,1,\ldots,1)$ , with the -5 in the *i*th position. Since these have even inner product with all the  $\widehat{jk}$ , all represent the ambiguous class of  $\overline{L}$ , say  $\overline{v}$ . This class has type 3 and  $\hat{v}$  is orthogonal to  $L_0$ , so we may suppose  $\hat{v} = (1, \dots, 1)$  in our coordinates. Since  $\alpha_i \equiv \hat{v}$  modulo  $2\Lambda$ ,  $\Lambda$  contains the six vectors  $a_i = (\alpha_i + \hat{v})/2$  and also the six vectors  $b_i = (\alpha_i - \hat{v})/2$ . The  $a_i$ and  $b_i$  have type 2. Adjoining them to the  $\hat{ij}$  yields the configuration of twenty-seven 2-vectors spanning Curtis's S-lattice 2<sup>27</sup>3<sup>36</sup>. We have proven that  $L_0$  lies in this S-lattice.

Case  $S \oplus \Box \oplus 0$ : We will show that  $L_0$  lies in an S-lattice  $2^{27}3^{36}$ . By (f),  $L_0$  contains contains short representatives for the classes not in  $\ker(B|_{\bar{L}})$ ; since one of these is a 2-class, (g) implies that  $L_0$  also contains a short representatives a for the unique 2-class in  $\ker(B|_{\bar{L}})$ . There are 6 other 2-classes in  $\bar{L}$ , which fall into 3 pairs, each pair summing to  $\bar{a}$ . Since each pairs trivially with  $\bar{a}$  under B, we may choose short representatives having inner product 2 with a. Consideration of  $B|_{\bar{L}}$  shows that vectors of different pairs have inner product  $\pm 1$ . A contradiction arises if any of these inner products is -1, so all are +1. It follows that our seven vectors may be taken to be a=(2,0,0,0) and  $(1,\pm\sqrt{3},0,0), (1,0,\pm\sqrt{3},0)$  and  $(1,0,0,\pm\sqrt{3})$ . A simple argument shows that every point in their real span lies at distance < 2 of their integral span S. (The key is that S contains an  $A_3$  lattice orthogonal to

a, scaled to have minimal norm 6; this scaled  $A_3$  has covering radius  $\sqrt{3}$  by [Conway and Sloane 1988, p. 112].) This implies that S is primitive in  $\Lambda$ , since  $\Lambda$  has minimal norm 4. Therefore  $L_0 = S$ . No 3-vector in S represents  $\theta_1$  or  $\theta_2$ , so  $\hat{\theta}_1$  and  $\hat{\theta}_2$  lie in  $L^{\perp}$ . Now we can use lemma 4.5: consider the 3-dimensional subspace of  $\bar{L}$  containing a,  $\theta_1$ ,  $\theta_2$  and any other 2-class. By lemma 4.5 the short representatives for this subspace span a 6-dimensional lattice that lies in (hence rationally spans) the rational span of  $L_0$ ,  $\hat{\theta}_1$  and  $\hat{\theta}_2$ . Also, this 6-space meets  $\Lambda$  in an S-lattice  $2^{27}3^{36}$ , so  $L_0$  lies in the S-lattice.

Case  $A \oplus 0 \oplus 0$ : We will show that  $L_0$  lies in an S-lattice  $2^{27}3^{36}$ . By (f),  $L_0$  contains the short representatives for the 12 elements of  $\bar{L} - \ker(B|_{\bar{L}})$ , all of type 3. If  $L_0$  contained the short representative for any element of  $\ker(B|_{\bar{L}})$  then it would contain all of them by (g), so no class would be ambiguous, contrary to (d). Therefore  $L_0$  contains no 2-vectors. By (h) the 3-vectors of  $L_0$  form a (scaled) root system of dimension  $\leq 4$ . Since there are 24 roots, it can only be of type  $D_4$ . This  $D_4$  is of course orthogonal to the S-lattice  $2^33^0$  spanned by the short representatives of  $\ker(B|_{\bar{L}})$ , and lemma 4.5 implies that some S-lattice  $2^{27}3^{36}$  contains both this S-lattice and the  $D_4$ . In particular, it contains  $L_0$ .

Case  $S \oplus \square$ : We will show that  $L_0$  lies in an S-lattice  $2^93^6$ . There is only one ambiguous class, which has type 3. Considering  $Q|_{\bar{L}}$ , we see that  $L_0$  contains three linearly independent 2-vectors, any two having odd inner product. After negating one of them we may suppose that either all their inner products are 1 or that two are 1 and the third is -1. In the latter case the thee vectors form the configuration of figure I.8 of [Curtis 1973], and Curtis shows that the three vectors span an S-lattice  $2^33^4$ . This would mean that no class is ambiguous, contrary to (d). Therefore all the inner products are 1, and the vectors form the configuration of Curtis' figure I.7. In this case Curtis shows that  $L_0$  lies in a 4-dimensional S-lattice  $2^93^6$ .

Case  $A \oplus 0$ : We will show that  $L_0$  lies in an S-lattice of type  $2^{27}3^{36}$ . By (f), only the 2-class could be ambiguous, so  $L_0$  contains twelve short representatives of the six 3-classes of  $\bar{L}$ . Also,  $L_0$  does not contain any 2-vectors, for otherwise no class of  $\bar{L}$  would be ambiguous. By (h) the 3-vectors form a (scaled) root system of dimension  $\leq 3$ ; since there are 12 roots it must have type  $A_3$ . We choose coordinates using the standard inner product on  $\mathbb{R}^4$ , with  $a_{12} = (\sqrt{3}, -\sqrt{3}, 0, 0)$  and similarly for  $a_{ij}$   $(i, j = 1, \ldots, 4$  with  $i \neq j$ ), the  $\sqrt{3}$  appearing in the ith place and the  $-\sqrt{3}$  appearing in the jth. We write b for a 2-vector representing the 2-class of  $\bar{L}$ , which is of course orthogonal to the 3-vectors. In our

coordinates we may take b=(1,1,1,1). if i,j,k and  $\ell$  are 1, 2, 3 and 4, in any order, then  $a_{ij}+a_{k\ell}$  has even type. Since it is too short to lie in  $2\Lambda$ , it is congruent modulo  $2\Lambda$  to b, so  $\Lambda$  contains the vectors  $(a_{ij}+a_{k\ell}+b)/2$ . Consider the vectors  $a_{13}$ ,  $a_{24}$ , b and  $b'=(a_{12}+a_{34}+b)/2$ . Since  $a_{13}+a_{24}\equiv b$ , their images in  $\Lambda/2\Lambda$  span a 3-dimensional space. Since  $a_{13}$  and  $a_{24}$  are orthogonal to the S-lattice  $2^33^0$  spanned by b and b', lemma 4.5 implies that all four vectors lie in an S-lattice  $2^{27}3^{36}$ . Therefore  $L_0$  lies in this S-lattice.

Case  $\square \oplus 0 \oplus 0$ , with  $L_0$  containing a 2-vector: we will show that one of cases (ii) and (iii) applies. By (g),  $L_0$  contains the short representatives for all three 2-classes of L; together these span an S-lattice  $2^33^0$ , say S. By lemma 4.5, either all the short representatives of the 3-classes are orthogonal to S, or only one is. In the second case  $L_0$  contains a triple of vectors as in lemma 4.6, hence contains a copy of the lattice K treated there. Since K is primitive it equals  $L_0$ , and since dim  $L_0 = \dim L$ , K contains L. We have proven that (iii) applies. Now we treat the allorthogonal case. By lemma 4.5, representatives  $\hat{a}_1, \ldots, \hat{a}_4$  for the four 3-classes are mutually orthogonal; we write V for their real span and note that  $V \cap \Lambda$  also contains the vectors  $(\pm \hat{a}_1 \pm \hat{a}_2 \pm \hat{a}_3 \pm \hat{a}_4)/2$ . Now, L contains a vector z with  $\bar{z} = a_1$ , and we claim that z has nonzero projection into V. If  $z \perp V$  then the vectors  $(\hat{a}_1 + \hat{a}_2 + \hat{a}_3 + \hat{a}_4)/2$  and  $(\hat{a}_1 + z)/2$  of  $\Lambda$  have non-integral inner product, which is impossible. Since the projection of z has nonzero, some  $\hat{a}_i$  is not in  $L^{\perp}$  and therefore lies in  $L_0$ . Then  $L_0$  has dimension 3 and hence the same rational span as L. Then the usual argument applies: since  $L_0$  lies in an S-lattice, so does L. We note for use in the proof of theorem 4.1 that  $L_0$  is the orthogonal direct sum of S with the span of a 3-vector.

Case  $\Box \oplus 0 \oplus 0$ , with  $L_0$  containing no 2-vectors: either (iv) holds, or else  $L_0$  contains two linearly independent short vectors; we will show that under the latter condition, L lies in an S-lattice  $2^{27}3^{36}$ . We write  $b_0$  and  $b_1$  for two 3-classes with short representatives in  $L_0$ . We write  $a_1$ ,  $a_{\omega}$ ,  $a_{\bar{\omega}}$ ,  $b_{\omega}$  and  $b_{\bar{\omega}}$  for the other elements of  $\bar{L}$ , using the notation of the proof of lemma 4.5. We choose short representatives  $\hat{a}_1$ ,  $\hat{a}_{\omega}$  and  $\hat{a}_{\bar{\omega}}$  summing to zero. Since  $\hat{b}_0$  and  $\hat{b}_1$  are orthogonal to the  $\hat{a}$ 's, lemma 4.5 implies that  $\hat{b}_{\omega}$  and  $\hat{b}_{\bar{\omega}}$  are also orthogonal to the  $\hat{a}$ 's, that the  $\hat{b}$ 's are all mutually orthogonal, and that the rational span of all the  $\hat{a}$ 's and  $\hat{b}$ 's meets  $\Lambda$  in an S-lattice  $2^{27}3^{36}$ . We write V for the real span of  $\hat{b}_{\omega}$ ,  $\hat{b}_{\bar{\omega}}$  and the  $\hat{a}$ 's. Now, L contains some z with  $\bar{z} = b_{\omega}$ ; we claim that z has nonzero projection to V: otherwise, the vectors  $(z + \hat{b}_{\omega})/2$  and  $(\hat{a}_1 + \hat{b}_{\omega} + \hat{b}_{\bar{\omega}})/2$  of  $\Lambda$  would have non-integral inner product. Therefore one of  $\hat{a}_1$ ,  $\hat{a}_{\omega}$ ,  $\hat{a}_{\bar{\omega}}$ ,  $\hat{b}_{\omega}$  and  $\hat{b}_{\bar{\omega}}$  is not in  $L^{\perp}$ , hence lies in  $L_0$ . Since

 $L_0$  contains no 2-vectors, either  $\hat{b}_{\omega}$  or  $\hat{b}_{\bar{\omega}}$  lies in  $L_0$ . Then  $L_0$  has the same dimension as L; since  $L_0$  lies in an S-lattice, L does too. For use in the proof of theorem 4.1 we note that  $L_0$  is spanned by three mutually orthogonal 3-vectors, since no other element of  $\bar{L}_0$  has its short representative in  $L_0 \otimes \mathbb{Q}$ .

Proof of theorem 4.1 (frames case): Suppose a marked lattice T does not lie in any S-lattice, and has more than four childless descendents. Then it has a descendent U with two children, one of which, say V, has a descendent W with two children, one of which, say X, has a descendent Y with two children. Since T does not lie in any S-lattice, neither does any descendent. Lemma 4.8 implies dim  $Y \leq 3$ , and since we have

$$1 \le \dim T \le \dim U < \dim V \le \dim W < \dim X \le \dim Y \le 3$$
,

it follows that

$$\dim T = \dim U = 1$$
$$\dim V = \dim W = 2$$
$$\dim X = \dim Y = 3.$$

Since V is obtained from U (and X from W) by adjoining a short vector, Y contains two linearly independent short vectors. In particular,  $\dim Y_0 > 1$ , so by lemma 4.8,  $Y_0$  is a copy of K and contains Y. Now, U has a single basis vector, say a, and V has basis (a,b) with  $a \equiv b$ modulo  $2\Lambda$  and  $a\perp b$ . Then W is the reduction of V, and Y is obtained by adjoining a short vector c which is orthogonal to both a and b. We have deduced that there is a vector  $a \in K$  which is orthogonal to two mutually orthogonal short vectors of K, and congruent to one of them modulo  $2\Lambda$  (hence modulo 2K). Now, such a exists, and is unique up to isometry of K and multiplication by an odd number. To see this one considers the short vectors of K, all of which are enumerated in the proof of lemma 4.6, and finds all orthogonal pairs of such vectors. Then one checks that Aut K acts transitively on such pairs, so without loss of generality we may suppose our pair is u-v and w in the notation of that lemma. Then a must be an odd multiple of the generator of their orthogonal complement in K, which is  $(-14, 14, 14, 2^{21})$ . We have shown that if any element of  $\Lambda$  ultimately determines frames and has more than 4 childless descendents then this one does. An explicit calculation yields only 4.  Proof of theorem 4.1 (S-lattice case): If a marked lattice L lies in an S-lattice and has more than 16 childless descendents, then the family tree must branch 5 times. Since S-lattices have dimension at most 6, we deduce the existence of marked lattices  $L_i$  of dimension i for each i = 1, ..., 5, each with two children,  $L_1$  a descendent of L, and each other  $L_i$  a descendent of  $L_{i-1}$ . We consider  $L_3$ ; by the argument for lemma 4.8, one of the following is true:

- (i)  $Q|_{\bar{L}} \cong S \oplus \square$  and  $L_3$  lies in a 4-dimensional S-lattice,
- (ii)  $Q|_{\bar{L}} \cong A \oplus 0$  and  $L_{3,0}$  is a copy of the  $A_3$  lattice, scaled to have minimal norm 6,
- (iii)  $Q|_{\bar{L}} \cong \square \oplus 0 \oplus 0$  and  $L_{3,0}$  is spanned by an S-lattice M of type  $2^33^0$  and a 3-vector orthogonal to M, or
- (iv)  $Q|_{\bar{L}} \cong \square \oplus 0 \oplus 0$  and  $L_{3,0}$  is spanned by 3 mutually orthogonal 3-vectors.

Case (i) clearly cannot arise. In each case (ii)–(iv), the argument for the frames case shows that  $L_{3,0}$  contains orthogonal short vectors b and c such that  $L_1$  is spanned by a vector v in  $\langle b, c \rangle^{\perp} \subseteq L_{3,0}$ , with  $v \equiv b$  or c modulo  $2\Lambda$ . In each case,  $\{b, c\}$  is unique up to isometry of  $L_{3,0}$ , and  $L_1$  is spanned by an odd multiple of the generator a of their orthogonal complement in  $L_{3,0}$ , which is easy to find. In cases (ii) and (iv), a is congruent to neither b nor c. In case (iii), a is a vector of type  $6_{22}$ , which has only one childless descendent.

Theorem 4.1 is the best possible because the following considerations construct a marked lattice with 16 childless descendents. Let E be an S-lattice  $2^{27}3^{36}$  and  $L_3$  the complement in E of 3 mutually orthogonal 3-vectors  $e_1$ ,  $e_2$  and  $e_3$ . (Aut(E) acts transitively on ordered 4-tuples of mutually orthogonal 3-vectors in E, so there are no choices to make.) Then all but three classes of  $\bar{L}_3$  have short representatives in  $L_3$ , and these three classes have representatives  $e_1$ ,  $e_2$  and  $e_3$ . Adjoining one of the  $e_i$  and reducing yields a lattice  $L_4$ , with all but two classes of  $\bar{L}_4$  having short representatives in  $L_4$ . These two classes are represented by the two remaining  $e_i$ . Adjoining and reducing again yields  $L_5$ , and every class of  $\bar{L}_5$  has a short representative in  $L_5$  except one, represented by the last of the  $e_i$ . Adjoining and reducing one last time yields E. It is easy to find a vector in  $L_3$  with two children and  $L_3$  among its descendents. Such a vector has 16 childless descendents.

# 5. Orbits under $2^{12}:M_{24}$

To test the equivalence of vectors under  $2^{12}:M_{24}$  we reduce the problem to several tests under  $M_{24}$ . Recall that the normal subgroup  $2^{12}$  of  $2^{12}:M_{24}$  is a copy of C, acting by negating signs on C-sets. **Theorem 5.1.** Suppose  $v, w \in \mathbb{R}^{24}$ , V (resp. W) is the set of vectors in  $C \cdot v$  (resp.  $C \cdot w$ ) with fewest possible negative coordinates, and  $w' \in W$ . Then v and w are  $2^{12}:M_{24}$ -equivalent if and only if w' is  $M_{24}$ -equivalent to some element of V.

The proof is trivial, and the factor determining performance is clearly the size of V; in fact  $|V| \leq 12$  in all cases. To verify this we used the enumeration [Conway and Sloane 1988, p. 284] of  $M_{24}$ -orbits of subsets X of  $\Omega$ : for each X we considered the subgroup of  $\{\pm 1\}^X$  obtained by restricting  $\mathcal{C}$ -sets to X, and found all coset representatives with fewest possible -1's. We also verified  $|V| \leq 12$  by using an implementation of our original  $M_{24}$  algorithm to enumerate  $M_{24}$ -orbits of vectors having all coordinates in  $\{0,\pm 1\}$ . For each such vector we computed V by the method given below. The extreme case |V| = 12 occurs when V has support an umbral dodecad and an odd number of negative coordinates.

To use theorem 5.1 we need to be able to find V and W. We may do this by applying one of the Golay-decoding algorithms in [Conway and Sloane 1986] or [Vardy and Be'ery 1991]. These are "soft" decoding algorithms, which means that they view  $\mathcal{C}$  as a subset of  $\mathbb{R}^{24}$ , with a C-set  $\gamma$  corresponding to the vector with coordinates -1 on  $\gamma$ and +1 elsewhere. Given a vector of  $\mathbb{R}^{24}$ , these algorithms return a  $\mathcal{C}$ -set with maximal inner product with that vector. Given v we define the vector  $v_0$  whose ith coordinate is the sign (0, +1, or -1) of the ith coordinate of v. After applying the Golay decoder to  $v_0$  to obtain a codeword  $\gamma$ , set  $v' = \gamma \cdot v$ . A little thought verifies that v' has the fewest negative coordinates of any element of  $\mathcal{C} \cdot v$ . The Golay decoders of [Conway and Sloane 1986] and [Vardy and Be'ery 1991] proceed by computing the inner products of codewords with a given vector, and returning a codeword with the largest inner product. (The cleverness of these algorithms lies doing this with as few computations as possible.) We may run a modified version of the decoder, which returns every codeword with maximal inner product. Denoting this set of codewords by C, we have  $V = C \cdot v$ .

If the support  $S \subseteq \Omega$  of v is disjoint from some codewords, then finding V this way is inefficient because C will be larger (possibly much larger) than V. This is only a problem when S is small, and such cases may be handled by the following shortcut, which works when |S| < 12. Let  $\gamma$  be a codeword nearest S. If  $\gamma$  is not an octad or does not lie in S, then V consists of just one vector, with all coordinates positive. If  $\gamma$  is an octad lying in S and v has an even number of negative coordinates positive. If  $\gamma$  is an octad lying in S and v has an odd

number of negative coordinates on  $\gamma$ , then V contains 8 vectors, each with all coordinates positive except for one of the eight points of  $\gamma$ , which is negative.

## 6. Orbits under $M_{24}$

The problem of equivalence of vectors  $v, w \in \mathbb{R}^{24}$  under  $M_{24}$  is not really a question about vectors in  $\mathbb{R}^{24}$ . Namely, we write  $C_v = (c_1, \ldots, c_k)$  where  $c_1 < \cdots < c_k$  are the distinct values taken by the coordinates of v,  $P_i$  for the subset of  $\Omega$  where the coordinates of v equal  $c_i$ , and  $\mathcal{P}_v$  for the corresponding (ordered) partition  $(P_1, \ldots, P_k)$  of  $\Omega$ . Then v and w are  $M_{24}$ -equivalent if and only if  $C_v = C_w$  and  $\mathcal{P}_v$  is  $M_{24}$ -equivalent to  $\mathcal{P}_w$ . Therefore we restrict ourselves to the problem of equivalence of ordered partitions  $\mathcal{P}$  and  $\mathcal{P}'$  of  $\Omega$ . All partitions in our analysis are ordered, so we will suppress the qualifier except for emphasis. The idea is to search for sextets by reducing members of  $\mathcal{P}$  and  $\mathcal{P}'$  modulo  $\mathcal{C}$ , and by several other means. Our procedure will almost always find them, reducing the equivalence problem to the sextet group  $2^6$ :3· $S_6$  of order only 138 240. Of course we must also treat the special case where no sextets are found. We do not provide an algorithm for the sextet group; various combinations of brute force and cleverness are possible.

Suppose  $\mathcal{P}$  is an ordered partition of  $\Omega$ . If some member P of  $\mathcal{P}$  represents a sextet then testing the  $M_{24}$ -equivalence of  $\mathcal{P}$  with some other ordered partition  $\mathcal{P}'$  can be reduced to the sextet group (see theorem 6.5 below). Otherwise, the unique small representative  $\bar{P}$  of P modulo  $\mathcal{C}$  will typically cut the members of  $\mathcal{P}$  nontrivially, so that  $\mathcal{P}$  determines a finer partition, whose members may themselves be reduced modulo  $\mathcal{C}$ , and so on. We will try to refine  $\mathcal{P}$  as much as possible in this manner, interrupting the refinement process if a sextet appears at any point. To make this precise, suppose  $\mathcal{P} = (P_1, \ldots, P_n)$  is an ordered partition and X a subset of  $\Omega$ . We say that X refines  $\mathcal{P}$  if X is not a union of members of  $\mathcal{P}$ . In this case, suppose  $P_{i_1}, \ldots, P_{i_m}$  ( $i_1 < \cdots < i_m$ ) are the member of  $\mathcal{P}$  which meet X but do not lie in it; we define the refinement of  $\mathcal{P}$  by X to be

$$(Q_1,\ldots,Q_n,P_{i_1}\cap X,\ldots,P_{i_m}\cap X)$$
,

where  $Q_j = P_j - X$  for  $j \in \{i_1, \ldots, i_m\}$  and  $Q_j = P_j$  otherwise. We say that  $\mathcal{P}$  is refined if no member of  $\mathcal{P}$  represents a sextet and no member's short representative refines  $\mathcal{P}$ .

**Algorithm 6.1** (Refinement). This algorithm accepts an ordered partition  $\mathcal{P}$  of  $\Omega$  and returns either a sextet S or a refined partition  $\mathcal{R}$  of  $\Omega$ ; in the latter case we call  $\mathcal{R}$  the refinement of  $\mathcal{P}$ .

- Step 1. Set  $\mathcal{R} = \mathcal{P}$ .
- Step 2. If any member of R represents a sextet, set S to be the sextet represented by the first such member, and quit.
- Step 3. If any member of  $\mathcal{R}$  of size 5 or more has small representative which refines  $\mathcal{R}$ , replace  $\mathcal{R}$  by its refinement by  $\bar{R}$ , where R is the first such member, and go back to step 2.
- Step 4. Quit, returning  $\mathcal{R}$ .

*Proof.* The algorithm terminates because each refinement increases the number of members of  $\mathcal{R}$ , of which there may be at most 24. All we have to show is that  $\mathcal{R}$  is refined when defined. If  $\mathcal{R}$  is defined, the algorithm reached step 4, so neither step 2 nor 3 applies to  $\mathcal{R}$ . Since step 2 doesn't apply, no member of  $\mathcal{R}$  represents a sextet, so in particular no member of  $\mathcal{R}$  has size 4. Since step 3 doesn't apply, no member of  $\mathcal{R}$  of size > 4 has small representative that refines  $\mathcal{R}$ . Since any member of  $\mathcal{R}$  of size < 4 is its own short representative,  $\mathcal{R}$  is refined.

Because our criteria and constructions refer only to  $\mathcal{C}$  and the ordering of  $\mathcal{P}$ , the sextet is natural when defined, in the sense that if the algorithm applied to  $\mathcal{P}$  produces a sextet S and  $g \in M_{24}$ , then the algorithm applied to  $g(\mathcal{P})$  produces the sextet g(S). Similarly, if  $\mathcal{P}$  has refinement  $\mathcal{R}$  and  $g \in M_{24}$  then  $g(\mathcal{P})$  has refinement  $g(\mathcal{R})$ . Refined partitions are rather special:

**Lemma 6.2.** Suppose  $\mathcal{R}$  is a refined ordered partition of  $\Omega$ . Then either some tetrad is a union of members of  $\mathcal{R}$ , or else  $\mathcal{R}$  has one of the 71 shapes listed in table 6.1. (A line beneath some of the listed sets indicates that their union is a codeword.)

*Proof.* The proof is an uninspiring slog through many cases. We assume throughout that no tetrad is a union of members of  $\mathcal{R}$ . What gets the enumeration off the ground is that a member R of  $\mathcal{R}$  of size  $\geq 5$  is disjoint from its small representative—otherwise  $\bar{R}$  would refine  $\mathcal{R}$ . This means that the only sets that can appear in  $\mathcal{R}$  are monads, duads, triads and sets of the form

(a golay codeword) - (0, 1, 2 or 3 of its points).

Also, if R is a member of  $\mathcal{R}$  then  $\overline{R}$  refines  $\mathcal{R}$  if and only if the codeword  $R + \overline{R}$  refines  $\mathcal{R}$ . Table 6.1 was obtained by first enumerating those partitions with no large sets, then those with exactly one large set, then those containing a pentad and at least one other large set, then those containing a hexad and at least one other large set, but no pentad, and so on.

• 3.3.3.3.3.3.3.3	$\underline{5.1.2.6.10}$	6.1.1.8.8	<u>8</u> . <u>13.1.1.1</u>
• <u>9.3</u> . <u>3.3.3.3</u>	$\circ 5.1.1.1.6.10$	6.2.10.3.3	<u>8.14.2</u>
• <u>10.2</u> . <u>3.3.3.3</u>	• <u>5.3</u> . <u>8</u> . <u>3.3.2</u>	$\circ 6.2.13.3$	<u>8.14.1.1</u>
• <u>12.3.3.3.3</u>	5.3.8.8	6.2.15.1	<u>8</u> . <u>15.1</u>
• <u>13.3.3.3.2</u>	5.2.1.8.8	6.1.1.15.1	<u>8</u> . <u>16</u>
<u>16</u> . <u>3.3.2</u>	<u>5.1.1.1</u> . <u>8</u> . <u>8</u>	<u>6.2.16</u>	• <u>9.3</u> . <u>9.3</u>
21.3	• <u>5.3</u> . <u>13.3</u>	6.1.1.16	$\circ 9.3.10.2$
21.2.1	5.3.14.2	7.1.7.1.7.1	9.3.12
21.1.1.1	5.3.16	<u>7.1.7.1.8</u>	9.2.1.12
22.2	5.2.1.16	<u>7.1.8.8</u>	<u>9.1.1.1</u> . <u>12</u>
22.1.1	5.1.1.1.16	7.1.14.2	10.2.11.1
23.1	<u>6.2</u> .6.10	7.1.14.1.1	<u>10.1.1</u> . <u>11.1</u>
24	<u>6.1.1</u> .6.10	7.1.15.1	<u>10.2</u> . <u>12</u>
• <u>5.3</u> . <u>5.3</u> . <u>3.3.2</u>	<u>6.2</u> .6.9.1	<u>7.1.16</u>	<u>10.1.1.12</u>
• <u>5.3</u> . <u>5.3</u> . <u>5.3</u>	$\circ 6.1.1.6.9.1$	<u>8.8.8</u>	<u>11.1.11.1</u>
• <u>5.3</u> . <u>5.3</u> . <u>6.2</u>	6.2.7.1.8	• <u>8.8.3.3.2</u>	<u>11.1</u> . <u>12</u>
• <u>5.3</u> . <u>5.3</u> . <u>8</u>	6.1.1.7.1.8	<u>8.13.3</u>	<u>12.12</u>
• <u>5.3</u> . <u>6.2</u> . <u>8</u>	6.2.8.8	<u>8.13.2.1</u>	

TABLE 6.1. Possible shapes of refined partitions; see lemma 6.2. Each underline means that the union of the underlined sets is a codeword. A bullet • indicates that partitions of that shape determine a sextets in algorithm 6.3, and a circle of that partitions of that shape are treated by one of the special cases of theorem 6.6. Partitions of other listed shapes are treated by the general case of theorem 6.6.

As an example of the argument we enumerate the partitions containing exactly one pentad p and also some other large set. If there is a hexad h, then the octads  $\mathcal{O}_p$  and  $\mathcal{O}_h$  containing p and h meet in 0, 2 or 4 points because they are  $\mathcal{C}$ -sets. The last case is impossible because then  $\mathcal{O}_p$  and  $\mathcal{O}_h$  would refine  $\mathcal{R}$ . If they are disjoint then  $\mathcal{R}$  has shape  $\underline{5.(3).6.(2).(8)}$ , where (n) indicates some partition of n points. If the (3) is not a triad or the (2) is not a duad then some tetrad would be a union of members of  $\mathcal{R}$ ; therefore  $\mathcal{R}$  has shape  $\underline{5.3.6.2.(8)}$ . By hypothesis the (8) contains no pentad, and it cannot contain a monad, duad or tetrad. Therefore the (8) is a single octad, so  $\mathcal{R}$  has shape  $\underline{5.3.6.2.8}$ , which appears in the table.

We summarize this argument in a compact notation:

- 5.6.(13) &  $\mathcal{O}_p \cap \mathcal{O}_h = \emptyset \Rightarrow \underline{5.(3)}.\underline{6.(2)}.\underline{(8)} \Rightarrow \underline{5.3}.\underline{6.2.(8)} \Rightarrow \underline{5.3}.\underline{6.2.8}$ . Continuing with this notation,
- $5.6.(13) \& |\mathcal{O}_p \cap \mathcal{O}_h| = 2 \Rightarrow \underline{5.1.(2).6.(10)} \Rightarrow \underline{5.1.(2).6.10}$ , so both  $\underline{5.1.2.6.10}$  and  $\underline{5.1.1.1.6.10}$  appear in the table.
  - 5.7.(12) & no 6's  $\Rightarrow 5.(3).7.1.(8) \Rightarrow \text{tetrad}$ .
- 5.8.(11) & no 6's or 7's  $\Rightarrow \underline{5.(3)}.\underline{8.(8)} \Rightarrow \underline{5.(3)}.\underline{8.8}$  or  $\underline{5.(3)}.\underline{8.3.3.2}$ ; in the latter case we must have  $\underline{5.3.8.3.3.2}$ .
- 5.9.(10) & no 6's, 7's or 8's  $\Rightarrow \mathcal{O}_p$  meets the 9-ad's dodecad in 2, 4 or 6 points; only the first case is possible. Therefore we have <u>5.1.(2).1.9.(6)</u>  $\Rightarrow$  tetrad.
  - 5.10.(9) & no 6's through 9's  $\Rightarrow \underline{5.1.2.10}.(6) \Rightarrow \text{tetrad.}$
- 5.N.(19 N) for N = 11 or  $12 \Rightarrow$  a contradiction since  $\mathcal{O}_p$  would meet the N-ad's dodecad in at least two points, hence refine  $\mathcal{R}$ .
- 5.N.(19-N) for  $N=13,\ldots,16\Rightarrow$  the N-ad's 16-ad meets  $\mathcal{O}_p$  in 0, 4, 6 or 8 points; only the first is possible without refining  $\mathcal{R}$ . Therefore we have one of  $\underline{5.(3)}.\underline{13.(3)}, \, \underline{5.(3)}.\underline{14.(2)}, \, \underline{5.(3)}.\underline{15.1}$  and  $\underline{5.(3)}.\underline{16}$ . All cases except those with tetrads appear in the table.

Finally, if  $\mathcal{R}$  contains a pentad then it cannot contain a set larger than a 16-ad, for else  $\mathcal{O}_p$  would meet this set and hence refine  $\mathcal{R}$ .  $\square$ 

- **Algorithm 6.3** (Sextet search). Suppose  $\mathcal{P}$  is an ordered partition of  $\Omega$ . This algorithm returns either a sextet S, or else the refinement  $\mathcal{R}$  of  $\mathcal{P}$  exists and the algorithm returns it.
- Step 1. Apply algorithm 6.1 (refinement), obtaining either a sextet (in which case we set S to be this sextet, and quit), or the refinement  $\mathcal{R}$  of  $\mathcal{P}$ .
- Step 2. (Applies if some tetrad is the union of members of  $\mathcal{R}$ .) Set S to be the sextet containing the first such tetrad, and quit. (See below for the meaning of 'first'.)
- Step 3. (Applies if  $\mathcal{R}$  has 3 triads.) Let  $t_1$ ,  $t_2$  and  $t_3$  be the first three triads, in order. If  $t_1 \cup t_2$  represents a sextet then set S to be this sextet and quit. Otherwise, if  $t_2 \cup t_3$  represents a sextet then set S to be this sextet and quit. Otherwise, the octads containing  $t_1 \cup t_2$  and  $t_2 \cup t_3$  meet in a tetrad; set S to be the corresponding sextet and quit.
- Step 4. (Applies if  $\mathcal{R}$  has shape  $2.3^2.5^2.6$ ,  $3^2.5^2.8$ ,  $3^2.5.13$  or  $3^2.9^2$ .) Write  $t_1$  and  $t_2$  for the two triads, in order. If  $t_1 \cup t_2$  represents a sextet the set S to be this sextet and quit. Otherwise, the octad containing  $t_1 \cup t_2$  meets the first pentad of  $\mathcal{R}$  (or the first nonad in case of shape  $3^2.9^2$ ) in one point, which with  $t_1$  forms a tetrad; set S to be the corresponding sextet and quit.

- Step 5. (Applies if  $\mathcal{R}$  has shape 2.3.5.6.8.) The octad containing the union of the duad and triad meets the pentad in a single point, which with the triad forms a tetrad; set S to be the corresponding sextet and quit.
- Step 6. (Applies if  $\mathcal{R}$  has shape  $2.3^2.8^2$ .) Let t be the first triad,  $\mathcal{O}$  the union of the small sets, and T the trio consisting of  $\mathcal{O}$  and the two octads of  $\mathcal{R}$ ; set S to be the sextet determined by the containments  $t \subseteq \mathcal{O} \in T$  (see lemma 6.4 below), and quit.
- Step 7. (Applies in all other cases.) Quit, returning  $\mathcal{R}$ .

Beyond the fact that the constructions make sense there is nothing to prove. For step 2 we need a notion of the first tetrad T which is a union of members of  $\mathcal{R}$ ; here is one possibility. If  $\mathcal{R}$  contains a tetrad then T is the first such (with respect to the ordering of  $\mathcal{R}$ ). Otherwise, if  $\mathcal{R}$  contains a triad and a monad then T is the union of the first triad and first monad. Otherwise, if  $\mathcal{R}$  contains two duads, T is the union of the first two. Otherwise, if  $\mathcal{R}$  contains a duad and two monads, T is the union of the duad and the first two monads. Otherwise, if  $\mathcal{R}$  contains four monads then T is the union of the first four.

For step 3 we must show that the octads containing  $t_1 \cup t_2$  and  $t_2 \cup t_3$  meet in four points (when such octads exist): this is because they meet in at least 3 (both contain  $t_2$ ) but cannot coincide (else  $t_1 \cup t_2 \cup t_3$  would like in an octad). For step 4, under the condition that  $t_1 \cup t_2$  does not represent a sextet, we claim that the octad  $\mathcal{O}$  containing it meets each pentad (or nonad in the case of shape  $3^2.9^2$ ) once. In case  $2.3^2.5^2.6$ , the sets assemble into  $\mathcal{C}$ -sets as 5.3.5.3.6.2, according to table 6.1. Then it is clear that  $\mathcal{O}$  meets each octad 5.3 in at least three points, hence exactly 4, hence meets each pentad once.

The same argument applies essentially verbatim in case of shapes  $3^2.5^2.8$  and  $3^2.5.13$ . In case  $3^2.9^2$ ,  $\mathcal{R}$  has shape 9.3.9.3 by table 6.1, and  $\mathcal{O}$  meets each dodecad 9.3 in at least three points, hence exactly four. The argument for step 5, with shape 5.3.6.2.8, is the same. For step 6 we note that  $\mathcal{R}$  has shape 8.8.3.3.2 by table 6.1, so that  $\mathcal{O}$  and the two octads are special, and we apply the following lemma:

**Lemma 6.4.** If t is a triad,  $\mathcal{O}$  a special octad, and T a trio, with  $t \subseteq \mathcal{O} \in T$ , then there are octads  $\mathcal{O}' \in \mathcal{C}$  meeting  $\mathcal{O}$  in exactly 4 points, including those of t, and disjoint from one of the other octads of T. All such octads  $\mathcal{O}'$  meet  $\mathcal{O}$  in the same tetrad.

*Proof.*  $M_{24}$  acts transitively on trios, the stabilizer of a trio acts transitively on its octads, and the stabilizer of a trio and one of its octads acts 3-transitively on the octad. Therefore it suffices to verify the claim for one particular inclusion  $t \subseteq \mathcal{O} \in T$ . If we take T to be the standard

trio, then the "Turyn" description of C in [Conway and Sloane 1988, ch.11, §12] makes this obvious. (The tetrad is the unique tetrad containing t that lies in the "line code".)

Remark. Finding  $\tau$  algorithmically is easy—simply transform T to the standard trio in such a way that t is carried into one of the MOG columns. Then  $\tau$  is just that column and the sextet is the standard one. Performing this operation requires finding a suitable permutation in  $M_{24}$ , which is easy using a few precomputed permutations. Also, such a permutation will be needed anyway in theorem 6.5 below.

We say that  $\mathcal{P}$  determines a sextet S if S is the output of algorithm 6.3 applied to  $\mathcal{P}$ . All the constructions of the algorithm are natural in the sense that they use only the ordering on  $\mathcal{R}$  and the structure of  $\mathcal{C}$ . Therefore, if  $g \in M_{24}$  and  $\mathcal{P}$  determines the sextet S, then  $g(\mathcal{P})$  determines the sextet g(S). On the other hand, if  $\mathcal{P}$  doesn't determine a sextet then neither does  $g(\mathcal{P})$ , and g carries the refinement of  $\mathcal{P}$  to that of  $g(\mathcal{P})$ . Given ordered partitions  $\mathcal{P}$  and  $\mathcal{P}'$  of  $\Omega$ , if one determines a sextet and the other does not then they are not  $M_{24}$ -equivalent. If both determine sextets then whether they are  $M_{24}$ -equivalent is determined by the following theorem, and if neither does then it is determined by theorem 6.6.

**Theorem 6.5.** Suppose  $\mathcal{P}$  and  $\mathcal{P}'$  are ordered partitions of  $\Omega$  that determine sextets S and S', and let  $g, g' \in M_{24}$  carry S and S' to the standard sextet. Then  $\mathcal{P}$  and  $\mathcal{P}'$  are  $M_{24}$ -equivalent if and only if  $g(\mathcal{P})$  and  $g'(\mathcal{P}')$  are equivalent under the sextet group  $2^6:3.S_6$  of order  $138\ 240$ .

*Proof.* The 'if' part is trivial. For the converse, suppose  $h \in M_{24}$  carries  $\mathcal{P}$  to  $\mathcal{P}'$ , so that it carries S to S'. Then  $g' \circ h \circ g^{-1}$  carries  $g(\mathcal{P})$  to  $g'(\mathcal{P}')$ ; it also carries the standard sextet to itself, so it lies in the sextet group. (This is essentially the argument we used for theorem 3.5.)  $\square$ 

Finding the permutations needed to apply theorem 6.5 is easy: we can just refer to tables of coset representatives for  $M_{23}$  in  $M_{24}$ ,  $M_{22}$  in  $M_{23}$ ,  $M_{21}$  in  $M_{22}$  and  $M_{20}$  in  $M_{21}$ .

**Theorem 6.6.** Suppose  $\mathcal{P}$  and  $\mathcal{P}'$  are ordered partitions of  $\Omega$  that do not determine sextets, and let  $\mathcal{R}$  and  $\mathcal{R}'$  be their refinements. If  $\mathcal{R}$  and  $\mathcal{R}'$  are not  $S_{24}$ -equivalent then  $\mathcal{P}$  and  $\mathcal{P}'$  are not  $M_{24}$ -equivalent. If  $\mathcal{R}$  and  $\mathcal{R}'$  are  $S_{24}$ -equivalent then:

(1) (Applies if both  $\mathcal{R}$  and  $\mathcal{R}'$  have shape  $1^3.5.6.10$  or both have shape  $1^3.6^2.9.$ ) The octad containing the first hexad of  $\mathcal{R}$  contains two of the monads, and the remaining monad is the first,

- second or third monad of  $\mathcal{R}$ ; define n = 1, 2 or 3 in these cases, and define n' similarly using  $\mathcal{R}'$  in place of  $\mathcal{R}$ . Then  $\mathcal{P}$  and  $\mathcal{P}'$  are  $M_{24}$ -equivalent if and only if n = n'.
- (2) (Applies if both  $\mathcal{R}$  and  $\mathcal{R}'$  have shape 2.3.6.13.) Let h be the hexad of  $\mathcal{R}$  and  $\mathcal{O}$  the octad containing the duad and triad, and similarly for h' and  $\mathcal{O}'$ . Then  $\mathcal{P}$  and  $\mathcal{P}'$  are  $M_{24}$ -equivalent if and only if  $|h \cap \mathcal{O}| = |h' \cap \mathcal{O}'|$ .
- (3) (Applies if both  $\mathcal{R}$  and  $\mathcal{R}'$  have shape 2.3.9.10.) Let n be the nonad of  $\mathcal{R}$  and  $\mathcal{O}$  the octad containing the duad and triad, and similarly for n' and  $\mathcal{O}'$ . Then  $\mathcal{P}$  and  $\mathcal{P}'$  are  $M_{24}$ -equivalent if and only if  $|n \cap \mathcal{O}| = |n' \cap \mathcal{O}'|$ .
- (4) (Applies in all other cases.)  $\mathcal{P}$  and  $\mathcal{P}'$  are  $M_{24}$ -equivalent.

Proof. Since  $\mathcal{P}$  and  $\mathcal{P}'$  are  $M_{24}$ -equivalent if and only if  $\mathcal{R}$  and  $\mathcal{R}'$  are, we may without loss of generality replace  $\mathcal{P}$  and  $\mathcal{P}'$  by  $\mathcal{R}$  and  $\mathcal{R}'$  throughout; then the first claim is obvious. In cases (1) through (3), the criteria used to conclude inequivalence involve only the orderings on  $\mathcal{R}$  and  $\mathcal{R}'$  and the structure of  $\mathcal{C}$ , so any conclusion of inequivalence is justified. We now treat cases (1)–(4) individually, proving that any conclusion of equivalence is justified.

In case  $1^3.5.6.10$ , both  $\mathcal{R}$  and  $\mathcal{R}'$  have shape 5.1.1.1.6.10 and n=n'. Write D (resp. D') for the dodecad 5.1.6 of  $\mathcal{R}$  (resp.  $\mathcal{R}'$ ). By hypothesis D (resp. D') contains the nth monad of  $\mathcal{R}$  (resp.  $\mathcal{R}'$ ). Since  $M_{24}$  is transitive on dodecads we may suppose D'=D. Since the stabilizer  $M_{12}$  of D is 5-transitive on its complement we may suppose that for  $i \neq n$ , the ith monad of  $\mathcal{R}$  coincides with that of  $\mathcal{R}'$ ; write  $\delta$  for the duad consisting of these two monads. Now, only two octads of  $\mathcal{C}$  meet  $\Omega - D$  exactly in  $\delta$ , one of which meets D in the hexad of  $\mathcal{R}$  and one of which (possibly the same one) meets D in the hexad of  $\mathcal{R}'$ . The pointwise stabilizer of  $\delta$  in  $M_{12}$  is  $M_{10}$ , which contains a permutation exchanging these two octads, so we may suppose the hexads of  $\mathcal{R}$  and  $\mathcal{R}'$  coincide. Finally, the setwise stabilizer of this hexad in  $M_{10}$  is  $A_6$ , acting transitively on the remaining six points of D. Therefore we may suppose that the nth monad of  $\mathcal{R}$  coincides with that of  $\mathcal{R}'$ , i.e.,  $\mathcal{R}' = \mathcal{R}$ .

In case  $1^3.6^2.9$ , both have shape <u>6.1.1.6</u>.1.9 and the argument is the same except that the last step is replaced by  $A_6$  acting transitivity on the decad.

In case 2.3.6.13, both  $\mathcal{R}$  and  $\mathcal{R}'$  have shape <u>6.2.13.3</u>. The essential fact is the following: the stabilizer  $2^4$ : $S_6$  of a special octad  $\mathcal{O}$  and a duad  $\delta$  contained in it acts with two orbits on triads t in the complementary

16-ad. These orbits are distinguished by whether the octad containing  $t \cup \delta$  meets  $\mathcal{O}$  in 2 or 4 points.

In case 2.3.9.10, both  $\mathcal{R}$  and  $\mathcal{R}'$  have shape <u>9.3.10.2</u>, and the essential fact is the following: the stabilizer  $M_{10}.2$  of a dodecad D and a duad  $\delta$  in it acts with two orbits on triads in the complementary dodecad. These orbits are distinguished by whether the octad containing  $t \cup \delta$  meets D in 2 or 4 points.

Now we treat all other cases. The common shape of  $\mathcal{R}$  and  $\mathcal{R}'$  is not one of those marked with a bullet • in table 6.1, because otherwise they would have determined sextets in algorithm 6.3. The shapes marked with circles o are those we have just treated. For all remaining shapes in the table,  $M_{24}$  acts transitively on ordered partitions having that shape and forming C-sets in the indicated way. The analysis is easier than the cases above, and uses the following facts.  $M_{24}$  acts 5-transitively on  $\Omega$  and transitively on octads, dodecads and trios. The octad stabilizer acts on the octad by  $A_8$ , and acts 3+2 and 1+3 transitively on the octad and its complement. The trio group permutes the three octads of the trio as  $S_3$ , and the subgroup preserving each of them acts 3+1+0and 2+1+1 transitively on the octads in any order. The stabilizer  $M_{12}$ of a dodecad, say D, acts 5+0, 2+1, 1+2 and 0+5 transitively on D and its complement. The setwise stabilizer of a duad  $\delta$  not meeting D is a subgroup  $M_{10}$ .2; this group contains a permutation preserving each of the two octads that meet  $\Omega - D$  exactly in  $\delta$ , say  $\mathcal{O}$  and  $\mathcal{O}'$ , and swapping the points of  $\delta$ . It also contains a permutation swapping  $\mathcal{O}$  and  $\mathcal{O}'$  and preserving each point of  $\delta$ . The subgroup preserving each point of  $\delta$  and each of the two octads is  $A_6$ , acting in the natural way on each of the hexads  $\mathcal{O} - \delta$ ,  $\mathcal{O}' - \delta$ , and transitively on the 10ad  $\Omega - (D \cup \delta)$ . All these assertions appear explicitly or implicitly in [Conway and Sloane 1988, ch. 10].

## 7. Remarks

We close with a few remarks, first on equivalence-testing under the infinite group  $Co_{\infty} = \Lambda : Co_0$  and then on possible analogues of our algorithm for the complex and quaternionic versions of  $\Lambda$ .

Since we developed these algorithms in order to sort elements of  $II_{25,1}$  into orbits, we offer here a sketch of how to do this. Following the line of reasoning in the introduction reduces the to problem of equivalence of vectors in  $\Lambda \otimes \mathbb{Q}$  under  $Co_{\infty}$ . Borcherds (personal communication) has implemented an algorithm to quickly find all lattice vectors lying within a given distance of a given  $v \in \Lambda \otimes \mathbb{Q}$ . Coupling this with a decoder for  $\Lambda$ , we may find the set  $N_v$  of all nearest neighbors to v.

Then, given  $v, w \in \Lambda \otimes \mathbb{Q}$  and  $w' \in N_w$ , v and w are  $Co_{\infty}$ -equivalent if and only if w - w' and v - v' are  $Co_0$ -equivalent for some  $v' \in N_v$ . Of course w - w' and v - v' are in  $\Lambda \otimes \mathbb{Q}$  not  $\Lambda$ , but after scaling we may apply our  $Co_0$ -algorithm. This reduces the test under  $Co_{\infty}$  to at most  $|N_v|$  tests under  $Co_0$ .

Happily, we never need to worry about  $N_v$  having size larger than 25, because of the following considerations. The diagram  $\Delta_v$  of v is defined as the graph whose vertices are the elements of  $N_v$ ; two are unjoined (resp. joined, doubly joined) if their difference has type 2 (resp. 3, 4). See [Conway et. al. 1982] for more information about these diagrams.  $\Delta_v$  is always the disjoint union of spherical and affine Dynkin diagrams of types  $a_n$ ,  $d_n$ ,  $e_n$ ,  $A_n$ ,  $D_n$ , and  $E_n$  and hence is a very simple combinatorial object. It is also true that  $|N_v| \leq 48$ , and in fact if  $|N_v| > 25$  then v is a deep hole of  $\Lambda$  and its orbit under  $Co_{\infty}$  is completely determined by the combinatorial type of  $\Delta_v$ . This means that a test for equivalence under  $Co_{\infty}$  may be reduced to 25 tests for equivalence under  $Co_0$ . We will usually be able to do even better than this by using some feature of  $\Delta$ . For example, suppose v and w are shallow holes, each with diagram of type  $a_{17}d_7a_1$ . Then any transformation carrying w to v must carry the branch point w' of  $\Delta_w$ to the branch point v' of  $\Delta_v$ . Therefore v and w are  $Co_{\infty}$ -equivalent if and only if v - v' and w - w' are  $Co_0$ -equivalent, so only a single equivalence test is required. There are in fact two orbits of shallow holes with this diagram (see [Borcherds et. al. 1988]), so we cannot determine equivalence by simply inspecting  $\Delta_v$  and  $\Delta_w$ .

An extension of our ideas in a different direction concerns the complex and quaternionic versions of  $\Lambda$ , whose automorphism groups are the central extension  $6 \cdot Suz$  of the Suzuki sporadic group and  $2G_2(4)$ . The complex Leech lattice (see [Wilson 1983]) is a lattice over  $\mathbb{Z}[\omega =$  $\sqrt[3]{1}],$  whose underlying real lattice is  $\Lambda,$  scaled to have minimal norm 6. It has  $3^{12}$  congruence classes modulo  $\theta = \omega - \bar{\omega} = \sqrt{-3}$ , and these have minimal representatives of norm  $\leq 9$ . The only congruences modulo  $\theta$  among these vectors are that each norm 6 vector is congruent to its multiples by powers of  $\omega$ , and that the norm 9 vectors fall into classes ("frames") of 36 vectors, any two of which are orthogonal or proportional by a power of  $\omega$ . The stabilizer of a frame is  $3^5.M_{11}$  ( $M_{11}$  being the Mathieu group of order 7920). In a manner similar to  $2^{12}:M_{24},\,M_{11}$ acts by permuting 12 complex coordinates, and 3<sup>5</sup> acts by multiplying the coordinates by various scalars. If one proved an analogue of Curtis' theorem on S-lattices then one could devise an algorithm for equivalence of vectors in the complex Leech lattice under 6.Suz. If such an

analogue is true, then its proof should be easier than that of Curtis's original theorem. The quaternionic Leech lattice (see [Wilson 1982]) has an even simpler structure: modulo 1+i, every vector is congruent to either 0 or a minimal vector. The minimal vectors fall into 4095 classes (again called frames), each consisting of 48 vectors, any two of which are either proportional by an element of  $\{\pm 1, \pm i, \pm j, \pm k\}$  or orthogonal. The stabilizer of a frame is the group  $2^6.(2^2 \times A_5).2$  of order 30 720, and so an analogue of the  $Co_0$  algorithm is obvious: supposing that 1+i divides neither v nor w, reduce v and w modulo 1+i, carry each of the resulting frames to the standard one, and then check for equivalence under the group stabilizing the frame. The reader should note that one of Wilson's frames [Wilson 1982] contains three of ours, with  $3 \cdot 48 = 144$  vectors, and has slightly larger stabilizer.

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