## Lie Groups Solutions, Problem Set \# 2

Section 1.3:
5: This problem is surprisingly subtle, and took me a while to solve. If $a=\exp (X)$ and $b=\exp (Y)$, showing that $a^{-1}=\exp (-X)$ is in $\exp (\mathbf{n})$ is easy, but showing that $a b \in \exp (\mathbf{n})$ is much harder.

First note that, since $\mathbf{n}$ is finite dimensional and consists of nilpotent matrices, there must be a fixed integer $k$ for which every $X \in \mathbf{n}$ satisfies $X^{k}$. By problem 1 of section 1.2 (which you did last week), this implies that $(\exp (X)-1)^{k}=0$ for all $X \in \mathbf{n}$.

Now, for small $t, \exp (t X) \exp (t Y)=\exp (Z(t))$, where $Z(t)$ is given by the convergent Dynkin series. Since each term of the Dynkin series is a bracket, and since $[\mathbf{n}, \mathbf{n}] \subset \mathbf{n}, Z(t) \in \mathbf{n}$. Hence $\exp (Z(t)) \in \exp (\mathbf{n})$. This implies that $(\exp (t X) \exp (t Y)-$ $1)^{k}=0$ for $t$ small.

However, $(\exp (t x) \exp (t Y)-1)^{k}$ is an analytic function of $t$, so if it is zero for small $t$, it must be zero for all $t$. This implies that the power series for $Z(t)=$ $\log (\exp (t X) \exp (t Y))$ has only a finite number of nonzero terms, so it converges for all $t$, and we conclude that $a b=\exp (Z(1)) \in \exp (\mathbf{n})$.

6: Recall that exp maps the nilpotents to the unipotents bijectively (problem 1.2.1), so for each $a \in \exp (\mathbf{n})$, there is a unique $X \in \mathbf{n}$ for which $a=\exp (X)$.

Now, suppose that $\phi([X, Y])=[\phi(X), \phi(Y)]$ for all $X, Y \in \mathbf{n}_{1}$, that $a=\exp (X)$ and that $b=\exp (Y)$. We have $f(a b)=f(\exp (Z))=\exp (\phi(Z))$, where $Z$ is given by the Dynkin series. But $\phi$ of the Dynkin series is the same as the Dynkin series computed from $\phi(X)$ and $\phi(Y)$, since $\phi$ commutes with brackets. That is, $f(a b)=$ $f(a) f(b)$.

Conversely, if $f$ is a group homomorphism, consider $a(t)=\exp (t X)$ and $b(t)=$ $\exp (t Y)$, so $f(a(t))=\exp (t \phi(X)), f(b(t))=\exp (t \phi(Y))$, and $a(t) b(t)=\exp (t X+$ $\left.t Y+t^{2}[X, Y] / 2+\cdots\right)$, so $f(a(t) b(t))=\exp \left(t \phi\left(X 0+t \phi(Y)+t^{2} \phi([X, Y]) / 2+\cdots\right.\right.$. Writing the equation $f(a(t) b(t))=f(a(t)) f(b(t))$ as a power series in $t$ and comparing the $t^{2}$ coefficients gives $\phi[x, y]=[\phi(X), \phi(Y)]$.
7: (a) There are 1, 2 and 3 dimensional subspaces of the (3-dimensional) set of upper triangular matrices with the desired properties. Notice that the three basis vectors (call them $e_{\alpha}, e_{\beta}$ and $e_{\gamma}$ ) have all pairwise products equal to zero, except $e_{\alpha} e_{\beta}$, which equals $e_{\gamma}$. In particular, the product of any two elements is proportional to $e_{\gamma}$, and the product of any three elements is zero.

ANY 1-D subspace will satisfy $[\mathbf{n}, \mathbf{n}] \subset \mathbf{n}$, since the bracket of a matrix with a multiple of itself is zero. If $X$ is the lone basis element, then $N=\exp (\mathbf{n})=$
$\{\exp (t X)\}=\left\{1+t X+t^{2} X^{2} / 2\right\}$. There are two possibilities on what this looks like, depending on whether $X^{2}=0$ or not.

The 2-D subspaces are abelian, and are spanned by $e_{\gamma}$ and an arbitrary linear combination of $e_{\alpha}$ and $e_{\gamma}$. In this case $N=\exp (\mathbf{n})=1+\mathbf{n}$, since for any $X \in \mathbf{n}$ we have $\exp (X)=1+X+X^{2} / 2$, and $X^{2}$ is proportional to $e_{\gamma}$.

The 3-D subspace is the entire set of upper triangular matrices, for which $N=$ $\exp (\mathbf{n})=1+\mathbf{n}$.
(b) Let $\mathbf{n}$ be the set of real $2 \times 2$ traceless matrices. It's easy to see that the bracket of two traceless matrices is traceless (in fact, the bracket of ANY two matrices is traceless, by the cyclic property of traces). Then $\left(\begin{array}{ll}4 & 5 \\ 3 & 4\end{array}\right)$ and $\left(\begin{array}{cc}-1 & 0 \\ 0 & -1\end{array}\right)$ are both in $\exp (\mathbf{n})$, but $\left(\begin{array}{ll}-4 & -5 \\ -3 & -4\end{array}\right)$ is not, since its trace is less than -2 .

## Section 2.1:

5: (a) First note that $i j=k=-j i$, so for any complex number $\alpha, j \alpha=\bar{\alpha} j$ and $\alpha j=j \bar{\alpha}$. If $q_{1}=\alpha+j \beta$ and $q_{2}=\gamma+j \delta$, then $\bar{q}_{1}=\bar{\alpha}+\bar{\beta} \bar{j}=\bar{\alpha}-j \beta$, whose matrix is the adjoint of the matrix of $q_{1}$. Likewise, $q_{1} \underline{q}_{2}=\alpha \underline{\gamma}+j \beta \gamma+\alpha j \delta+j \beta j \delta=$ $(\alpha \gamma-\bar{\beta} \delta)+j(\beta \gamma+\bar{\alpha} \delta)$, whose matrix is $\left(\begin{array}{cc}\alpha \gamma-\bar{\beta} \delta & -\bar{\beta} \bar{\gamma}-\alpha \bar{\delta} \\ \beta \gamma+\bar{\alpha} \delta & \bar{\alpha} \bar{\gamma}-\beta \bar{\delta}\end{array}\right)$, which is the product of the matrix of $q_{1}$ and the matrix of $q_{2}$. Since quaternionic multiplication is mapped to multiplication of complex matrices, this gives a homomorphism from $S p(1)$ (aka the unit quaternions) to the matrices of the given form with $|\alpha|^{2}+|\beta|^{2}=1$, and the homomorphism is clearly $1-1$. But by example 2 the image is precisely $S U(2)$.
(b) If $\bar{\gamma}=-\gamma$, then the matrix of $\gamma$ (call it $M_{\gamma}$ is anti-Hermitian, so it has pure imaginary eigenvalues and orthogonal eigenvectors. By choosing the phases of the two eigenvectors correctly, we can write $M_{\gamma}=\lambda P D P^{-1}$, where $P \in S U(2), \lambda$ is real and positive and $D=\left(\begin{array}{cc}i & 0 \\ 0 & -i\end{array}\right)$. Likewise, we can write $M_{j}=P_{0} D P_{0}^{-1}$, so $D=P_{0}^{-1} M_{j} P_{0}$. We then have $M_{\gamma}=\lambda P P_{0}^{-1} M_{j} P_{0} P^{-1}$. If we take $\alpha$ to be the quaternion whose matrix is $\sqrt{\lambda} P_{0} P^{-1}$, then $M_{\gamma}=M_{\bar{\alpha}} M_{j} M_{\alpha}$, so $\gamma=\bar{\alpha} j \alpha$.

Note that $\alpha$ is not uniquely defined. Replacing $\alpha^{\prime}=e^{j \phi} \alpha$ would work as well. This ambiguity corresponds the the phase freedom we have in choosing the eigenvectors of $M_{\gamma}$.

6: This is VERY closely related to problem 7 from section 1.3. (a) $\mathbf{n}=h(3, R)$ is just the upper triangular matrices of problem 1.3.7, and the verification was done there. (b) If $X \in \mathbf{n}$, then $X^{3}=0$, and $\exp (X)=1+X+X^{2} / 2$ is in $H(3, R)$. Likewise, if $a \in H(3, R)$, then $(a-1)^{3}=0$, so $\log (a)=a-1-(a-1)^{2} / 2$, which is easily seen
to be in $h(3, R)$. (c) The brackets in $\mathbf{n}$ are: $\left[e_{\alpha}, e_{\beta}\right]=e_{\gamma},\left[e_{\alpha}, e_{\gamma}\right]=\left[e_{\beta}, e_{\gamma}\right]=0$. If $Y=\left(\begin{array}{ccc}0 & y_{1} & y_{3} \\ 0 & 0 & y_{2} \\ 0 & 0 & 0\end{array}\right)$ and $X=\left(\begin{array}{ccc}0 & x_{1} & x_{3} \\ 0 & 0 & x_{2} \\ 0 & 0 & 0\end{array}\right)$, then $\operatorname{Ad}(\exp (X)) Y=Y+[X, Y]=$ $Y+\left(y_{1} x_{2}-y_{2} x_{1}\right) e_{\gamma}$, since all higher-order brackets are zero. The adjoint orbit of $Y$ is therefore: (i) $Y$ itself, if $y_{1}=y_{2}=0$. In this case $Y$ is proportional to $e_{\gamma}$, and commutes with all elements of the group. (ii) $Y$ plus an arbitrary multiple of $e_{\gamma}$, if $y_{1} \neq 0$ or $y_{2} \neq 0$.
7: This problem we deleted from the problem set.

