SUFFICIENCY CLASS FOR GLOBAL (IN TIME) SOLUTIONS TO THE 3D-NAVIER-STOKES EQUATIONS IN $\mathbb V$

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ABSTRACT. Let Ω be an open domain of class \mathbb{C}^3 contained in \mathbb{R}^3 , let $(\mathbb{L}^2[\Omega])^3$ be the real Hilbert space of square integrable functions on Ω with values in \mathbb{R}^3 , and let $\mathbf{D}[\Omega] = \{ \mathbf{u} \in (\mathbb{C}_0^{\infty}[\Omega])^3 \mid \nabla \cdot \mathbf{u} = 0 \}$. Let $\mathbb{H}[\Omega]$ be the completion of \mathbf{D} with respect to the inner product of $(\mathbb{L}^2[\Omega])^3$ and let $\mathbb{V}[\Omega]$ be the completion of $\mathbf{D}[\Omega]$ with respect to the inner product of $\mathbb{H}^1[\Omega]$, the functions in $\mathbb{H}[\Omega]$ with weak derivatives in $(\mathbb{L}^2[\Omega])^3$. A well-known unsolved problem is the construction of a sufficient class of functions in $\mathbb{H}[\Omega]$ (respectively $\mathbb{V}[\Omega]$), which will allow global, in time, strong solutions to the three-dimensional Navier-Stokes equations. These equations describe the time evolution of the fluid velocity and pressure of an incompressible viscous homogeneous Newtonian fluid in terms of a given initial velocity and given external body forces. In this paper, we prove that, under appropriate conditions, there exists a number \mathbf{u}_+ , depending only on the domain, the viscosity, the body forces and the eigenvalues of the Stokes operator, such that, for all functions in a dense set \mathbb{D} contained in the closed ball $\mathbb{B}(\Omega)$ of radius \mathbf{u}_+ in $\mathbb{V}[\Omega]$, the Navier-Stokes equations have unique strong solutions in $\mathbb{C}^1((0,\infty),\mathbb{V}[\Omega])$.

INTRODUCTION

Let Ω be an open domain of class \mathbb{C}^3 contained in \mathbb{R}^3 , let $(\mathbb{L}^2[\Omega])^3$ be the real Hilbert space of square integrable functions on Ω with values in \mathbb{R}^3 , let $\mathbf{D}[\Omega]$ be

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 $\{\mathbf{u} \in (\mathbb{C}_0^{\infty}[\Omega])^3 \mid \nabla \cdot \mathbf{u} = 0\}$, let $\mathbb{H}[\Omega]$ be the completion of $\mathbf{D}[\Omega]$ with respect to the inner product of $(\mathbb{L}^2[\Omega])^3$, and let $\mathbb{V}[\Omega]$ be the completion of $\mathbf{D}[\Omega]$ with respect to the inner product of $\mathbb{H}^1[\Omega]$, the functions in $\mathbb{H}[\Omega]$ with weak derivatives in $(\mathbb{L}^2[\Omega])^3$. The global in time classical Navier-Stokes initial-value problem (for $\Omega \subset \mathbb{R}^3$, and all T > 0) is to find functions $\mathbf{u} : [0, T] \times \Omega \to \mathbb{R}^3$, and $p : [0, T] \times \Omega \to \mathbb{R}$, such that

(1)

$$\partial_t \mathbf{u} + (\mathbf{u} \cdot \nabla) \mathbf{u} - \nu \Delta \mathbf{u} + \nabla p = \mathbf{f}(t) \text{ in } (0, T) \times \Omega,$$

$$\nabla \cdot \mathbf{u} = 0 \text{ in } (0, T) \times \Omega,$$

$$\mathbf{u}(t, \mathbf{x}) = \mathbf{0} \text{ on } (0, T) \times \partial \Omega \text{ (in the distributional sense)},$$

$$\mathbf{u}(0, \mathbf{x}) = \mathbf{u}_0(\mathbf{x}) \text{ in } \Omega.$$

The equations describe the time evolution of the fluid velocity $\mathbf{u}(\mathbf{x}, t)$ and the pressure p of an incompressible viscous homogeneous Newtonian fluid with constant viscosity coefficient ν in terms of a given initial velocity $\mathbf{u}_0(\mathbf{x})$ and given external body forces $\mathbf{f}(\mathbf{x}, t)$.

Purpose

Let \mathbb{P} be the (Leray) orthogonal projection of $(\mathbb{L}^2[\Omega])^3$ onto $\mathbb{H}[\Omega]$ and define the Stokes operator by: $\mathbf{A}\mathbf{u} =: -\mathbb{P}\Delta\mathbf{u}$, for $\mathbf{u} \in D(\mathbf{A}) \subset \mathbb{H}^2[\Omega]$, the domain of \mathbf{A} . The purpose of this paper is to prove that there exists a number \mathbf{u}_+ , depending only on \mathbf{A} , f, ν and Ω , such that, for all functions in $\mathbb{D} = D(\mathbf{A}^{3/2}) \cap \mathbb{B}(\Omega)$, where $D(\mathbf{A}^{3/2})$ is the domain of $\mathbf{A}^{3/2}$ and $\mathbb{B}(\Omega)$ is the closed ball of radius \mathbf{u}_+ in $\mathbb{V}(\Omega)$, the Navier-Stokes equations have unique strong solutions in $\mathbf{u} \in L^{\infty}_{\text{loc}}[[0,\infty); \mathbb{V}(\Omega)] \cap \mathbb{C}^1[(0,\infty); \mathbb{V}(\Omega)]$. We discuss this problem in $\mathbb{H}(\Omega)$], in another paper.

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In terms of notation and conventions, we follow Sell and You [SY]. In order to simplify our proofs, we always assume that all functions \mathbf{u}, \mathbf{v} are in $D(\mathbf{A}^{3/2})$ and we let $c = \max\{c_i\}$, where c_i is one of nine positive constants that appear on pages 363-367 in [SY]. It will also be convenient to use the fact that the norms of $\mathbb{V}[\Omega]$ and $\mathbb{V}[\Omega]^{-1}$ are equivalent to their respective graph norms relative to $\mathbb{H}[\Omega]$. It is known that \mathbf{A} is a positive linear operator with compact resolvent. It follows that the fractional powers $\mathbf{A}^{1/2}$ and $\mathbf{A}^{-1/2}\mathbf{u}$ are well defined. Moreover, it is also known (cf. [SY], [T1]) that the norms $\|\mathbf{A}^{1/2}\mathbf{u}\|_{\mathbb{H}[\Omega]}$ and $\|\mathbf{A}^{-1/2}\mathbf{u}\|_{\mathbb{H}[\Omega]}$ are equivalent to the corresponding norms induced by the Sobolev space $(H^1[\Omega])^3$, so that:

(2)
$$\|\mathbf{u}\|_{\mathbb{V}[\Omega]} \equiv \left\|\mathbf{A}^{1/2}\mathbf{u}\right\|_{\mathbb{H}[\Omega]} \text{ and } \|\mathbf{u}\|_{\mathbb{V}[\Omega]^{-1}} \equiv \left\|\mathbf{A}^{-1/2}\mathbf{u}\right\|_{\mathbb{H}[\Omega]}.$$

In addition, it is known that **A** is an isomorphism from $D(\mathbf{A}) \xrightarrow{onto} \mathbb{H}[\Omega]$, and from $\mathbb{V}[\Omega] \xrightarrow{onto} \mathbb{V}[\Omega]^{-1}$. Furthermore, the embeddings $\mathbb{V}[\Omega] \to \mathbb{H}[\Omega] \to \mathbb{V}[\Omega]^{-1}$ are compact and the operator \mathbf{A}^{-1} is a bounded compact map from $\mathbb{H}[\Omega]$ onto $D(\mathbf{A})$. Applying the Leray projection to equation (1), with $\mathbf{B}(\mathbf{u},\mathbf{u}) = \mathbb{P}(\mathbf{u} \cdot \nabla)\mathbf{u}$, we can recast equation (1) in the standard form:

(3)
$$\partial_t \mathbf{u} = -\nu \mathbf{A} \mathbf{u} - \mathbf{B}(\mathbf{u}, \mathbf{u}) + \mathbb{P} \mathbf{f}(t) \text{ in } (0, T) \times \Omega$$
$$\mathbf{u}(t, \mathbf{x}) = \mathbf{0} \text{ on } (0, T) \times \partial\Omega,$$

 $\mathbf{u}(0,\mathbf{x}) = \mathbf{u}_0(\mathbf{x}) \text{ in } \Omega,$

where we have used the fact that the orthogonal complement of $\mathbb{H}[\Omega]$ relative to $(\mathbb{L}^2[\Omega])^3$ is $\{\mathbf{v} : \mathbf{v} = \nabla q, q \in (H^1[\Omega])^3\}$ to eliminate the pressure term (see Galdi [GA] or [SY, T1]). We will use the following inequalities from [SY], pages 363-367. (We use their numbering for easy reference.) Equation (61.8)

(4)
$$\|\mathbf{A}^{\alpha}\mathbf{u}\|_{\mathbb{H}}^{2} \ge \lambda_{1}^{2\alpha} \|\mathbf{u}\|_{\mathbb{H}}^{2}.$$

Equation (61.26)

$$\begin{aligned} \left\| \mathbf{A}^{-1/2} \mathbf{B}(\mathbf{u}, \mathbf{v}) \right\|_{\mathbb{H}} &\leq c_{5} \left\| \mathbf{u} \right\|_{\mathbb{H}}^{1/4} \left\| \mathbf{A}^{1/2} \mathbf{u} \right\|_{\mathbb{H}}^{3/4} \left\| \mathbf{v} \right\|_{\mathbb{H}}^{1/4} \left\| \mathbf{A}^{1/2} \mathbf{v} \right\|_{\mathbb{H}}^{3/4} \\ (5) \qquad &\leq c_{5} \lambda_{1}^{-1/4} \left\| \mathbf{A}^{1/2} \mathbf{u} \right\|_{\mathbb{H}} \left\| \mathbf{A}^{1/2} \mathbf{v} \right\|_{\mathbb{H}} \leq c \lambda_{1}^{-1/4} \left\| \mathbf{A}^{1/2} \mathbf{u} \right\|_{\mathbb{H}} \left\| \mathbf{A}^{1/2} \mathbf{v} \right\|_{\mathbb{H}}, \\ &\Rightarrow \left\| \mathbf{A}^{-1/2} \mathbf{B}(\mathbf{u}, \mathbf{u}) \right\|_{\mathbb{H}} \leq c \lambda_{1}^{-1/4} \left\| \mathbf{u} \right\|_{\mathbb{V}}^{2}. \end{aligned}$$

We can use equation (61.21):

$$\left\|\mathbf{B}(\mathbf{u},\mathbf{v})\right\|_{\mathbb{H}} \leqslant c_1 \left\|\mathbf{A}\mathbf{u}\right\|_{\mathbb{H}}^{1/4} \left\|\mathbf{A}^{1/2}\mathbf{u}\right\|_{\mathbb{H}}^{3/4} \left\|\mathbf{A}\mathbf{v}\right\|_{\mathbb{H}}^{1/4} \left\|\mathbf{A}^{1/2}\mathbf{v}\right\|_{\mathbb{H}}^{3/4}$$

and the fact that $\lambda_1^{-3/4} \leq \lambda_1^{-1/4}$, along with equation (61.8) to get that:

$$\begin{aligned} |\langle \mathbf{B}(\mathbf{u},\mathbf{v}),\mathbf{w}\rangle_{\mathbb{V}}| &= |\langle \mathbf{B}(\mathbf{u},\mathbf{v}),\mathbf{A}\mathbf{w}\rangle_{\mathbb{H}}| \\ (6) \qquad \leqslant c_1 \left\| \mathbf{A}^{1/2}\mathbf{u} \right\|_{\mathbb{H}}^{3/4} \left\| \mathbf{A}\mathbf{u} \right\|_{\mathbb{H}}^{1/4} \left\| \mathbf{A}^{1/2}\mathbf{v} \right\|_{\mathbb{H}}^{3/4} \left\| \mathbf{A}\mathbf{v} \right\|_{\mathbb{H}}^{1/4} \left\| \mathbf{A}\mathbf{w} \right\|_{\mathbb{H}} \\ &\leqslant c_1 \lambda_1^{-3/4} \left\| \mathbf{A}\mathbf{u} \right\|_{\mathbb{H}} \left\| \mathbf{A}\mathbf{v} \right\|_{\mathbb{H}} \left\| \mathbf{A}\mathbf{w} \right\|_{\mathbb{H}} \leqslant c \lambda_1^{-1/4} \left\| \mathbf{A}\mathbf{u} \right\|_{\mathbb{H}} \left\| \mathbf{A}\mathbf{v} \right\|_{\mathbb{H}} \left\| \mathbf{A}\mathbf{w} \right\|_{\mathbb{H}} \end{aligned}$$

Using the fact that

(7)
$$\langle [\mathbf{B}(\mathbf{u}, \mathbf{u}) - \mathbf{B}(\mathbf{v}, \mathbf{v})], \mathbf{u} - \mathbf{v} \rangle_{\mathbb{V}}$$
$$= \frac{1}{2} \left\langle [\mathbf{B}(\mathbf{u} - \mathbf{v}, \mathbf{u}) + \mathbf{B}(\mathbf{u} - \mathbf{v}, \mathbf{v})], \mathbf{A}(\mathbf{u} - \mathbf{v}) \right\rangle_{\mathbb{H}}$$

we have from equation (6) that:

(8)
$$\langle \left[\mathbf{B}(\mathbf{u},\mathbf{u}) - \mathbf{B}(\mathbf{v},\mathbf{v}) \right], \mathbf{u} - \mathbf{v} \rangle_{\mathbb{V}}$$
$$\leq c \lambda_1^{-1/4} \left\| \mathbf{A}(\mathbf{u} - \mathbf{v}) \right\|_{\mathbb{H}}^2 \left\{ \left\| \mathbf{A}\mathbf{u} \right\|_{\mathbb{H}} + \left\| \mathbf{A}\mathbf{v} \right\|_{\mathbb{H}} \right\}.$$

Definition 1. We say that the operator $\mathbf{J}(\cdot, t)$ is (for each t)

(1) 0-Dissipative if $\langle \mathbf{J}(\mathbf{u},t),\mathbf{u}\rangle_{\mathbb{V}} \leq 0.$

- (2) Dissipative if $\langle \mathbf{J}(\mathbf{u},t) \mathbf{J}(\mathbf{v},t), \mathbf{u} \mathbf{v} \rangle_{\mathbb{V}} \leq 0.$
- (3) Strongly dissipative if there exists a constant $\alpha > 0$ such that

$$\langle \mathbf{J}(\mathbf{u},t) - \mathbf{J}(\mathbf{v},t), \mathbf{u} - \mathbf{v} \rangle_{\mathbb{V}} \leq -\alpha \|\mathbf{u} - \mathbf{v}\|_{\mathbb{V}}^{2}.$$

Theorem 2 below is essentially due to Browder [B], see Zeidler [Z, Corollary 32.27, page 868 and Corollary 32.35, page 887], while Theorem 3 is from Miyadera [M, p. 185, Theorem 6.20], and is a modification of the Crandall-Liggett Theorem [CL] (see the appendix to the first section of [CL]).

Theorem 2. Let $\mathbb{B}[\Omega]$ be a closed, bounded, convex subset of $\mathbb{V}[\Omega]$. If $\mathbf{J}(\cdot, t)$: $\mathbb{B}[\Omega] \to \mathbb{V}[\Omega]$ is closed and strongly dissipative for each fixed $t \ge 0$, then, for each $\mathbf{b} \in \mathbb{B}[\Omega]$, there is a $\mathbf{u} \in \mathbb{B}[\Omega]$ with $\mathbf{J}(\mathbf{u}, t) = \mathbf{b}$ (the range $\operatorname{Ran}[\mathbf{J}(\cdot, t)] \supset \mathbb{B}[\Omega]$).

Theorem 3. Let $\{ \mathcal{A}(t), t \in I = [0, \infty) \}$ be a family of operators defined on $\mathbb{V}[\Omega]$ with domains $D(\mathcal{A}(t)) = D$ independent of t. We assume that $\mathbb{D} = D \cap \mathbb{B}[\Omega]$ is a closed convex set (in an appropriate topology):

- (1) The operator $\mathcal{A}(t)$ is the generator of a contraction semigroup for each $t \in I$.
- (2) The function $\mathcal{A}(t)\mathbf{u}$ is continuous in both variables on $I \times \mathbb{D}$.

Then, for every $\mathbf{u}_0 \in \mathbb{D}$, the problem $\partial_t \mathbf{u}(t, \mathbf{x}) = \mathcal{A}(t)\mathbf{u}(t, \mathbf{x})$, $\mathbf{u}(0, \mathbf{x}) = \mathbf{u}_0(\mathbf{x})$, has a unique solution $\mathbf{u}(t, \mathbf{x}) \in \mathbb{C}^1(I; \mathbb{D})$.

M-DISSIPATIVE CONDITIONS

We assume that $\mathbf{f}(t) \in L^{\infty}[[0,\infty); \mathbb{V}(\Omega)]$ and is Lipschitz continuous in t, with $\|\mathbf{f}(t) - \mathbf{f}(\tau)\|_{\mathbb{V}} \leq d |t - \tau|^{\theta}, d > 0, 0 < \theta < 1$. We can rewrite equation (3) in the form:

(9)
$$\partial_t \mathbf{u} = \nu \mathbf{A} \mathbf{J}(\mathbf{u}, t) \text{ in } (0, T) \times \Omega,$$
$$\mathbf{J}(\mathbf{u}, t) = -\mathbf{u} - \nu^{-1} \mathbf{A}^{-1} \mathbf{B}(\mathbf{u}, \mathbf{u}) + \nu^{-1} \mathbf{A}^{-1} \mathbb{P} \mathbf{f}(t).$$

Approach

We begin with a study of the operator $\mathbf{J}(\cdot, t)$, for fixed t, and seek conditions depending on \mathbf{A} , ν , Ω and $\mathbf{f}(t)$ which guarantee that $\mathbf{J}(\cdot, t)$ is m-dissipative for each t. Clearly $\mathbf{J}(\cdot, t) : D(\mathbf{A}) \xrightarrow{onto} D(\mathbf{A})$ and, since $\nu \mathbf{A} = \nu \mathbb{P}[-\Delta]$ is a closed positive (m-accretive) operator, so that $-\mathbf{A}$ generates a linear contraction semigroup, we expect that $\nu \mathbf{A} \mathbf{J}(\cdot, t)$ will be m-dissipative for each t.

Theorem 4. For $t \in I = [0, \infty)$ and, for each fixed \mathbf{u} , $\mathbf{J}(\mathbf{u}, t)$ is Lipschitz continuous, with $\|\mathbf{J}(\mathbf{u}, t) - \mathbf{J}(\mathbf{u}, \tau)\|_{\mathbb{V}} \leq d' \|t - \tau\|^{\theta}$, where $d' = d\nu^{-1}(\lambda_1)^{-1}$, d is the Lipschitz constant for the function $\mathbf{f}(t)$ and λ_1 is the first eigenvalue of \mathbf{A} .

Proof. For fixed **u**,

$$\begin{aligned} \|\mathbf{J}(\mathbf{u},t) - \mathbf{J}(\mathbf{u},\tau)\|_{\mathbb{V}} &= \nu^{-1} \left\| \mathbf{A}^{-1}[\mathbb{P}\mathbf{f}(t) - \mathbb{P}\mathbf{f}(\tau)] \right\|_{\mathbb{V}} \\ &\leq d\nu^{-1}(\lambda_1)^{-1} \left| t - \tau \right|^{\theta} = d' \left| t - \tau \right|^{\theta}. \end{aligned}$$

We have used the fact that \mathbf{A} is unbounded, and every function $\mathbf{h}(t) \in \mathbb{V}(\Omega)$ has an expansion in terms of the eigenfunctions of \mathbf{A} , so that $\mathbf{A}^{-1} \mathbf{h}(t) = \sum_{k=1}^{\infty} \lambda_k^{-1} h_k(t) \mathbf{e}^k(\mathbf{x})$, and, from here, it is easy to see that $\|\mathbf{A}^{-1} \mathbf{h}(t)\|_{\mathbb{V}} \leq \lambda_1^{-1} \|\mathbf{h}(t)\|_{\mathbb{V}}$. (It is well known that the eigenvalues of \mathbf{A} are positive and increasing (see Temam [T2]).)

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Theorem 5. Let $f = \sup_{t \in \mathbf{R}^+} \|\mathbb{P}\mathbf{f}(t)\|_{\mathbb{H}} < \infty$, then there exists a positive constant \mathbf{u}_+ , depending only on f, \mathbf{A} , ν and Ω , such that for all \mathbf{u} , with $\|\mathbf{u}\|_{\mathbb{V}} \leq \mathbf{u}_+$, $\mathbf{J}(\cdot, t)$ is strongly dissipative.

Proof. The proof of our first assertion has two parts. First, we require that the nonlinear operator $\mathbf{J}(\cdot, t)$ be 0-dissipative, which gives us an upper bound \mathbf{u}_+ , in terms of the norm (e.g., $\|\mathbf{u}\|_{\mathbb{V}} \leq \mathbf{u}_+$). We then use this part to show that $\mathbf{J}(\cdot, t)$ is strongly dissipative on the closed ball, $\mathbb{B} = {\mathbf{u} \in \mathbb{V}(\Omega) : \|\mathbf{u}\|_{\mathbb{V}} \leq \mathbf{u}_+}$.

Part 1) From equation (7), we have

$$\begin{aligned} \langle \mathbf{J}(\mathbf{u},t),\mathbf{u} \rangle_{\mathbb{V}} &= - \langle \mathbf{u},\mathbf{u} \rangle_{\mathbb{V}} - \nu^{-1} \left\langle \mathbf{A}^{-1} \mathbf{B}(\mathbf{u},\mathbf{u}) + \mathbf{A}^{-1} \mathbb{P} \mathbf{f}(t),\mathbf{u} \right\rangle_{\mathbb{V}} \\ &\leqslant - \left\| \mathbf{u} \right\|_{\mathbb{V}}^{2} + \nu^{-1} \left\| \mathbf{A}^{-1} \mathbf{B}(\mathbf{u},\mathbf{u}) \right\|_{\mathbb{V}} \left\| \mathbf{u} \right\|_{\mathbb{V}} + \nu^{-1} \left\| \mathbf{A}^{-1} \mathbb{P} \mathbf{f}(t) \right\|_{\mathbb{V}} \left\| \mathbf{u} \right\|_{\mathbb{V}} \\ &= - \left\| \mathbf{u} \right\|_{\mathbb{V}}^{2} + \nu^{-1} \left\| \mathbf{A}^{-1/2} \mathbf{B}(\mathbf{u},\mathbf{u}) \right\|_{\mathbb{H}} \left\| \mathbf{u} \right\|_{\mathbb{V}} + \nu^{-1} \left\| \mathbf{A}^{-1/2} \mathbb{P} \mathbf{f}(t) \right\|_{\mathbb{H}} \left\| \mathbf{u} \right\|_{\mathbb{V}} \end{aligned}$$

Using $\|\mathbf{A}^{-1/2}\mathbf{B}(\mathbf{u},\mathbf{u})\|_{\mathbb{H}} \leq c\lambda_1^{-1/4} \|\mathbf{A}^{1/2}\mathbf{u}\|_{\mathbb{H}}^2$ and $\|\mathbf{A}^{-1/2}\mathbb{P}\mathbf{f}(t)\|_{\mathbb{H}} \leq \lambda_1^{-1/2}f$, we have that

$$\begin{split} \langle \mathbf{J}(\mathbf{u},t),\mathbf{u} \rangle_{\mathbb{V}} &\leqslant - \|\mathbf{u}\|_{\mathbb{V}}^{2} + \nu^{-1}c\lambda_{1}^{-1/4} \|\mathbf{u}\|_{\mathbb{V}}^{2} \|\mathbf{u}\|_{\mathbb{V}} + \nu^{-1}\lambda_{1}^{-1/2}f \|\mathbf{u}\|_{\mathbb{V}} \\ &= - \|\mathbf{u}\|_{\mathbb{V}}^{2} + \nu^{-1}c\lambda_{1}^{-1/4} \|\mathbf{u}\|_{\mathbb{V}}^{3} + \nu^{-1}\lambda_{1}^{-1/2}f \|\mathbf{u}\|_{\mathbb{V}} \leqslant 0 \\ \Rightarrow \\ &\|\mathbf{u}\|_{\mathbb{V}} \left\{ \nu^{-1}c\lambda_{1}^{-1/4} \|\mathbf{u}\|_{\mathbb{V}}^{2} - \|\mathbf{u}\|_{\mathbb{V}} + \nu^{-1}\lambda_{1}^{-1/2}f \right\} \leqslant 0. \end{split}$$

Since $\|\mathbf{u}\|_{\mathbb{V}} > 0$, we can solve to get that:

$$\mathbf{u}_{\pm} = \frac{1}{2}\nu\lambda_1^{1/4}c^{-1}\left\{1 \pm \sqrt{1 - \left[4cf/\lambda_1^{3/4}\nu^2\right]}\right\} = \frac{1}{2}\nu\lambda_1^{1/4}c^{-1}\left\{1 \pm \sqrt{1-\gamma}\right\}$$

Since we want real distinct solutions, we must require that

$$\gamma = 4cf / \lambda_1^{3/4} \nu^2 < 1 \Rightarrow \lambda_1^{3/4} \nu^2 > 4cf \Rightarrow \nu > 2\lambda_1^{-3/8} (cf)^{1/2}.$$

It follows that if $\mathbb{P}f \neq 0$, then $u_{-} < u_{+}$, and our requirement that J is 0-dissipative implies that

$$\|\mathbf{u}\|_{\mathbb{V}} - \mathbf{u}_{+} \leq 0, \ \|\mathbf{u}\|_{\mathbb{V}} - \mathbf{u}_{-} \ge 0.$$

This means that, whenever $\mathbf{u}_{-} \leq \|\mathbf{u}\|_{\mathbb{V}} \leq \mathbf{u}_{+}$, $\langle \mathbf{J}(\mathbf{u},t), \mathbf{u} \rangle_{\mathbb{V}} \leq 0$. (It is clear that when $\mathbb{P}\mathbf{f}(t) = \mathbf{0}$, $\mathbf{u}_{-} = \mathbf{0}$, and $\mathbf{u}_{+} = \nu \lambda_{1}^{1/4} c^{-1}$.)

Part 2): Now, for any $\mathbf{u}, \mathbf{v} \in \mathbb{V}(\Omega)$ with max($\|\mathbf{u}\|_{\mathbb{V}}, \|\mathbf{v}\|_{\mathbb{V}}) \leq \mathbf{u}_+$, we have that

$$\begin{split} \langle \mathbf{J}(\mathbf{u},t) - \mathbf{J}(\mathbf{v},t), \mathbf{u} - \mathbf{v} \rangle_{\mathbb{V}} &= - \|\mathbf{u} - \mathbf{v}\|_{\mathbb{V}}^{2} + \frac{1}{2}\nu^{-1} \left\langle \mathbf{A}^{-1} \left\{ \mathbf{B}[(\mathbf{u} - \mathbf{v}), \mathbf{u}] + \mathbf{B}[(\mathbf{u} - \mathbf{v}), \mathbf{v}] \right\}, (\mathbf{u} - \mathbf{v}) \right\rangle_{\mathbb{V}} \\ &\leq - \|\mathbf{u} - \mathbf{v}\|_{\mathbb{V}}^{2} + \frac{1}{2}\nu^{-1} \|\mathbf{u} - \mathbf{v}\|_{\mathbb{V}} \left(\left\| \mathbf{A}^{-1}\mathbf{B}[(\mathbf{u} - \mathbf{v}), \mathbf{u}] \right\|_{\mathbb{V}} + \left\| \mathbf{A}^{-1}\mathbf{B}[(\mathbf{u} - \mathbf{v}), \mathbf{v}] \right\|_{\mathbb{V}} \right) \\ &\leq - \|\mathbf{u} - \mathbf{v}\|_{\mathbb{V}}^{2} + \frac{1}{2}c(\nu\lambda_{1}^{1/4})^{-1} \|\mathbf{u} - \mathbf{v}\|_{\mathbb{V}}^{2} \left(\|\mathbf{u}\|_{\mathbb{V}} + \|\mathbf{v}\|_{\mathbb{V}} \right) \\ &\leq - \|\mathbf{u} - \mathbf{v}\|_{\mathbb{V}}^{2} + c(\nu\lambda_{1}^{1/4})^{-1} \|\mathbf{u} - \mathbf{v}\|_{\mathbb{V}}^{2} \left(\mathbf{u}_{+} \right) \\ &= - \|\mathbf{u} - \mathbf{v}\|_{\mathbb{V}}^{2} + c(\nu\lambda_{1}^{1/4})^{-1} \|\mathbf{u} - \mathbf{v}\|_{\mathbb{V}}^{2} \left(\frac{1}{2}\nu\lambda_{1}^{1/4}c^{-1} \left\{ 1 + \sqrt{1 - \gamma} \right\} \right) \\ &= -\frac{1}{2} \|\mathbf{u} - \mathbf{v}\|_{\mathbb{V}}^{2} \left\{ 1 - \sqrt{1 - \gamma} \right\} \\ &= -\alpha \|\mathbf{u} - \mathbf{v}\|_{\mathbb{V}}^{2}, \ \alpha = \frac{1}{2} \left\{ 1 - \sqrt{1 - \gamma} \right\}. \end{split}$$

It follows that $\mathbf{J}(\mathbf{x}, t)$ is strongly dissipative.

Let
$$\mathbb{B}(\Omega) = \{\mathbf{u} \in \mathbb{V}(\Omega) : \|\mathbf{u}\|_{\mathbb{V}} \leq \mathbf{u}_{+}\}, \ \mathbb{B}_{+}(\Omega) = \{\mathbf{u} \in \mathbb{V}(\Omega) : \|\mathbf{A}^{1/2}\mathbf{u}\|_{\mathbb{V}} \leq \mathbf{u}_{+}\}$$

and $\mathbb{B}_{++}(\Omega) = \{\mathbf{u} \in \mathbb{V}(\Omega) : \|\mathbf{A}\mathbf{u}\|_{\mathbb{V}} \leq \mathbf{u}_{+}\}.$ We now show that $Ran(I - \beta\nu\mathbf{A}\mathbf{J}) \supset \mathbb{B}(\Omega), \ \beta > 0.$

SUFFICIENCY CLASS FOR GLOBAL (IN TIME) SOLUTIONS TO THE 3D-NAVIER-STOKES EQUATIONS IN Ψ Theorem 6. The operator $\mathcal{A}(t) = \nu \mathbf{AJ}(\cdot, t)$ is closed, dissipative and jointly continuous in \mathbf{u} and t. Furthermore, for each $t \in \mathbf{R}^+$ and $\beta > 0$, $Ran[I - \beta \mathcal{A}(t)] \supset \mathbb{B}[\Omega]$,

so that $\mathcal{A}(t)$ is m-dissipative on \mathbb{B}_{++} .

Proof. Since $\mathbf{J}(\cdot, t)$ is strongly dissipative and closed on $\mathbb{V}[\Omega]$, it follows from Theorem 6 that $Ran[\mathbf{J}(\cdot, t)] \supset \mathbb{B}[\Omega]$.

To show that $\mathcal{A}(t) = \nu \mathbf{AJ}(\cdot, t)$ is dissipative, first note that for $\mathbf{u}, \mathbf{v} \in \mathbb{B}_+$, and using equation (8), we have

$$\begin{split} &\frac{1}{2} \left| \left\langle \mathbf{A}^{1/2} \mathbf{B}(\mathbf{u} - \mathbf{v}, \mathbf{v}), \mathbf{A}^{1/2}(\mathbf{u} - \mathbf{v}) \right\rangle_{\mathbb{H}} + \left\langle \mathbf{A}^{1/2} \mathbf{B}(\mathbf{u} - \mathbf{v}, \mathbf{u}), \mathbf{A}^{1/2}(\mathbf{u} - \mathbf{v}) \right\rangle_{\mathbb{H}} \right| \\ &\leq \frac{1}{2} c \lambda_1^{-1/4} \left\| \mathbf{A}(\mathbf{u} - \mathbf{v}) \right\|_{\mathbb{H}}^2 \left(\| \mathbf{A} \mathbf{u} \|_{\mathbb{H}} + \| \mathbf{A} \mathbf{v} \|_{\mathbb{H}} \right). \end{split}$$

Using this result, we have that

$$\begin{split} \langle \mathcal{A}(t)\mathbf{u} - \mathcal{A}(t)\mathbf{v}, \mathbf{u} - \mathbf{v} \rangle_{\mathbb{V}} &= -\nu \left\| \mathbf{A}^{1/2}(\mathbf{u} - \mathbf{v}) \right\|_{\mathbb{V}}^{2} \\ &- \frac{1}{2} \left\langle \mathbf{A}^{1/2} \mathbf{B}(\mathbf{u} - \mathbf{v}, \mathbf{u}) + \mathbf{A}^{1/2} \mathbf{B}(\mathbf{u} - \mathbf{v}, \mathbf{v}), \mathbf{A}^{1/2}(\mathbf{u} - \mathbf{v}) \right\rangle_{\mathbb{H}} \\ &\leqslant -\nu \left\| \mathbf{A}^{1/2}(\mathbf{u} - \mathbf{v}) \right\|_{\mathbb{V}}^{2} + \frac{1}{2} \lambda_{1}^{-1/4} c \left\| \mathbf{A}(\mathbf{u} - \mathbf{v}) \right\|_{\mathbb{H}}^{2} \left(\left\| \mathbf{A}\mathbf{u} \right\|_{\mathbb{H}} + \left\| \mathbf{A}\mathbf{v} \right\|_{\mathbb{H}} \right) \\ &= \nu \left\| \mathbf{A}^{1/2}(\mathbf{u} - \mathbf{v}) \right\|_{\mathbb{V}}^{2} \left[-1 + \frac{1}{2} c \nu^{-1} \lambda_{1}^{-1/4} \left(\left\| \mathbf{A}^{1/2} \mathbf{u} \right\|_{\mathbb{V}} + \left\| \mathbf{A}^{1/2} \mathbf{v} \right\|_{\mathbb{V}} \right) \right] \\ &\leqslant \frac{1}{2} \nu \left\| \mathbf{A}^{1/2}(\mathbf{u} - \mathbf{v}) \right\|_{\mathbb{V}}^{2} \left[-1 + c \nu^{-1} \lambda_{1}^{-1/4} \mathbf{u}_{+} \right] \\ &= \frac{1}{2} \nu \left\| \mathbf{A}^{1/2}(\mathbf{u} - \mathbf{v}) \right\|_{\mathbb{V}}^{2} \left[-1 + \sqrt{1 - \gamma} \right] < 0. \end{split}$$

It follows that $\mathcal{A}(t)$ is dissipative. Since $-\mathbf{A}$ is m-dissipative for $\beta > 0$, $Ran(I + \beta \mathbf{A}) = \mathbb{V}(\Omega)$. As \mathbf{J} is strongly dissipative, closed, with $Ran[\mathbf{J}] \supset \mathbb{B}[\Omega]$, and $\mathbf{J}(\cdot, t) : D(\mathbf{A}) \xrightarrow{onto} D(\mathbf{A})$, $\mathcal{A}(t)$ is maximal dissipative, and also closed, so that $Ran[I - \beta \mathcal{A}(t)] \supset \mathbb{B}[\Omega]$. It follows that $\mathcal{A}(t)$ is m-dissipative on $\mathbb{B}_+[\Omega]$ for each $t \in \mathbf{R}^+$ (since $\mathbb{V}[\Omega]$ is a Hilbert space). To see that $\mathcal{A}(t)\mathbf{u}$ is continuous in both variables, let $\mathbf{u}_n, \mathbf{u} \in \mathbb{B}_{++}$, $\|\mathbf{A}\mathbf{u}_n - \mathbf{A}\mathbf{u}\|_{\mathbb{V}} \to 0$, with $t_n, t \in I$ and $t_n \to t$. Then (see

equation (6))

$$\begin{split} \|\mathcal{A}(t_{n})\mathbf{u}_{n} - \mathcal{A}(t)\mathbf{u}\|_{\mathbb{V}} &\leq \|\mathcal{A}(t_{n})\mathbf{u} - \mathcal{A}(t)\mathbf{u}\|_{\mathbb{V}} + \|\mathcal{A}(t_{n})\mathbf{u}_{n} - \mathcal{A}(t_{n})\mathbf{u}\|_{\mathbb{V}} \\ &= \|[\mathbb{P}\mathbf{f}(t_{n}) - \mathbb{P}\mathbf{f}(t)]\|_{\mathbb{V}} + \|\nu\mathbf{A}(\mathbf{u}_{n} - \mathbf{u}) - \frac{1}{2}[\mathbf{B}(\mathbf{u}_{n} - \mathbf{u}, \mathbf{u}) + \mathbf{B}(\mathbf{u}_{n} - \mathbf{u}, \mathbf{u}_{n})]\|_{\mathbb{V}} \\ &\leq d \left|t_{n} - t\right|^{\theta} + \nu \left\|\mathbf{A}(\mathbf{u} - \mathbf{v})\right\|_{\mathbb{V}} + \frac{1}{2} \left\|\mathbf{A}^{1/2}\mathbf{B}(\mathbf{u}_{n} - \mathbf{u}, \mathbf{u}) + \mathbf{A}^{1/2}\mathbf{B}(\mathbf{u}_{n} - \mathbf{u}, \mathbf{u}_{n})\right\|_{\mathbb{H}} \\ &\leq d \left|t_{n} - t\right|^{\theta} + \nu \left\|\mathbf{A}(\mathbf{u}_{n} - \mathbf{u})\right\|_{\mathbb{V}} + \frac{1}{2} \left\|\mathbf{A}^{1/2}(\mathbf{u}_{n} - \mathbf{u})\right\|_{\mathbb{H}}^{1/4} \left\|\mathbf{A}(\mathbf{u}_{n} - \mathbf{u})\right\|_{\mathbb{H}}^{3/4} \left[\left\|\mathbf{A}\mathbf{u}\right\|_{\mathbb{H}} + \|\mathbf{A}\mathbf{u}_{n}\|_{\mathbb{H}}\right] \\ &\leq d \left|t_{n} - t\right|^{\theta} + \nu \left\|\mathbf{A}(\mathbf{u}_{n} - \mathbf{u})\right\|_{\mathbb{V}} + \left\|\mathbf{A}^{1/2}(\mathbf{u}_{n} - \mathbf{u})\right\|_{\mathbb{H}}^{1/4} \left\|\mathbf{A}(\mathbf{u}_{n} - \mathbf{u})\right\|_{\mathbb{H}}^{3/4} \mathbf{u}_{+}. \end{split}$$

It follows that $\mathcal{A}(t)\mathbf{u}$ is continuous in both variables.

Since $\mathbb{D} = \mathbb{B}_{++}$ is the closure of $D(\mathbf{A}^{3/2}) \cap \mathbb{B}[\Omega]$ equipped with the restriction of the graph norm of $\mathbf{A}^{3/2}$ induced on $D(\mathbf{A}^{3/2})$, it follows that \mathbb{D} is a closed, bounded, convex set. We now have:

Theorem 7. For each $T \in \mathbf{R}^+$, $t \in (0,T)$ and $\mathbf{u}_0 \in \mathbb{D} \subset \mathbb{B}[\Omega]$, the global in time Navier-Stokes initial-value problem in $\Omega \subset \mathbb{R}^3$:

(10)

$$\partial_{t} \mathbf{u} + (\mathbf{u} \cdot \nabla) \mathbf{u} - \nu \Delta \mathbf{u} + \nabla p = \mathbf{f}(t) \text{ in } (0, T) \times \Omega,$$

$$\nabla \cdot \mathbf{u} = 0 \text{ in } (0, T) \times \Omega,$$

$$\mathbf{u}(t, \mathbf{x}) = \mathbf{0} \text{ on } (0, T) \times \partial\Omega,$$

$$\mathbf{u}(0,\mathbf{x}) = \mathbf{u}_0(\mathbf{x}) \ in \ \Omega.$$

has a unique strong solution $\mathbf{u}(t, \mathbf{x})$, which is in $L^2_{loc}[[0, \infty); \mathbb{H}^2(\Omega)]$ and in $L^{\infty}_{loc}[[0, \infty); \mathbb{V}(\Omega)] \cap \mathbb{C}^1[(0, \infty); \mathbb{V}(\Omega)].$

Proof. Theorem 6 allows us to conclude that when $\mathbf{u}_0 \in \mathbb{D}$, the initial value problem is solved and the solution $\mathbf{u}(t, \mathbf{x})$ is in $\mathbb{C}^1[(0, \infty); \mathbb{D}(\Omega)]$. Since $\mathbb{D} \subset \mathbb{H}^2[\Omega]$, it follows

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SUFFICIENCY CLASS FOR GLOBAL (IN TIME) SOLUTIONS TO THE 3D-NAVIER-STOKES EQUATIONS IN 1 that $\mathbf{u}(t, \mathbf{x})$ is also in $\mathbb{V}(\Omega)$, for each t > 0. It is now clear that for any T > 0,

$$\int_0^T \|\mathbf{u}(t,\mathbf{x})\|_{\mathbb{H}[\Omega]}^2 dt < \infty, \text{ and } \sup_{0 < t < T} \|\mathbf{u}(t,\mathbf{x})\|_{\mathbb{V}[\Omega]}^2 < \infty.$$

This gives our conclusion.

DISCUSSION

It is clear from our results that the stationary problem also has a unique solution in $\mathbb{B}_+[\Omega]$. It is also known that, if $\mathbf{u}_0 \in \mathbb{V}$ and $\mathbf{f}(t)$ is $L^{\infty}[(0, \infty), \mathbb{H}]$, then there is a time T > 0 such that a weak solution with this data is uniquely determined on any subinterval of [0, T) (see Sell and You, page 396, [SY]). Thus, we also have that:

Corollary 8. For each $t \in \mathbf{R}^+$ and $\mathbf{u}_0 \in \mathbb{D}$ the Navier-Stokes initial-value problem in $\Omega \subset \mathbb{R}^3$:

$$\partial_t \mathbf{u} + (\mathbf{u} \cdot \nabla) \mathbf{u} - \nu \Delta \mathbf{u} + \nabla p = \mathbf{f}(t) \text{ in } (0, T) \times \Omega,$$

 $\nabla \cdot \mathbf{u} = 0 \text{ in } (0, T) \times \Omega,$

(11)

$$\mathbf{u}(t,\mathbf{x}) = \mathbf{0} \ on \ (0,T) \times \partial\Omega,$$

$$\mathbf{u}(0,\mathbf{x}) = \mathbf{u}_0(\mathbf{x}) \ in \ \Omega.$$

has a unique weak solution $\mathbf{u}(t, \mathbf{x})$ which is in $L^2_{loc}[[0, \infty); \mathbb{H}^2(\Omega)]$ and in $L^{\infty}_{loc}[[0, \infty); \mathbb{V}(\Omega)] \cap \mathbb{C}^1[(0, \infty); \mathbb{H}(\Omega)].$

Since we require that our initial data be in $\mathbb{H}^{3/2}[\Omega]$, the conditions for the Leray-Hopf weak solutions are not satisfied. However, it was an open question as to whether these solutions developed singularities, even if $\mathbf{u}_0 \in \mathbb{C}_0^{\infty}[\Omega]$ (see Giga [G], and references therein). The above Corollary shows that it suffices that $\mathbf{u}_0(\mathbf{x}) \in$ $\mathbb{H}^2(\Omega)$] to insure that the solutions develop no singularities. Acknowledgements. Our interest in this problem was stimulated during a joint research project with Professor George Sell which began in 1989. Over the last eighteen years, we have benefited from his friendship, generous sharing of knowledge, encouragement and (constructive) criticism.

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