

# Partial Orders on Finite Sets

## Partial Orders on Finite Sets

Fix henceforth a non-void finite partially ordered set  $(A, \preceq)$ . We pronounce  $a \preceq b$  as “ $a$  precedes  $b$ .”

**Definitions:** An element  $m \in A$  is **minimal** (for the order  $\preceq$ ) if there is no other element  $a \in A$  preceding it:

$$\nexists a \in A \ni m \neq a \preceq m .$$

An element  $M \in A$  is **maximal** if it precedes no other element of  $A$ :

$$\nexists a \in A \ni M \preceq a \neq M .$$

An element  $l \in A$  is a **least element** (for the

order  $\preceq$ ) if it precedes all other elements:

$$l \preceq a \quad \forall a \in A .$$

An element  $g \in A$  is a **greatest element** (for the order  $\preceq$ ) if all other elements precede it:

$$a \preceq g \quad \forall a \in A .$$

**Observations:** Assume now  $A$  is finite.

If  $(A, \preceq)$  has a least element it is unique.

If  $(A, \preceq)$  has a greatest element it is unique.

$(A, \preceq)$  has a minimal element.

$(A, \preceq)$  has a maximal element.

If  $(A, \preceq)$  has only one minimal element then that element is a least element.

If  $(A, \preceq)$  has only one maximal element then that element is a greatest element.

**Proof:** Suppose  $m$  is the one and only minimal element. If  $m$  were not least then there would be an element  $a_1 \in A$  different from  $m$  that it does not precede.  $a_1$  not being minimal, there is another element  $a_2 \in A$  with  $a_2 \preceq a_1$ . Continue on and arrive at an infinite sequence

$$a_1 \succeq a_2 \succeq a_3 \succeq \dots$$

of distinct elements of  $A$ , all different from  $m$  (why?), a contradiction.

**Proposition:** Let  $A = \{a_1, a_2, \dots, a_n\}$  be a set equipped with a partial order  $\preceq$  and let  $M = M[\preceq]$  be the incidence matrix of  $\preceq$ .

a) The element  $a_i \in A$  is least iff  $M_{i,j} = 1$  for

all  $j = 1, 2, \dots, n$ , i.e., iff the  $i^{\text{th}}$  row of  $M$  contains only 1s.

b) The element  $a_i \in A$  is minimal iff  $M_{j,i} = 0$  for all  $j \neq i$ , i.e., iff the  $i^{\text{th}}$  column of  $M$  contains only one 1, the one on the diagonal.

c) The element  $a_i \in A$  is greatest iff  $M_{j,i} = 1$  for all  $j = 1, 2, \dots, n$ , i.e., iff the  $i^{\text{th}}$  column of  $M$  contains only 1s.

b) The element  $a_i \in A$  is maximal iff  $M_{i,j} = 0$  for all  $j \neq i$ , i.e., iff the  $i^{\text{th}}$  row of  $M$  contains only one 1, the one on the diagonal.

**Example:** Let  $A \stackrel{\text{def}}{=} \{2, 3, 4, 5, 6, 7, 8, 9\}$  and define  $\preceq$  by

$$a \preceq b \iff a|b .$$

This is a partial order on  $A$  with incidence

matrix

	2	3	4	5	6	7	8	9
2	1	0	1	0	1	0	1	0
3	0	1	0	0	1	0	0	1
4	0	0	1	0	0	0	1	0
5	0	0	0	1	0	0	0	0
6	0	0	0	0	1	0	0	0
7	0	0	0	0	0	1	0	0
8	0	0	0	0	0	0	1	0
9	0	0	0	0	0	0	0	1

It has minimal elements 2, 3, 5, 7.

It has maximal elements 5, 6, 7, 8, 9.

**Exercise:** Suppose  $M$  is an  $n \times n$ -matrix of 0s and 1s that has the following properties:  $I \leq M$ ,  $M \wedge M^T = I$ , and  $M \diamond M \leq M$ .

Prove that  $M$  contains a row and a column that have only one 1 in them.

**Definition:** Let  $\mathcal{R}$  be a relation on  $A$ , and let  $A_0 \subseteq A$ . The **Induced Relation**

$$\mathcal{R}|_{A_0} : A_0 \rightarrow A_0$$

is defined by

$$\mathcal{R}|_{A_0} \stackrel{\text{def}}{=} \{(a, b) \in A_0 \times A_0 : a\mathcal{R}b\} .$$

**Lemma:** If  $\mathcal{R}$  is reflexive, transitive, symmetric, or antisymmetric, then so is  $\mathcal{R}|_{A_0}$ .

**Lemma:** If  $M = M[\mathcal{R}]$  is the incidence matrix of  $\mathcal{R}$  then the incidence matrix of  $\mathcal{R}|_{A_0 \times A_0}$  is obtained from  $M$  by deleting all rows and columns belonging to elements of  $A \setminus A_0$ .

**Example:** Let  $A \stackrel{\text{def}}{=} \{2, 3, 4, 5, 6, 7, 8, 9\}$  and define  $\preceq$  by

$$a \preceq b \iff a|b .$$

This is a partial order on  $A$  with incidence matrix

	2	3	4	5	6	7	8	9
2	1	0	1	0	1	0	1	0
3	0	1	0	0	1	0	0	1
4	0	0	1	0	0	0	1	0
5	0	0	0	1	0	0	0	0
6	0	0	0	0	1	0	0	0
7	0	0	0	0	0	1	0	0
8	0	0	0	0	0	0	1	0
9	0	0	0	0	0	0	0	1

Let us remove from  $A$  the set  $\{2, 3, 5, 7\}$  of its minimal elements, leaving the set  $A_0 \stackrel{\text{def}}{=} \{4, 6, 8, 9\}$ . The induced partial order

has incidence matrix

	2	3	4	5	6	7	8	9
2	1	0	1	0	1	0	1	0
3	0	1	0	0	1	0	0	1
4	0	0	1	0	0	0	1	0
5	0	0	0	1	0	0	0	0
6	0	0	0	0	1	0	0	0
7	0	0	0	0	0	1	0	0
8	0	0	0	0	0	0	1	0
9	0	0	0	0	0	0	0	1

# Hasse Diagrams

Let  $(A, \preceq)$  be a finite poset. There is the digraph of  $\preceq$ , but that may clutter up a piece of paper awfully if  $A$  is large. Here is another way to depict  $\preceq$ , the **Hasse diagram of  $(A, \preceq)$** . Here is how to make it.

0) Get a lined piece of paper.

1a) Identify the minimal elements of  $(A, \preceq)$  and write them down on the last line of the paper.

1b) Let  $A_1$  denote the remainder,  $A$  with the minimal elements for  $\preceq$  removed, and let  $\preceq_1$  be the order  $\preceq$  induces on it.

2a) Identify the minimal elements of  $(A_1, \preceq_1)$  and write them down on the penultimate line of the paper.

2b) Let  $A_2$  denote the remainder,  $A_1$  with the minimal elements for  $\preceq_1$  removed, and let  $\preceq_2$  be the order  $\preceq_1$  induces on it.

3a) Identify the minimal elements of  $(A_2, \preceq_2)$  and write them down on the last free line of the paper.

3b) Let  $A_3$  denote the remainder,  $A_2$  with the minimal elements for  $\preceq_2$  removed, and let  $\preceq_3$  be the order  $\preceq_2$  induces on it.

Keep going until  $A$  is exhausted.

1c) Whenever an element  $a$  on the last line  $\preceq$ -precedes an element  $b$  of the penultimate line, draw a line connecting  $a$  and  $b$ .

2c) Whenever an element  $a$  on the penul-

time line  $\preceq$ -precedes an element  $b$  of the line above, draw a line connecting  $a$  and  $b$ .

3c) Whenever an element  $a$  on the third line from below  $\preceq$ -precedes an element  $b$  of the line above, draw a line connecting  $a$  and  $b$ .

Keep going until you must stop.

The resulting picture is the Hasse diagram of  $(A, \preceq)$ . It evidently contains all the information about  $(A, \preceq)$ .

Hasse diagram of the poset

$\{2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20\}$

equipped with the order  $a \preceq b \iff a|b$

Minimal elements: 2, 3, 5, 7, 11, 13, 17, 19

Remainder  $A_1 = \{4, 6, 8, 9, 10, 12, 14, 15, 16, 18, 20\}$

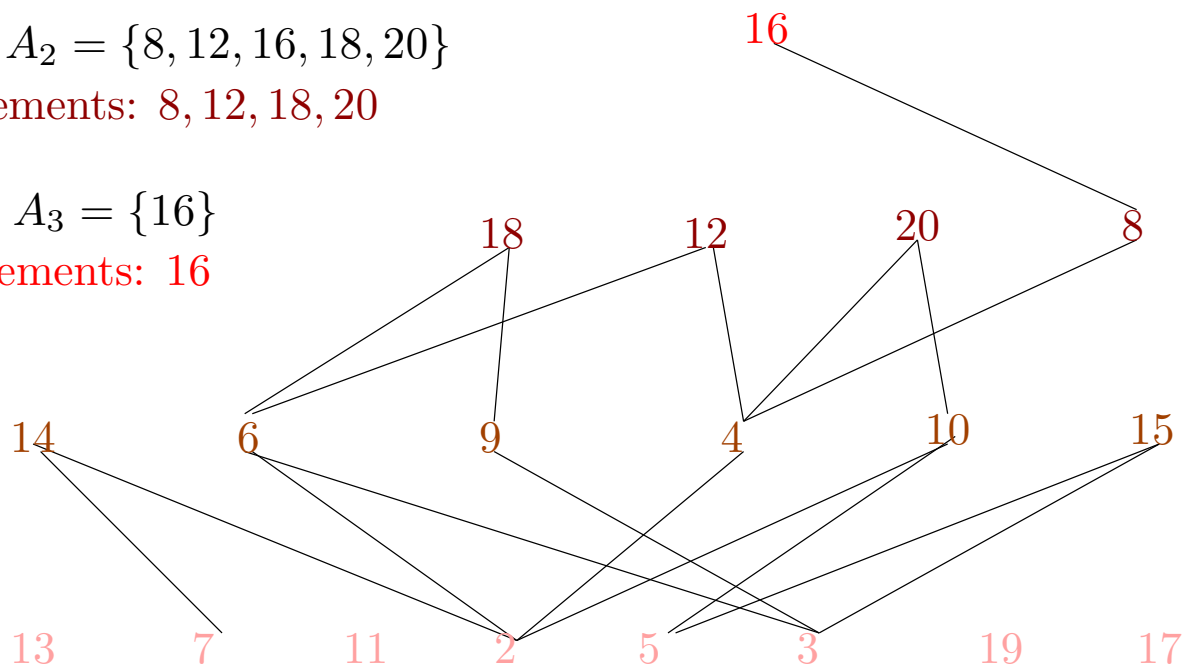
Minimal elements: 4, 6, 9, 10, 14, 15

Remainder  $A_2 = \{8, 12, 16, 18, 20\}$

Minimal elements: 8, 12, 18, 20

Remainder  $A_3 = \{16\}$

Minimal elements: 16



# Topological Sorting

**Definition:** Let  $A$  be a set and  $\preceq, \ll$  two partial orders on  $A$ . We say that  $\ll$  **refines**  $\preceq$  or  $\ll$  **respects**  $\preceq$  if  $\preceq \subseteq \ll$ , i.e. if

$$a \preceq b \implies a \ll b \quad \forall a, b \in A .$$

Given a finite poset  $(A, \preceq)$ , the problem arises to find a total order  $\ll$  that respects  $\preceq$ . Viz. a task chart. This can always be done (in many ways), by the

## Topological Sorting Algorithm:

1) Construct a Hasse diagram for  $\preceq$ .

2) Then define  $\ll$  by this prescription: for any two elements  $a, b \in A$  say  $a \ll b$

if 2a)  $a$  and  $b$  are listed in the same line and  $a$  is listed to the left of  $b$

or 2b)  $a$  is listed on a line lower than  $b$ .

[Instead one may perform an arbitrary permutation of every line before applying the algorithm 2a)-2b).]

Hasse diagram of the poset

$\{2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20\}$

equipped with the order  $a \preceq b \iff a|b$

Minimal elements: 2, 3, 5, 7, 11, 13, 17, 19

Remainder  $A_1 = \{4, 6, 8, 9, 10, 12, 14, 15, 16, 18, 20\}$

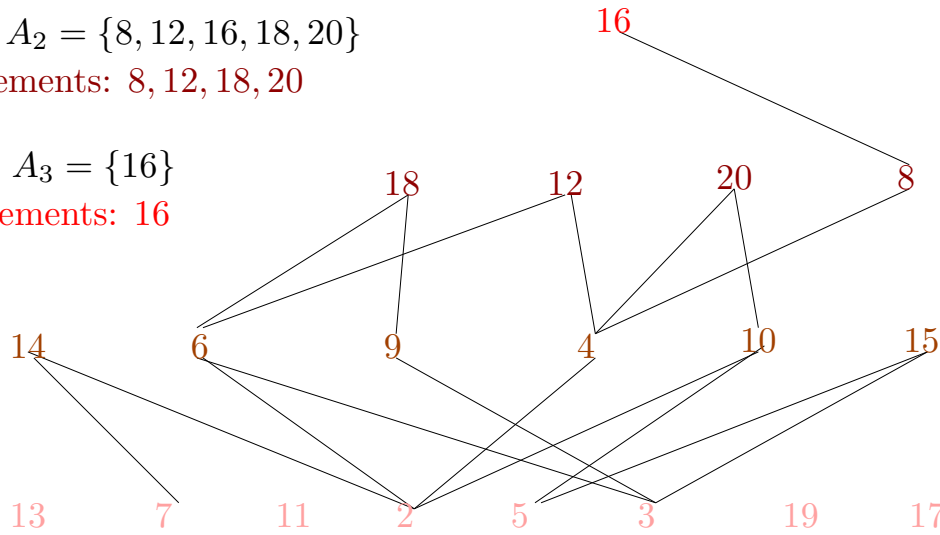
Minimal elements: 4, 6, 9, 10, 14, 15

Remainder  $A_2 = \{8, 12, 16, 18, 20\}$

Minimal elements: 8, 12, 18, 20

Remainder  $A_3 = \{16\}$

Minimal elements: 16



16  
18  
12  
8  
20  
4  
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14  
10  
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2  
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3  
19  
17

**Exercise:** Describe the instructions you would give a computer to produce a total order  $\ll$  refining a partial order that is given by an incidence matrix  $M$ . What will the incidence matrix of your total order  $\ll$  look like?

**Observation:** The topological sorting algorithms described above do not necessarily produce every total order refining  $\preceq$ . For instance, in the preceding example, where  $A = \{2, \dots, 20\}$  and  $a \preceq b \iff a|b$ , there is the natural order  $\leq$  of numbers. It is a total order refining  $\preceq$ , and  $4 \leq 19$ . In any total order  $\ll$  produced by any of the topological sorting algorithms described above we have  $19 \ll 4$ . However ....