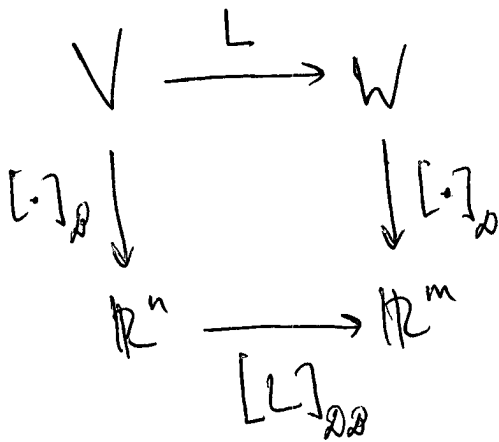


LAST TIME: $[L(\underline{v})]_{\mathcal{D}} = [L]_{\mathcal{D}\mathcal{D}} [\underline{v}]_{\mathcal{D}}$

↑ UNIQUE
MATRIX REPRESENTATION OF L
IN BASIS \mathcal{D} OF V , \mathcal{D} OF W .

$$[L]_{\mathcal{D}\mathcal{D}} = ([L(\underline{b}_1)]_{\mathcal{D}} \dots [L(\underline{b}_n)]_{\mathcal{D}})$$



- IF $V=W$, ONLY NEED ONE BASIS FOR BOTH SPACES, SAY \mathcal{D} .
WILL DENOTE $[L]_{\mathcal{D}} \equiv [L]_{\mathcal{D}\mathcal{D}}$.

- MUCH OF THIS COURSE FOCUSED ON CHOICE OF BASIS \mathcal{D} S.T. $[L]_{\mathcal{D}}$ LOOKS AS SIMPLE AS POSSIBLE (I.E. IS DIAGONAL!).

EX. $V=W=\mathbb{R}_2[t]$, BASIS $\mathcal{D} = \{1, t, t^2\}$

(i) $L(p) = \frac{dp}{dt}$ (I.E., $L = \frac{d}{dt}$) IS LINEAR OPERATOR.

(ii) $[L]_{\mathcal{D}} = ([L(\underline{b}_1)]_{\mathcal{D}} \quad [L(\underline{b}_2)]_{\mathcal{D}} \quad [L(\underline{b}_3)]_{\mathcal{D}})$

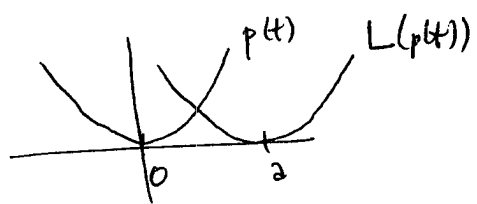
$$= \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 2 \\ 0 & 0 & 0 \end{pmatrix}$$

SINCE

$$\begin{aligned}
 L(\underline{b}_1) = 0 &\Rightarrow [L(\underline{b}_1)]_{\mathcal{D}} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \\
 L(\underline{b}_2) = 1 &\Rightarrow [L(\underline{b}_2)]_{\mathcal{D}} = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \\
 L(\underline{b}_3) = 2t &\Rightarrow [L(\underline{b}_3)]_{\mathcal{D}} = \begin{pmatrix} 0 \\ 2 \\ 0 \end{pmatrix}
 \end{aligned}$$

Ex. $V = W = \mathbb{R}_2[t]$, basis $\mathcal{B} = \{1, t, t^2\}$.

(i) $L(p(t)) = p(t-a)$ is a linear operator, called the SHIFT OPERATOR by $a \in \mathbb{R}$.



$$(ii) [L]_{\mathcal{B}} = \left([L(b_1(t))]_{\mathcal{B}} \quad \dots \quad [L(b_3(t))]_{\mathcal{B}} \right)$$

$$= \begin{pmatrix} 1 & -a & a^2 \\ 0 & 1 & -2a \\ 0 & 0 & 1 \end{pmatrix} \quad \text{since}$$

$$L(b_1(t)) = 1 \Rightarrow [L(b_1(t))]_{\mathcal{B}} = (1, 0, 0)^T$$

$$L(b_2(t)) = t - a \Rightarrow [L(b_2(t))]_{\mathcal{B}} = (-a, 1, 0)^T$$

$$L(b_3(t)) = (t-a)^2 = t^2 - 2at + a^2 \Rightarrow [L(b_3(t))]_{\mathcal{B}} = (a^2, -2a, 1)^T$$

(ii) WHAT IS $[L]_{\mathcal{D}\mathcal{D}}$, WHERE $\mathcal{D} = \{1, t-a, (t-a)^2\}$ IS ANOTHER BASIS OF $\mathbb{R}_2[t]$?

$$[L]_{\mathcal{D}\mathcal{D}} = \left([L(b_1)]_{\mathcal{D}} \quad \dots \quad [L(b_3)]_{\mathcal{D}} \right)$$

$$= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad \text{since}$$

$$[L(b_1(t))]_{\mathcal{D}} = (1, 0, 0)^T$$

$$[L(b_2(t))]_{\mathcal{D}} = (0, 1, 0)^T$$

$$[L(b_3(t))]_{\mathcal{D}} = (0, 0, 1)^T$$

NOTE: CHOICE OF BASIS \mathcal{B} IN DOMAIN V OF L AND BASIS \mathcal{D} IN IMAGE SPACE W OF L GIVES DIFFERENT REPRESENTATIONS $[L]_{\mathcal{D}\mathcal{B}}$!

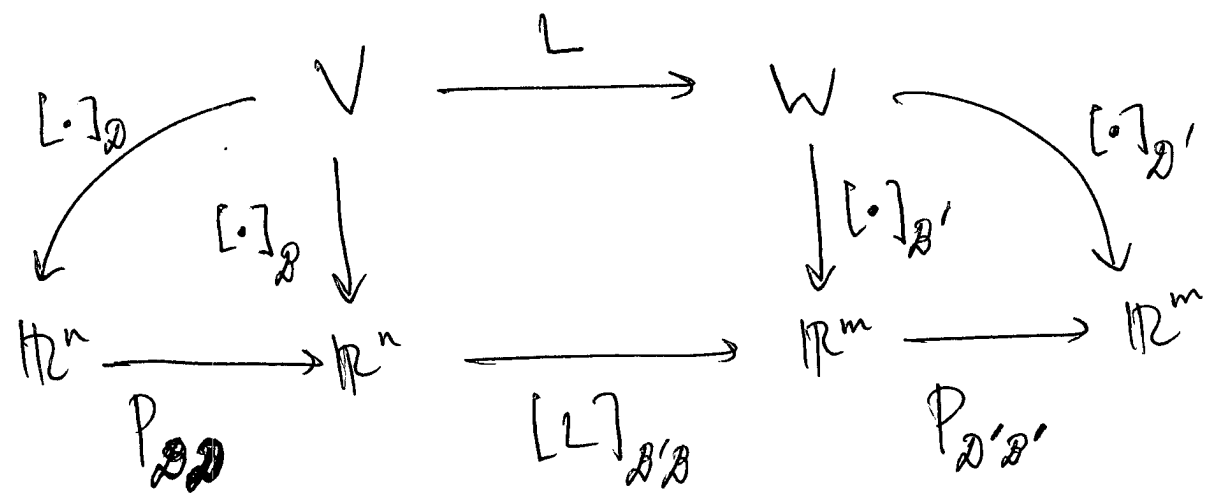
EFFECT OF A CHANGE OF BASIS (3.3) :

Q: $L : V \rightarrow W$

\mathcal{B} BASIS OF V
 \mathcal{B}' BASIS OF W $\Rightarrow [L]_{\mathcal{B}'\mathcal{B}}$ REPRESENTATION OF L .

WHAT IF WE SWITCH TO NEW BASES \mathcal{D} OF V AND \mathcal{D}' OF W ? HOW DOES $[L]_{\mathcal{D}'\mathcal{D}}$ RELATE TO $[L]_{\mathcal{B}'\mathcal{B}}$?

A:



THEREFORE (SINCE WE MULTIPLY MATRICES FROM RIGHT TO LEFT),

$$[L]_{\mathcal{D}'\mathcal{D}} = P_{\mathcal{D}'\mathcal{B}'} [L]_{\mathcal{B}'\mathcal{B}} P_{\mathcal{D}\mathcal{B}}$$

WHERE $P_{\mathcal{D}\mathcal{B}}$ AND $P_{\mathcal{D}'\mathcal{B}'}$ ARE CHANGE OF BASIS MATRICES.

• IF $V=W$ AND WE USE COMMON BASIS \mathcal{B} , MATRIX REPRESENTATION OF $L: V \rightarrow V$ IN NEW BASIS \mathcal{D} IS

$$[L]_{\mathcal{D}} = P_{\mathcal{D}\mathcal{B}} [L]_{\mathcal{B}} P_{\mathcal{B}\mathcal{D}}$$

INVERSES OF EACH OTHER SINCE $P_{\mathcal{D}\mathcal{B}} = P_{\mathcal{B}\mathcal{D}}^{-1}$.

EX. SUPPOSE $V = W$ HAS BASIS $\mathcal{B} = \{\underline{b}_1, \underline{b}_2\}$.

LET $L: V \rightarrow V$ S.T. $L(\underline{b}_1) = 2\underline{b}_1 + \underline{b}_2$
 $L(\underline{b}_2) = \underline{b}_1 + 2\underline{b}_2$.

(i) $[L]_{\mathcal{B}} = \left([L(\underline{b}_1)]_{\mathcal{B}} \quad [L(\underline{b}_2)]_{\mathcal{B}} \right) = \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix}$.

(ii) IF $\mathcal{D} = \left\{ \underset{\substack{\text{H.} \\ \underline{d}_1}}{\underline{b}_1 + \underline{b}_2}, \underset{\substack{\text{H.} \\ \underline{d}_2}}{\underline{b}_1 - \underline{b}_2} \right\}$, WHAT IS $[L]_{\mathcal{D}}$?

$$P_{\mathcal{D}\mathcal{B}} = \left([\underline{d}_1]_{\mathcal{B}} \quad [\underline{d}_2]_{\mathcal{B}} \right) = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}.$$

$$P_{\mathcal{B}\mathcal{D}} = P_{\mathcal{D}\mathcal{B}}^{-1} = -\frac{1}{2} \begin{pmatrix} -1 & -1 \\ -1 & 1 \end{pmatrix} = \begin{pmatrix} \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & -\frac{1}{2} \end{pmatrix}.$$

$$\Rightarrow [L]_{\mathcal{D}} = P_{\mathcal{B}\mathcal{D}} [L]_{\mathcal{B}} P_{\mathcal{D}\mathcal{B}} = \begin{pmatrix} 3 & 0 \\ 0 & 1 \end{pmatrix}.$$

NOTE: THIS IS EXACTLY THE DECOUPLING OF SECTION 1!

FOR EX, $\frac{dx}{dt} = \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix} \underline{x}$ BECAME $\frac{dy}{dt} = \begin{pmatrix} 3 & 0 \\ 0 & 1 \end{pmatrix} \underline{y}$

AFTER MAKING THE CHANGE OF VARIABLES $\begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} x_1 + x_2 \\ x_1 - x_2 \end{pmatrix}$.

FUNDAMENTAL SUBSPACES: KERNEL AND RANGE (3.5)

$L: V \rightarrow W$ LINEAR TRANSFORMATION

- DEF.
- $\text{Ker}(L) = \text{Null}(L) = \{ \underline{v} \in V : L(\underline{v}) = \underline{0} \}$.
 - $\text{Ran}(L) = \{ \underline{w} \in W : L(\underline{v}) = \underline{w} \text{ FOR SOME } \underline{v} \in V \}$.

NOTE: • $\text{Ker}(L)$ IS SOLUTION SET OF HOMOGENEOUS EQN.

$$L(\underline{v}) = \underline{0}.$$

- $\text{Ran}(L)$ IS SET OF $\underline{w} \in W$ S.T. NONHOMOGENEOUS EQN.
 $L(\underline{v}) = \underline{w}$ HAS A SOLN. (I.E, \underline{w} IS IN
 SPAN OF $\{ L(\underline{b}_1), \dots, L(\underline{b}_n) \}$, WHERE
 $\mathcal{B} = \{ \underline{b}_1, \dots, \underline{b}_n \}$ IS A BASIS OF V .)

CLAIM: $\text{Ker}(L)$ IS A SUBSPACE OF V AND
 $\text{Ran}(L)$ IS A SUBSPACE OF W .

WHY?

(i) $\underline{x}, \underline{y} \in \text{Ker}(L) \Rightarrow L(\underline{x} + \underline{y}) = L(\underline{x}) + L(\underline{y}) = \underline{0} + \underline{0} = \underline{0}$
 c SCALAR

so, $\underline{x} + \underline{y} \in \text{Ker}(L)$

$L(c\underline{x}) = cL(\underline{x}) = c\underline{0} = \underline{0}$
 so, $c\underline{x} \in \text{Ker}(L)$.

(ii) $\underline{x}, \underline{y} \in \text{Ran}(L) \Rightarrow$ THERE ARE $\underline{v}_1, \underline{v}_2 \in V$ S.T.
 c SCALAR

$L(\underline{v}_1) = \underline{x}, L(\underline{v}_2) = \underline{y}.$

$\Rightarrow L(v_1 + v_2) = L(v_1) + L(v_2) = \underline{x} + \underline{y}$
 since $v_1 + v_2 \in V$ since V VECTOR SPACE,
 $\underline{x} + \underline{y} \in \text{Ran}(L)$.
 Similarly, $c\underline{x} \in \text{Ran}(L)$.

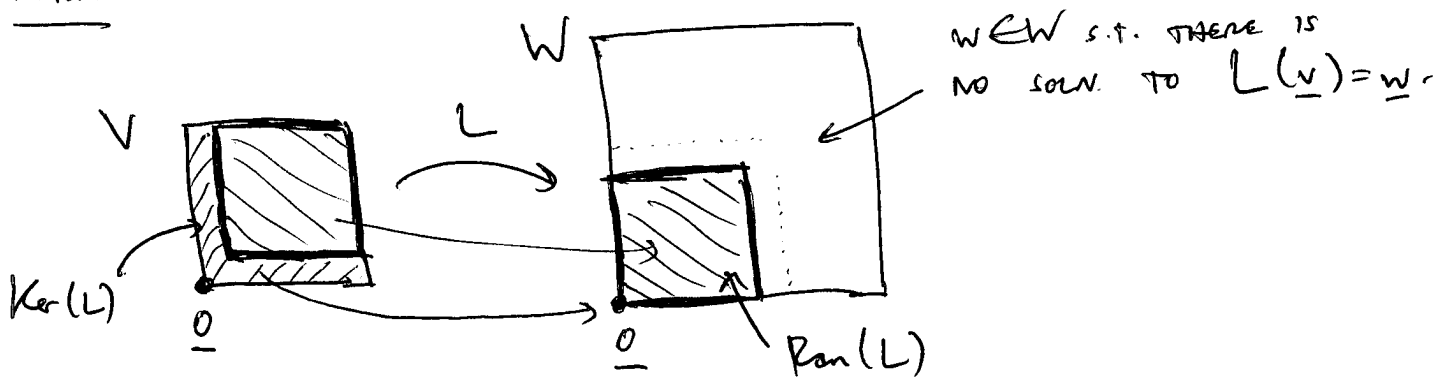
$\text{Ker}(L)$ AND $\text{Ran}(L)$ CLOSED UNDER VECTOR ADDITION
 AND SCALAR MULTIPLICATION \Rightarrow SUBSPACES.

THM. $\text{Ker}(L) = \{0\} \iff L$ is 1-1
 (i.e., $L(\underline{x}) = L(\underline{y}) \Rightarrow \underline{x} = \underline{y}$).

PF. " \Rightarrow " SUPPOSE $\text{Ker}(L) = \{0\}$. THEN, IF $L(\underline{x}) = L(\underline{y})$,
 $L(\underline{x} - \underline{y}) = \underline{0} \Rightarrow \underline{x} - \underline{y} \in \text{Ker}(L) \Rightarrow \underline{x} - \underline{y} = \underline{0}$
 $\Rightarrow \underline{x} = \underline{y}$.
 $\Rightarrow L$ is 1-1.

" \Leftarrow " SUPPOSE L is 1-1. since $L(\underline{0}) = \underline{0}$, IF
 $L(\underline{v}) = \underline{0}$ FOR SOME $\underline{v} \in V$, THEN $L(\underline{v}) = L(\underline{0})$
 $\Rightarrow \underline{v} = \underline{0} \Rightarrow \text{Ker}(L) = \{0\}$.

Picture:



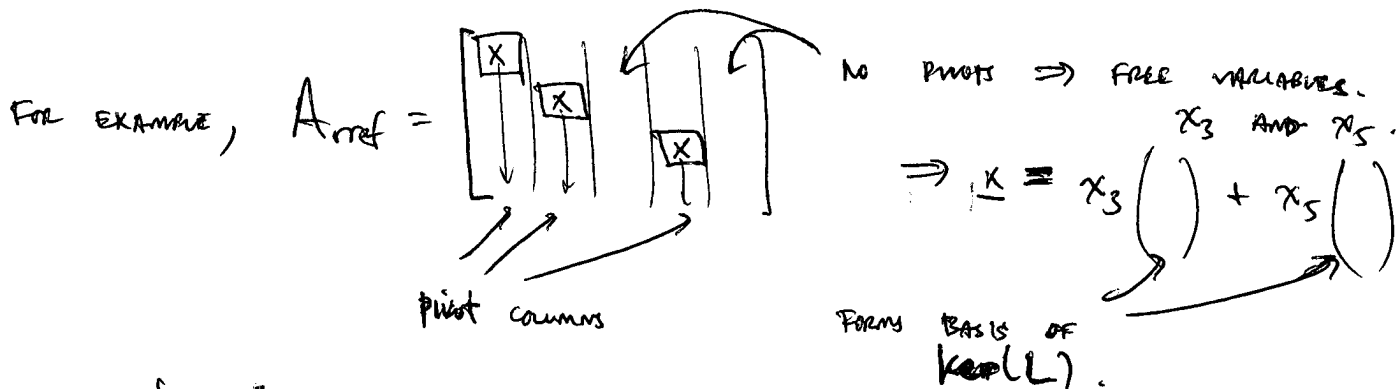
Q: How to find basis of $\text{Ker}(L)$, $\text{Ran}(L)$?

L3

A: Suppose $V = \mathbb{R}^n$, $W = \mathbb{R}^m$. Then, any linear transformation $L: V \rightarrow W$ takes the form $L(\underline{v}) = A \underline{v}$ for some $A \in M_{m,n}$.

① $\text{Ker}(A) = \{ \underline{x} \in \mathbb{R}^n : A \underline{x} = \underline{0} \}$.

$$A \underline{x} = \underline{0} \Leftrightarrow A_{\text{ref}} \underline{x} = \underline{0}$$



Let $\{x_{i_j}\}$ be free variables — that is, $\{x_{i_1}, \dots, x_{i_k}\}$ correspond to columns without pivots.

Then solving by back substitution gives

$$\underline{x} = x_{i_1} \underline{v}_{i_1} + \dots + x_{i_k} \underline{v}_{i_k}$$

$\Rightarrow \{ \underline{v}_{i_1}, \dots, \underline{v}_{i_k} \}$ form a basis of $\text{Ker}(L)$ after dropping any linearly dependent vectors.

② $\text{Ran}(A) = \{ \underline{b} \in \mathbb{R}^m : \underline{b} \in \text{span}(\text{columns of } A) \}$.

The column space of A has a basis given by the pivot columns of the original matrix A (not A_{ref}).

Ex.

$$A = \begin{pmatrix} 1 & 1 & 2 & 2 \\ 2 & 2 & 1 & 1 \\ 3 & 3 & 3 & 3 \end{pmatrix}$$

Since $\begin{pmatrix} 1 & 1 & 2 & 2 \\ 2 & 2 & 1 & 1 \\ 3 & 3 & 3 & 3 \end{pmatrix} \xrightarrow[-R_1 - R_2]{-2R_1} \begin{pmatrix} 1 & 1 & 2 & 2 \\ 0 & 0 & -3 & -3 \\ 0 & 0 & 0 & 0 \end{pmatrix} \xrightarrow[\times -\frac{1}{3}]{+\frac{2}{3}R_2} \begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix}$

$A_{\text{ref}} = \begin{pmatrix} \boxed{1} & 1 & 0 & 0 \\ 0 & 0 & \boxed{1} & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix} \Rightarrow x_2, x_4 \text{ FREE VARIABLES.}$

↑ ↑
pivot columns of A_{ref}

① $A \underline{x} = \underline{0} \Leftrightarrow A_{\text{ref}} \underline{x} = \underline{0}$

$\Leftrightarrow \begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$

$\Leftrightarrow \begin{matrix} x_1 = -x_2 \\ x_2 \text{ free} \\ x_3 = -x_4 \\ x_4 \text{ free} \end{matrix} \Leftrightarrow \underline{x} = x_2 \begin{pmatrix} -1 \\ 1 \\ 0 \\ 0 \end{pmatrix} + x_4 \begin{pmatrix} 0 \\ 0 \\ -1 \\ 1 \end{pmatrix}$

so, $\{(-1, 1, 0, 0)^T, (0, 0, -1, 1)^T\}$ is a basis of $\text{Ker}(A)$.

② $A \underline{x} = \underline{b} \Leftrightarrow \underline{b} \in \text{span}(\text{columns of } A)$

since the pivot columns of A are the first and third columns of A ,

$\{(1, 2, 3)^T, (2, 1, 3)^T\}$ is a basis of $\text{Ran}(A)$.

NOTE: IN GENERAL, IF $L: V \rightarrow W$

$$\begin{aligned} \textcircled{1} \quad L(\underline{v}) = \underline{0} &\iff [L(\underline{v})]_{\mathcal{D}} = \underline{0} \\ &\iff \underbrace{[L]_{\mathcal{D}\mathcal{D}}}_{\text{MATRIX}} [\underline{v}]_{\mathcal{D}} = \underline{0} \end{aligned}$$

THEREFORE, IF WE FIND A BASIS OF $\text{Ker}([L]_{\mathcal{D}\mathcal{D}})$
 THIS GIVES A BASIS FOR $\text{Ker}(L)$ BY MAPPING
 BACK USING $[\cdot]_{\mathcal{D}}^{-1}: \mathbb{R}^n \rightarrow V$.

$$\begin{aligned} \textcircled{2} \quad L(\underline{v}) = \underline{w} &\iff [L(\underline{v})]_{\mathcal{D}} = [\underline{w}]_{\mathcal{D}} \\ &\iff \underbrace{[L]_{\mathcal{D}\mathcal{D}}}_{\text{MATRIX}} [\underline{v}]_{\mathcal{D}} = [\underline{w}]_{\mathcal{D}} \end{aligned}$$

SO, IF WE FIND A BASIS OF $\text{Ran}([L]_{\mathcal{D}\mathcal{D}})$
 THIS GIVES A BASIS FOR $\text{Ran}(L)$ BY MAPPING
 BACK USING $[\cdot]_{\mathcal{D}}^{-1}: \mathbb{R}^m \rightarrow W$.

EX. $V = M_{2,2}$, $W = \mathbb{R}_2[t]$. SUPPOSE $L: V \rightarrow W$ IS GIVEN

BY

$$\begin{aligned} L\left(\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}\right) &= 1 + 2t + 3t^2 \\ L\left(\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}\right) &= 1 + 2t + 3t^2 \\ L\left(\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}\right) &= 2 + t + 3t^2 \\ L\left(\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}\right) &= 2 + t + 3t^2 \end{aligned} \implies [L]_{\mathcal{D}\mathcal{D}} = \begin{pmatrix} 1 & 1 & 2 & 2 \\ 2 & 2 & 1 & 1 \\ 3 & 3 & 3 & 3 \end{pmatrix}$$

WHERE $\mathcal{D} = \left\{ \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \right\}$
 $\mathcal{D} = \{1, t, t^2\}$.

SINCE A BASIS OF $\text{Ker}([L]_{\mathcal{D}\mathcal{D}})$ IS $\left\{ (-1, 1, 0, 0)^T, (0, 0, -1, 1)^T \right\}$,
 A BASIS OF $\text{Ker}(L)$ IS $\left\{ \begin{matrix} \downarrow L \cdot [\cdot]_{\mathcal{D}}^{-1} \\ \begin{pmatrix} -1 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ -1 & 1 \end{pmatrix} \end{matrix} \right\}$.

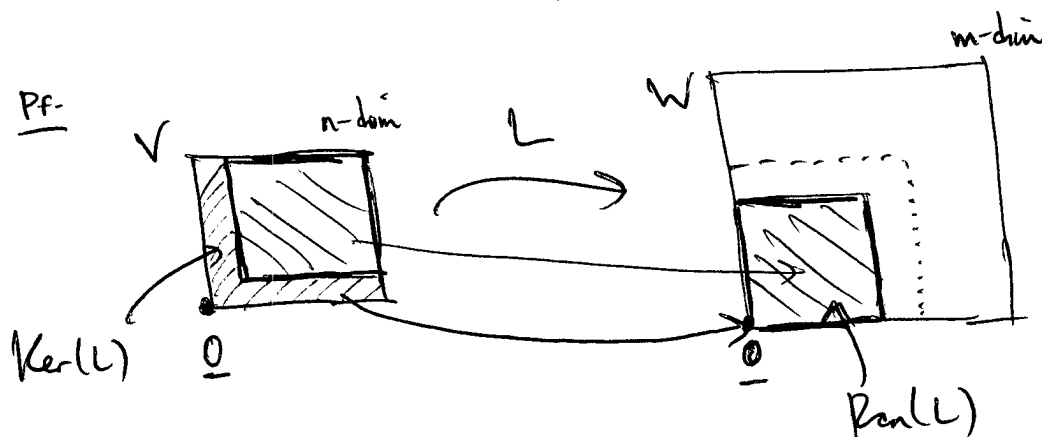
Since a basis of $\text{Ran}([L]_{\mathcal{B}\mathcal{B}})$ is $\{(1, 2, 3)^T, (2, 1, 3)^T\}$, 6
A basis of $\text{Ran}(L)$ is $\{t+2t+3t^2, 2+t+3t^2\}$.

Lecture 08
02/03/12

$L: V \rightarrow W$, \mathcal{B} basis of $V \cong \mathbb{R}^n$
 \mathcal{C} basis of $W \cong \mathbb{R}^m$.

Def. nullity $(L) \equiv \dim(\text{Ker}(L))$;
rank $(L) \equiv \dim(\text{Ran}(L))$.

Thm. (Rank-nullity thm.)
rank $(L) + \text{nullity}(L) = \dim(V)$.



$[L]_{\mathcal{C}\mathcal{B}}$ = $m \times n$ matrix

\Rightarrow rref of $[L]_{\mathcal{C}\mathcal{B}}$ has k pivot columns and $n-k$ non-pivot columns.

\Rightarrow rank $(L) = k \Rightarrow$ rank + nullity = $n = \dim(V)$.
nullity $(L) = n-k$

#.

CONSEQUENCES:

(RANK-MULTIPLY
THM.)

$$L \text{ is } 1-1 \Leftrightarrow \text{nullity}(L) = 0 \Leftrightarrow \text{rank}(L) = n.$$

$$L \text{ is onto} \Leftrightarrow \text{rank}(L) = m.$$

THEY SINCE

$$\text{rank}(L) = \# \text{ pivots in } \text{rref}([L]_{\mathcal{B}\mathcal{B}}) \leq \min\{m, n\},$$

THM.

- $n < m \Rightarrow \text{rank}(L) < m \Rightarrow L$ is NOT onto
- $n > m \Rightarrow \text{rank}(L) < n \Rightarrow L$ is NOT 1-1
- $n = m \Rightarrow$ EITHER: \Rightarrow EITHER:
 - (i) $\text{rank}(L) < n$ (i) L NOT 1-1 NOT onto.
 - OR (ii) $\text{rank}(L) = n$ OR (ii) L BOTH 1-1 AND onto.

THE LAST STATEMENT GIVES

THM. (FREDHOLM ALTERNATIVE)

$L: V \rightarrow V$ LINEAR OPERATOR. THEN, EITHER:

- (i) $L(\underline{v}) = \underline{0}$ HAS NONTRIVIAL SOLN'S $\underline{v} \neq \underline{0}$.
- OR (ii) $L(\underline{v}) = \underline{b}$ HAS ~~SOLN.~~ SOLN. FOR ANY $\underline{b} \in V$.

EX. ON $\mathbb{R}_{n-1}[t]$, LET L BE THE OPERATOR
 $L(p(t)) = t p'(t) - p(t)$ (I.E. $L = t \frac{d}{dt} - \text{Id.}$)

Q: IS $t p'(t) - p(t) = q(t)$ SOLVABLE ^{IN $\mathbb{R}_{n-1}[t]$} FOR ANY $q \in \mathbb{R}_{n-1}[t]$?

A: L IS A LINEAR OPERATOR SUCH THAT $L(t) = t \frac{dt}{dt} - t = \underline{0}$.
 THEREFORE, SINCE $t \neq \underline{0}$ SOLVES $L(p(t)) = \underline{0}$ WE HAVE
 THAT $L(p(t)) = q(t)$ DOES NOT HAVE A SOLN. FOR EVERY q .

REMARK: REMEMBER, WE ARE LOOKING FOR SOLUTIONS $p \in \mathbb{R}_{\leq 1}(t)$,
NOT SIMPLY ANY SOLN'S $p(t)$ TO THE DIFFERENTIAL
EQN. $tp'(t) - p(t) = g(t)$! THAT IS, WE CAN'T
LOOK FOR SOLUTIONS LIKE e^t , $\sin(kt)$, ETC.