

# **A Crash Course on Compact Complex Surfaces**

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# Abstract

- “Analytic invariants” of complex manifolds that the generalizations of the genus of curves, and their birationally invariant nature.
- Blow-up of a surface at a point.
- Birational classification of complex surfaces via minimal models. Enriques-Kodaira classification.
- Canonical models.
- Calabi-Yau manifolds and  $K3$  surfaces.
- Fano manifolds and del Pezzo surfaces.

# Examples of Compact Complex Surfaces

1.  $\mathbb{P}^2$ ,  $\mathbb{P}^1 \times \mathbb{P}^1$  ( $\cong$  smooth quadric surface in  $\mathbb{P}^3$ ), smooth hypersurfaces in  $\mathbb{P}^3$ , two-dimensional submanifolds of  $\mathbb{P}^n$ , Cartesian products of two compact Riemann surfaces.
2. *fake projective planes* := compact complex surfaces with  $b_1 = 0$ ,  $b_2 = 1$  not isomorphic to  $\mathbb{P}^2$ . Such a surface is projective algebraic and it is the quotient of the open unit ball in  $\mathbb{C}^2$  by a discrete subgroup of  $\mathrm{PU}(2, 1)$ . The first example (Mumford surface) was constructed Mumford using  $p$ -adic techniques. Recently, all possible (17 known finite classes plus four possible candidates and no more) fake projective planes have been enumerated by Gopal Prasad and Sai-Keek Yeung. See abstract for colloquium on March 26, 2007.
3. *Ruled surface* :=  $\mathbb{P}^1$ -bundle over a compact Riemann surface.
  - Can be shown: All ruled surfaces are projectivizations of rank-two vector bundles over compact Riemann surfaces.
  - Hirzebruch surfaces:  $\mathbb{P}(\mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1}(-n))$ ,  $n = 0, 1, 2, \dots$
4. *Elliptic surface* := total space of a holomorphic fibration over a compact Riemann surface with generic fiber being a smooth elliptic curve.
5. 2-dimensional complex tori:  $\mathbb{C}^2/\Lambda$ , where  $\Lambda \cong \mathbb{Z}^4$  is a discrete lattice in  $\mathbb{C}^2$ .
6. *Hopf surface* := compact complex surface with universal cover  $\mathbb{C}^2 - \{0\}$ . For example,  $(\mathbb{C}^2 - \{0\})/\mathbb{Z}$ , where the action of  $\mathbb{Z}$  on  $\mathbb{C}^2$  is generated by  $\mathbb{C}^2 \longrightarrow \mathbb{C}^2 : z \mapsto 2z$ . (The Hopf surface is compact and non-Kähler.)

**In the Beginning ...**

**Goddess  
Said  
Let there be ...  
CURVES.**

*I am not joking; ask the string theorists.*

# Classification of smooth compact complex curves by genus

analytic/topological genus  $g(C) = h^0(C, \Omega_C^1) = h^0(C, K_C)$

degree of canonical bundle  $\deg(K_C) = 2g(C) - 2$

$g(C) = h^0(K_C)$	$\tilde{C}$	curvature	$\deg(K_C)$	$\text{kod}(C)$
0	$\mathbb{P}^1$	positive	$< 0$	$-\infty$
1	$\mathbb{C}$	flat	$= 0$	0
$\geq 2$	$\mathbb{C}H^1$	negative	$> 0$	1

# Canonical maps for curves of genus $\geq 2$

For a line bundle  $L$  on a smooth compact complex curve (Riemann surface)  $C$ ,

$$\deg(L) = \int_C c_1(L) = \left\{ \begin{array}{l} \text{sum of orders of zeros and poles of} \\ \text{a generic meromorphic section of } L \end{array} \right\}$$

$$h^0(C, L) > 0 \implies \deg(L) \geq 0; \quad \text{conversely} \quad h^0(C, L) = 0 \iff \deg(L) < 0$$

The canonical map  $\phi_{K_C}$  for a curve  $C$  of genus  $g \geq 2$  is

$$\begin{aligned} C &\overset{\phi_{K_C}}{\dashrightarrow} \mathbb{P}(H^0(C, K_C)) \cong \mathbb{P}^{g-1} \\ x &\longmapsto [s_0(x) : \cdots : s_{g-1}(x)] \end{aligned}$$

where  $s_0, \dots, s_{g-1}$  form a basis for  $H^0(C, K_C) \cong \mathbb{C}^g$ .

$\phi_{K_C}$  is undefined at each  $x \in C$  with  $s_0(x) = \cdots = s_{g-1}(x) = 0$ . Such points of  $C$  are called base points of  $\phi_{K_C}$ .

Note:  $g \leq 0 \implies \deg(K_C) = 2(g-1) < 0 \implies$  no canonical map.

# Canonical images of curves of genus $\geq 1$

FACT: For  $C$  with  $g(C) = h^0(C, K_C) \geq 1$ ,  $\phi_{K_C}$  is in fact base-point-free and is therefore a holomorphic map  $C \rightarrow \mathbb{P}(H^0(C, K_C)) \cong \mathbb{C}^{g-1}$ .

For  $C$  with  $g(C) \geq 1$ ,

$\text{kod}(C) :=$  dimension of image of canonical map  $\phi_{K_C}$ .

$g(C)$	$\text{kod}(C)$	$\phi_{K_C}$	type
0	$-\infty$		$C \cong \mathbb{P}^1$ Riemann sphere
1	0	constant	$C \cong \mathbb{C}/\Lambda$ complex torus
2	1	$C \xrightarrow{2:1} \mathbb{P}^1$	hyperelliptic <sup>a</sup>
$\geq 3$	1	$C \xrightarrow{2:1} \mathbb{P}^{g-1}$ $C \hookrightarrow \mathbb{P}^{g-1}$	hyperelliptic projective curve of degree $2g - 2$

<sup>a</sup>hyperelliptic curve :=  $(2 : 1)$ -branched cover of  $\mathbb{P}^1$

# Discrete invariants generalizing genera of curves

For a curve  $C$ ,

$$g(C) := \text{topological genus} = \text{analytic genus} := h^{1,0}(C)$$

$$h^{1,0}(C) = h^{n,0}(C) = h^0(C, \Omega^n(C)) = h^0(C, K_C), \quad n := \dim_{\mathbb{C}}(C) = 1.$$

For a complex manifold  $M$  of dimension  $n$ ,

$$\text{irregularity, } q := h^1(M, \mathcal{O}_M) = h^{0,1}(M) \stackrel{\text{Kähler}}{=} h^{1,0}(M)$$

$$\text{geometric genus, } p_g := h^{n,0}(M) = h^0(M, \Omega_M^n) = h^0(M, K_M)$$

$$\text{Hodge Numbers, } h^{p,0}(M) := h^0(M, \Omega_M^p), \quad 0 \leq p \leq n$$

$$m^{\text{th}} \text{ plurigenus, } P_m(M) := h^0(M, K_M^m), \quad m = 1, 2, 3, \dots$$

However, these “biholomorphic” invariants are in fact only “birational” (or bimeromorphic) invariants due to the operation of blow-up of points.



## Blow-up of $\mathbb{C}^2$ at the origin

$$\begin{array}{ccc} \mathrm{Bl}_0(\mathbb{C}^2) & := & \{ ((x, y), [u : v]) \in \mathbb{C}^2 \times \mathbb{P}^1 \mid xv = yu \} \\ \pi \downarrow & & \downarrow \\ \mathbb{C}^2 & \in & (x, y) \end{array}$$

- $((x, y), [u : v]) \in \mathrm{Bl}_0(\mathbb{C}^2) \iff (x, y) \in \mathbb{C}^2$  lies in the 1-dimensional subspace  $[u : v] \in \mathbb{P}^1$ .
- $\pi^{-1}(\mathbf{x}) = (\mathbf{x}, [\mathbf{x}])$ , for any  $\mathbf{x} \neq \mathbf{0}$ .
- $\pi^{-1}(\mathbf{0}) = \mathbf{0} \times \mathbb{P}^1 \cong \mathbb{P}^1 =$  space of tangential directions through  $\mathbf{0} \in \mathbb{C}^2$ .
- $\mathrm{Bl}_0(\mathbb{C}^2) - \pi^{-1}(\mathbf{0}) \xrightarrow{\pi} \mathbb{C}^2 - \mathbf{0}$  is a biholomorphism.
- Both  $\mathrm{Bl}_0(\mathbb{C}^2)$  and  $\mathbb{C}^2$  smooth, i.e. blow-ups and blow-downs of points preserve smoothness.
- Blow-up increases the second Betti number by 1. Blow-down decreases it by 1.

# Hartogs' Extension Theorem and Birational Invariants

**DEFINITION** A *birational* (or *bimeromorphic*) map  $X \dashrightarrow Y$  between two complex manifolds is a biholomorphic map  $X \setminus S \rightarrow Y \setminus T$ , where  $S, T$  are proper subvarieties of  $X$  and  $Y$  respectively.

In the complex algebraic geometry setting, the various “biholomorphic invariants” (defined via differential forms) introduced above are in fact “birational invariants”:

1. Hartog's Extension Theorem: meromorphic ( $\mathbb{C}$ -valued) functions whose loci of non-holomorphicity has codimension  $\geq 2$  extend to holomorphic functions.
2. The existence of birational but non-biholomorphic maps in dimensions  $\geq 2$ .  
Prototypical examples: blow-up of points.
3. The locus of indeterminacy of a birational map between algebraic manifolds has codimension at least two.

Consequently, pull-backs of holomorphic forms of algebraic manifolds under birational maps have loci of indeterminacy of codimension  $\geq 2$ ; hence such pull-backs always extend to holomorphic forms by Hartogs' Theorem.

**PROBLEM:** Each birational equivalence class of surfaces contains infinitely many smooth surfaces.

**Q:** Is there a distinguished representative in a given birational class?

# Intersection numbers of two curves on a surface

Let  $L = [D]$  be a holomorphic line bundle defined by the divisor  $D$  on a compact complex surface  $X$ . Let  $C \subset X$  be a curve embedded in  $X$ . Then, the intersection number of  $C$  and  $D$  is defined by

$$D \cdot C := \int_C c_1([D]|_C) = \int_C c_1(L|_C) = \deg(L|_C) = \left\{ \begin{array}{l} \text{sum of orders of zeros and poles of a} \\ \text{generic meromorphic section of } L|_C \end{array} \right\}$$

In particular,  $D \cdot C < 0 \implies$  the restriction of  $L = [D]$  to  $C$  has no nonzero sections.

**FACT:**

The exceptional locus  $E := \pi^{-1}(\mathbf{0}) \cong \mathbb{P}^1$  is a copy of  $\mathbb{P}^1$  embedded in the surface  $X := \text{Bl}_0(\mathbb{C}^2)$  with self-intersection  $-1$ ;  
 equivalently,  $[E]|_E = N_{E/X}$  is equivalent to the tautological bundle over  $\mathbb{P}^1$ ;  
 equivalently,  $\deg([E]|_E) = -1$ .

Consequently,  $N_{E/X}$  has no holomorphic sections and  $E$  cannot be holomorphically deformed in  $X$ .

# Birational maps of complex surfaces & minimal surfaces

**FACT:** Every birational map between two smooth compact complex surfaces is a finite succession of blow-ups and blow-downs of points

**RECALL:** The exceptional locus of a blow-up is a copy of  $\mathbb{P}^1$  with self-intersection  $-1$ .

**DEFINITION:** A copy of  $\mathbb{P}^1$  in a surface with self-intersection  $-1$  is called a  $(-1)$ -curve.

## Castelnuovo-Enriques Blow-down Criterion:

An embedded curve  $C$  in a surface  $X$  can be blown down to a point

$\iff C$  is a  $(-1)$ -curve

$\iff C \cdot C < 0$  and  $K_X \cdot C < 0$ .

A way to find a distinguished representative within a birational class: Given a smooth surface, locate all of its  $(-1)$ -curve(s), blow them down one at a time, until we reach smooth surface with no  $(-1)$ -curves. This process must terminate in finitely many steps because each blow-down lowers second Betti number by 1.

**DEFINITION:** A compact complex surface is said to be *minimal* if it contains no  $(-1)$ -curves.

# Enriques-Kodaira Classification of (minimal) complex surfaces

class	$\text{kod}(X)$	$a(X)$	$p_g$	$q$	$K_X = 0$	$b_2$	$c_1^2$	$c_2 = e$
rational		2	0	0		1, 2	8, 9	3, 4
ruled <sup>a</sup> ( $g \geq 1$ )	$-\infty$	2	0	$g$		2	$8(1 - g)$	$4(1 - g)$
VII <sub>0</sub>		0, 1	0	1			$-b_2$	$b_2$
K3		0, 1, 2	1	0	1	22	0	24
Enriques		2	0	0	2	10	0	12
2-tori	0	0, 1, 2	1	2	1	6	0	0
hyperelliptic		2	0	1	2, 3, 4, 6	2	0	0
primary Kodaira		1	1	2	1	4	0	0
2 <sup>nd</sup> -ary Kodaira		1	0	1	2, 3, 4, 6	0	0	0
properly elliptic	1	1, 2					0	$\geq 0$
general type	2	2	$> 0$	$b_1/2$		$> 0$		$> 0$

$a(X) := \text{trdeg}_{\mathbb{C}}(\mathbb{C}(X))$ , the transcendence degree of the field  $\mathbb{C}(X)$  of rational functions of  $X$ .  $a(X)$  is called the algebraic dimension of  $X$ . The following inequalities hold:

$\text{kod}(X) \leq a(X) \leq \dim_{\mathbb{C}}(X)$ . Furthermore,  $a(X) = 1 \implies X$  is an elliptic surface.

<sup>a</sup>A ruled surface is a  $\mathbb{P}^1$ -bundle over a curve of genus  $g \geq 0$ .

# The Kodaira Dimension of a Compact Complex Manifold

Let  $L$  be a line bundle on a compact connected complex manifold  $M$ . Its *itaka-Kodaira dimension* is

$$\kappa(M, L) := \begin{cases} -\infty, & \text{if } h^0(M, mL) = 0, \forall m \geq 1, \\ \sup \left\{ \dim_{\mathbb{C}} \phi_{mL}(M) \mid \begin{array}{l} m \in \mathbb{N} \\ h^0(M, mL) > 0 \end{array} \right\}, & \text{otherwise} \end{cases}$$

**FACT:**  $\kappa(M, L) \in \{-\infty, 0, 1, 2, \dots, a(M)\}$ , where  $a(M) := \text{trdeg}_{\mathbb{C}}(\mathbb{C}(M))$  is the algebraic dimension of  $M$ , and  $0 \leq a(M) \leq \dim_{\mathbb{C}}(M)$ .

**FACT:** If  $\kappa := \kappa(M, L) \geq 0$ , then there exists a positive integer  $m_0$  and a constant  $C > 0$  such that

$$\frac{1}{C} m^{\kappa} \leq h^0(M, L^{\otimes m}) \leq C m^{\kappa}.$$

**DEFINITION:** The *Kodaira dimension*  $\text{kod}(M) := \kappa(M, K_M)$ .

$\text{kod}(M)$  is the maximal dimension of the images of the pluricanonical maps  $\phi_{mK_M}$  of  $M$ .

## More on examples of complex surfaces

- Hypersurfaces  $X$  in  $\mathbb{P}^3$  of degree  $d$ . The adjunction formula<sup>a</sup> states  $K_X = (K_{\mathbb{P}^3} + [X])|_X = \mathcal{O}_X(d - 4)$ , since  $K_{\mathbb{P}^3} = \mathcal{O}(-4)$ .
  - $d > 4 \implies K_X$  is ample,  $\text{kod}(X) = 2$ .  $X$  is of general type.
  - $d = 4 \implies K_X$  is trivial,  $\text{kod}(X) = 0$ . Simply connected complex manifolds with trivial canonical bundles are called *Calabi-Yau* manifolds. 2-dimensional Calabi-Yau manifolds are also called *K3* surfaces.
  - $d < 4 \implies -K_X$  is ample,  $\text{kod}(X) = -\infty$ . Complex manifolds with ample anticanonical bundles are called *Fano* manifolds. 2-dimensional *Fano* manifolds are also called *del Pezzo* surfaces. The only minimal del Pezzo surfaces are  $\mathbb{P}^2$  and  $\mathbb{P}^1 \times \mathbb{P}^1$ . All other del Pezzo surfaces are “successive blow-ups” of  $\mathbb{P}^2$  at  $n \in \{1, 2, \dots, 8\}$  “general” points, hence are not minimal.

- The minimal rational surfaces are  $\mathbb{P}^2$  and the Hirzebruch surfaces

$$F_n := \mathbb{P}(\mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1}(-n)), \quad \text{with } n = 0, 2, 3, \dots$$

$F_1 = \text{blow-up of } \mathbb{P}^2 \text{ at one point} = \mathbb{P}^2 \not\cong \overline{\mathbb{P}^2}$ , and is NOT minimal.  $F_0 = \mathbb{P}^1 \times \mathbb{P}^1$ . A *Hirzebruch surface* is a ruled surface ( $\mathbb{P}^1$ -bundle)  $X$  over  $\mathbb{P}^1$ . Grothendieck splitting<sup>b</sup> implies

$$X \cong \mathbb{P}(\mathcal{O}_{\mathbb{P}^1}(a) \oplus \mathcal{O}_{\mathbb{P}^1}(b)) \cong \mathbb{P}(\mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1}(-n)) =: F_n, \quad \text{for some } n \geq 0.$$

<sup>a</sup> $K_{\mathbb{P}^3} = \wedge^n(\Omega^1\mathbb{P}^3)^* = \wedge^{n-1}(\Omega^1X)^* \otimes N_{X/\mathbb{P}^3}^* = K_X \otimes [-X]|_X$ .

<sup>b</sup>Vector bundles over  $\mathbb{P}^1$  are direct sums of line bundles.

# Minimal models in higher dimensions & nefness of line bundles

Importance of rational curves and nefness of canonical bundle

DEFINITION: A line bundle  $L$  on a complex projective manifold  $M$  is said to be *nef* if  $L \cdot C \geq 0$  for each irreducible curve  $C$  in  $M$ .

- RECALL (Castelnuovo Criterion): A curve  $C \subset X$  is a  $(-1)$ -curve if and only if  $C \cdot C < 0$  and  $K_X \cdot C < 0$ . Hence,  $K_X$  nef  $\implies X$  minimal (i.e. no  $(-1)$ -curves).
- FACT: For a smooth projective surface  $X$ ,  $K_X$  not nef  $\implies$  either  $X \cong \mathbb{P}^2$ , or  $X$  is ruled ( $\mathbb{P}^1$ -bundle over a curve), or  $X$  is NOT minimal (i.e. it contains  $(-1)$ -curves).
- Thus, if  $\text{kod}(X) \geq 0$ , then  $K_X$  is nef if and only if  $X$  is minimal (i.e. no  $(-1)$ -curves).
- FACT: If the canonical bundle  $K_M$  of a compact complex manifold  $M$  is not nef, then, there exists a rational curve  $C$  (i.e.  $C$  is birationally equivalent to  $\mathbb{P}^1$ ) which is contracted by  $\pi$  to a point and satisfies  $K_M \cdot C < 0$ . [Contraction Theorem]

DEFINITION: A complex projective manifold is said to be *minimal* if its canonical bundle is nef.

DEFINITION: A line bundle on a compact complex manifold is said to be *nef* if for every  $\varepsilon > 0$ , there is a smooth hermitian metric  $h_\varepsilon$  on  $L$  such that  $i \Theta_{h_\varepsilon}(L) \geq -\varepsilon \omega$ , where  $\omega$  is any fixed Hermitian metric on  $M$ .



# Differential-geometric Intuition of Nefness

FACT: For a Kähler metric on a complex manifold  $M$ , its Ricci curvature (of its underlying Riemannian metric) is the induced curvature on the canonical bundle

$$K_M := \bigwedge^n (T^{1,0}M)^* .$$

Note:  $c_1(M) := c_1(T^{1,0}M) = -c_1((T^{1,0}M)^*) = -c_1(\bigwedge^n (T^{1,0}M)^*) = -c_1(K_M)$ .

DEFINITION: Intersection of a line bundle  $L$  on a compact Kähler manifold  $(M, \omega)$  with a curve  $C \subset M$ :

$$L \cdot C := \int_C c_1(L|_C) = \deg(L|_C) = \left\{ \begin{array}{l} \text{sum of orders of zeros and poles of a} \\ \text{generic meromorphic section of } L|_C \end{array} \right\}$$

In particular,

$$K_M \cdot C := \int_C c_1(K_M|_C) = \deg(K_M|_C) = \int_C \left\{ \begin{array}{l} \text{class of curvature of} \\ \text{any metric on } K_M \end{array} \right\} = \int_C \text{Ric}(\omega)$$

So, conditions such as  $K_M \cdot C \geq 0$ ,  $K_M \cdot C \leq 0$ , etc. are positivity/negativity conditions on “average Ricci curvature of  $(M, \omega)$  restricted on curves”.

# Difficulties in Minimal Model Program in higher dimensions

PROBLEM: The image of a smooth variety under contraction of a rational curve may be singular.

Fix: Allow minimal models to be (mildly) singular.

PROBLEM: Singularities arising from “small” contractions ( $\text{codim}(\text{Exc}) \geq 2$ ) render intersection numbers of canonical divisor with curves undefined.  
(Canonical divisor is not  $\mathbb{Q}$ -Cartier.)

Conjecture: “Flips” — codim-two surgeries; “repair” non- $\mathbb{Q}$ -Cartier canonical divisors.

PROBLEM: Existence and finite termination of flips?

Partial fix: Existence up to dimension 5. Finite termination up to dimension 3.

PROBLEM: Uniqueness of minimal models?

Partial fix: Uniqueness fails. But, in dimension 3, birationally equivalent minimal varieties are related by known codim-two surgeries called “flops.”

PROBLEM: Higher-dim’l birationally equiv. minimal varieties still related by flops?

PROBLEM: Existence, finite termination of flops? Number of birational models  $< \infty$ ?

# From minimal model to canonical model

## Abundance Conjecture (from MMP)

If  $K_M$  is nef, then  $K_M$  is *semiample*, i.e. some pluricanonical map  $\phi_{mK_M} : M \dashrightarrow \mathbb{P}^N$  is in fact holomorphic.

FACT: If  $M$  is of general type and  $K_M$  is nef, then  $K_M$  is semiample.

FACT: The Abundance Conjecture is true for 3-folds.

If  $R(M) := \bigoplus_{m \geq 0} H^0(M, mK_M)$  is finitely generated, then the images of the pluricanonical maps  $\phi_{mK_M}$ , for  $m \gg 0$ , are all isomorphic to:

$$\text{Proj}(R(M)) =: M_{\text{can}}.$$

Going from *minimal model* to *canonical model*:

- Gain: uniqueness for each birational class.
- Potential Gain: ampleness of  $K_{M_{\text{can}}}$ . (True for minimal  $X$  of general type.)
- Losses:
  - even worse singularities than the minimal models,
  - $0 \leq \dim(M_{\text{can}}) = \text{kod}(M) \leq \dim(M)$ ,
  - existence — requires finite generation of  $R(M)$ .

# Bigness of Line Bundles

Loosely speaking, “bigness” of a line bundle is “birational ampleness.”

DEFINITION: A line bundle  $L$  on a compact complex manifold  $M$  is said to be *big* if  $\kappa(M, L) = \dim_{\mathbb{C}}(M)$ .

- FACT: Bigness of  $L$  (and nonsingularity of  $M$ )  $\implies$  for some  $m > 0$ , the rational map  $\phi_{mL} : M \dashrightarrow \mathbb{P}(H^0(M, mL))$  is surjective and birational.
- DEFINITION: A compact complex manifold  $M$  is said to be *of general type* if any one of the following equivalent conditions holds:  $\text{kod}(M) = \dim_{\mathbb{C}}(M) \iff K_M$  is big  $\iff$  for some large enough  $m > 0$ , the pluricanonical map  $\phi_{mK_M} : M \dashrightarrow \mathbb{P}^N$  is a birational holomorphic map onto its image.
- The only thing you need to know (How many of you are in outer space by now?): For a compact complex manifold  $M$ , its canonical bundle  $K_M$  being “*nef and big*” means
  - $M$  is minimal and of general type,
  - hence, its canonical model  $M_{\text{can}}$  exists, with  $K_{M_{\text{can}}}$  ample,
  - $M_{\text{can}}$  is a birational model of  $M$ ,
  - $M_{\text{can}}$  can be thought of as the image of  $\phi_{mK_M}$ , for  $m \gg 0$ .

# Canonical metrics, birational geometry & MMP

- FAMOUS FACT: A compact Kähler manifold  $M$  with  $c_1(M) \leq 0$  ( $K_M \geq 0$ ) admits a unique Kähler-Einstein metric.  
[Yau: continuity method], [Aubin, ?? method], [Cao: Kähler-Ricci flow].
- Recall:  $K_M$  nef and big  $\implies M_{\text{can}}$  exists, with  $K_{M_{\text{can}}}$  ample.
- One might expect: The canonical model  $M_{\text{can}}$  of a projective manifold  $M$  may admit a (singular) Kähler-Einstein metric.

## The (Fields-medal-winning) Calabi-Yau Theorem

*On a compact Kähler manifold, the prescribed Ricci curvature problem has a unique solution in every Kähler class. More precisely:*

Let  $M$  be a compact Kähler manifold. Then, given

- any form  $\Omega \in -i 2\pi c_1(M)$ ,
- any Kähler class  $[\omega] \in H^2(M, \mathbb{R}) \cap H^{1,1}(M, \mathbb{C})$ ,

there exists a unique Kähler form  $\omega \in [\omega]$  such that  $\text{Ric}(\omega) = \Omega$ .

# Recent Results

Canonical metrics on varieties of **general type** via Kähler-Ricci flow

[Tian-Zhang, '06]

If  $X$  is a minimal complex surface of general type, then the global solution of the Kähler-Ricci flow converges to a positive current  $\tilde{\omega}_\infty$  which descends to the Kähler-Einstein orbifold metric on its canonical model. In particular,  $\tilde{\omega}_\infty$  is smooth outside finitely many rational curves and has local continuous potential.

[Casini-La Nave, Mar '06]

Let  $M$  be a projective manifold of general type with canonical divisor  $K_M$  not nef. Then,

- $\exists$  some curve  $C \subset M$  with  $K_M \cdot C < 0$  and a holomorphic map  $c : M \rightarrow M'$  ( $M'$  possibly singular) which contracts  $C$ .
- the Kähler-Ricci flow  $g(t)$  on  $M$ , with a certain initial metric depending on  $K_M$  and  $c$ , develops singularity in finite time, say  $T$ ;
- the singular locus of  $g(T)$  is contained in a proper subvariety of  $M$ ;
- If  $M'$  is furthermore smooth, then  $g(T)$  descends to a smooth metric on  $M'$ .

# Recent Results

Canonical metrics on surfaces of **intermediate Kodaira dimension** via Kähler-Ricci flow

- A properly ( $\text{kod}(S) = 1$ ) elliptic surface  $S \xrightarrow{f} \Sigma$  is a fibration over a curve  $\Sigma$  with generic fiber being a smooth elliptic curve. It turns out that the base curve  $\Sigma$  is the canonical model of  $S$ .
- [Tian-Song, Mar '06] The Kähler-Ricci flow on a minimal properly elliptic surface  $S \xrightarrow{f} S_{\text{can}}$  has global solution  $\omega(t, \cdot)$  which converges as currents to  $f^*\omega_\infty$ , where  $\omega_\infty$  is a positive current on  $S_{\text{can}}$ , smooth on the smooth locus of  $S_{\text{can}}$ , and is a “generalized” Kähler-Einstein metric in the following sense:

$$\text{Ric}(\omega_\infty) = -\omega_\infty + \omega_{WP} + \left\{ \begin{array}{l} \text{further correction terms due to} \\ \text{presence of singular fibers of } f \end{array} \right\},$$

where  $\omega_{WP}$  is the induced Weil-Petersson metric on  $S_{\text{can}}$ .

**THE END  
THANK YOU!**