

A Note on the Barut Second-Order Equation

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Abstract

The second-order equation in the $(1/2, 0) \oplus (0, 1/2)$ representation of the Lorentz group has been proposed by A. Barut in the 70s, ref. [1]. It permits to explain the mass splitting of leptons (e, μ, τ). The interest is growing in this model (see, for instance, the papers by S. Kruglov [2] and J. P. Vigié *et al.* [3, 4]). We noted some additional points of this model.

The Barut main equation is

$$[i\gamma^\mu \partial_\mu + \alpha_2 \partial^\mu \partial_\mu - \kappa] \Psi = 0. \quad (1)$$

It represents a theory with the conserved current that is linear in 15 generators of the 4-dimensional representation of the $O(4, 2)$ group, $N_{ab} = \frac{i}{2} \gamma_a \gamma_b$, $\gamma_a = \{\gamma_\mu, \gamma_5, i\}$. Instead of 4 solutions it has 8 solutions with the correct relativistic relation $E = \pm \sqrt{\mathbf{p}^2 + m_i^2}$. In fact, it describes states of different masses (the second one is $m_2 = 1/\alpha_2 - m_1 = m_e(1 + \frac{3}{2\alpha})$, α is the fine structure constant), provided that the certain physical condition is imposed on the $\alpha_2 = (1/m_1)(2\alpha/3)/(1+4\alpha/3)$, the parameter (the anomalous magnetic moment should be equal to $4\alpha/3$). One can also generalize the formalism to include the third state, the τ -lepton [1b]. Barut has indicated at the possibility of including γ_5 terms (e.g., $\sim \gamma_5 \kappa'$).

The most general form of spinor relations in the $(1/2, 0) \oplus (0, 1/2)$ representation has been given by Dvoeglazov [5]. It was possible to derive the Barut equation from the first principles [6]. Let us reveal the connections with other models. For instance, in refs. [3, 7] the following equation has been studied:

$$[(i\hat{\partial} - e\hat{A})(i\hat{\partial} - e\hat{A}) - m^2] \Psi = [(i\partial_\mu - eA_\mu)(i\partial^\mu - eA^\mu) - \frac{1}{2} e\sigma^{\mu\nu} F_{\mu\nu} - m^2] \Psi = 0 \quad (2)$$

for the 4-component spinor Ψ . This is the Feynman-Gell-Mann equation. In the free case we have the Lagrangian (see Eq. (9) of ref. [3c]):

$$\mathcal{L}_0 = (i\overline{\hat{\partial}}\Psi)(i\hat{\partial}\Psi) - m^2 \overline{\Psi}\Psi. \quad (3)$$

Let us re-write the equation (1) in the form:¹

$$[i\gamma^\mu\partial_\mu + a\partial^\mu\partial_\mu + b]\Psi = 0. \quad (4)$$

So, one should calculate ($p^2 = p_0^2 - \mathbf{p}^2$)

$$\text{Det} \begin{pmatrix} b - ap^2 & p_0 + \boldsymbol{\sigma} \cdot \mathbf{p} \\ p_0 - \boldsymbol{\sigma} \cdot \mathbf{p} & b - ap^2 \end{pmatrix} = 0 \quad (5)$$

in order to find energy-momentum-mass relations. Thus, $[(b - ap^2)^2 - p^2]^2 = 0$ and if $a = 0, b = m$ we come to the well-known relation $p^2 = p_0^2 - \mathbf{p}^2 = m^2$ with four Dirac solutions. However, in the general case $a \neq 0$ we have

$$p^2 = \frac{(2ab + 1) \pm \sqrt{4ab + 1}}{2a^2} > 0, \quad (6)$$

that signifies that we do not have tachyons. However, the above result implies that we cannot just put $a = 0$ in the solutions, while it was possible (?) in the equation. When $a \rightarrow 0$ then² $p^2 \rightarrow \infty$; when $a \rightarrow \pm\infty$ then $p^2 \rightarrow 0$. It should be stressed that *the limit in the equation does not always coincides with the limit in the solutions*. So, the questions arise when we consider limits Dirac \rightarrow Weyl, and Proca \rightarrow Maxwell. The similar method has also been presented by S. Kruglov for bosons [8]. Other fact should be mentioned: when $4ab = -1$ we have only the solutions with $p^2 = 4b^2$. For instance, $b = m/2, a = -1/2m, p^2 = m^2$. Next, I just want to mention one Barut omission. While we can write

$$\frac{\sqrt{4ab + 1}}{a^2} = m_2^2 - m_1^2, \text{ and } \frac{2ab + 1}{a^2} = m_2^2 + m_1^2, \quad (7)$$

but m_2 and m_1 not necessarily should be associated with $m_{\mu,e}$ (or $m_{\tau,\mu}$). They may be associated with their superpositions, and applied to neutrino mixing, or quark mixing.

The lepton mass splitting has also been studied by Markov [9] on using the concept of negative mass. Next, obviously we can calculate anomalous magnetic moments in this scheme (on using, for instance, methods of [10, 11]).

We previously noted:

- The Barut equation is a sum of the Dirac equation and the Feynman-Gell-Mann equation.
- Recently, it was suggested to associate an analogue of Eq. (3) with the dark matter, provided that Ψ is composed of the self/anti-self charge conjugate spinors, and it has the dimension $[energy]^1$ in $c = \hbar = 1$. The interaction Lagrangian is $\mathcal{L}^H \sim g\bar{\Psi}\Psi\phi^2$.
- The term $\sim \bar{\Psi}\sigma^{\mu\nu}\Psi F_{\mu\nu}$ will affect the photon propagation, and non-local terms will appear in higher orders.

¹Of course, one could admit p^4, p^6 etc. in the Dirac equation too. The dispersion relations will be more complicated [6].

² a has dimensionality $1/m$, b has dimensionality m .

- However, it was shown in [3b,c] that a) the Mott cross-section formula (which represents the Coulomb scattering up to the order $\sim e^2$) is still valid; b) the hydrogen spectrum is not much disturbed; if the electromagnetic field is weak the corrections are small.
- The solutions are the eigenstates of γ^5 operator.
- In general, J_0 is not the positive-defined quantity, since the general solution $\Psi = a\Psi_+ + b\Psi_-$, where $[i\gamma^\mu\partial_\mu \pm m]\Psi_\pm = 0$, see also [9].
- We obtained the Barut-like equations of the 2nd order and 3rd order in derivatives.
- We obtained dynamical invariants for the free Barut field on the classical and quantum level.
- We found relations with other models (such as the Feynman-Gell-Mann equation).
- As a result of analysis of dynamical invariants, we can state that at the free level the term $\sim \alpha_3\partial_\mu\bar{\Psi}\sigma_{\mu\nu}\partial_\nu\Psi$ in the Lagrangian does not contribute.
- However, the interaction terms $\sim \alpha_3\bar{\Psi}\sigma_{\mu\nu}\partial_\nu\Psi A_\mu$ will contribute when we construct the Feynman diagrams and the S -matrix. In the curved space (the 4-momentum Lobachevsky space) the influence of such terms has been investigated in the Skachkov works [10, 11]. Briefly, the contribution will be such as if the 4-potential were interact with some “renormalized” spin. Perhaps, this explains, why did Barut use the classical anomalous magnetic moment $g \sim 4\alpha/3$ instead of $\frac{\alpha}{2\pi}$.

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